

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4252947

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YONGHONG GUO	01/26/2017
JAY A. SKIDMORE	01/26/2017
XIA HONG	01/26/2017
JOHN MARTINHO	01/31/2017
HUIJIE XU	01/26/2017
LIHUA WANG	01/27/2017
YAOGENG DING	01/26/2017
NICOLAS GUERIN	01/26/2017
RECEIVING PARTY DATA	
Name:	LUMENTUM OPERATIONS LLC
Street Address:	400 NORTH MCCARTHY BLVD
City:	MILPITAS
State/Country:	CALIFORNIA
Postal Code:	95035
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	62290721
Application Number:	15421210
CORRESPONDENCE DATA	
Fax Number:	(571)432-0800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5714320800
Email:	neways@harrityllp.com
Correspondent Name:	HARRITY & HARRITY LLP
Address Line 1:	11350 RANDOM HILLS ROAD
Address Line 2:	SUITE 600
Address Line 4:	FAIRFAX, VIRGINIA 22030
ATTORNEY DOCKET NUMBER:	0100-0106
NAME OF SUBMITTER:	DANIEL M. JEBSEN

PATENT

SIGNATURE:	/Daniel M. Jebsen, Reg. No. 71,711/
DATE SIGNED:	01/31/2017
Total Attachments: 8 source=0100-0106_Assignments#page1.tif source=0100-0106_Assignments#page2.tif source=0100-0106_Assignments#page3.tif source=0100-0106_Assignments#page4.tif source=0100-0106_Assignments#page5.tif source=0100-0106_Assignments#page6.tif source=0100-0106_Assignments#page7.tif source=0100-0106_Assignments#page8.tif	

ASSIGNMENT
(Joint)
Worldwide Rights

THIS ASSIGNMENT, by Yonghong GUO, Jay A. SKIDMORE, Xia HONG, John MARTINHO, Huijie XU, Lihua WANG, Yaogeng DING, and Nicolas GUERIN, having offices at 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 61 Bill Leatham Drive, Ottawa, Ontario K2J 0P7 CA, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, and 400 North McCarthy Boulevard Milpitas CA, 95035 US, (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in FIBER COUPLED LASER SOURCE PUMP WITH WAVELENGTH DIVISION MULTIPLEXER set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
 (a) ☐ filed herewith; or
 (b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
 (a) ☒ bearing Application No. 15/421,210, and filed on 01/31/2017; or
 (b) ☐ filed herewith; and

We hereby authorize Applicants' representative to fill in the preceding information relating to the filing date and/or serial number, as appropriate.

WHEREAS, Lumentum Operations LLC, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 400 North McCarthy Blvd, Milpitas, CA 95035 US (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including any previously or subsequently filed provisional applications, for Letters Patent of the United States or other countries claiming priority to said application or from which said application claims priority, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

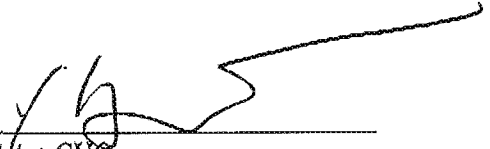
NOW, THEREFORE, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, provisional applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;


Joint Assignment
Attorney's Docket No.: 0100-0106

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.


Yonghong GUO

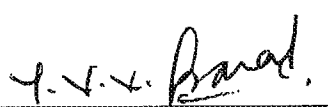
Date: 1/26/17


Witness Signature


Allen Hsieh
Witness Name


Jay A. SKIDMORE

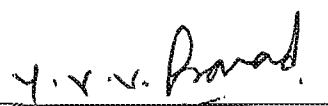
Date: 1/26/17


Witness Signature

Prasad Yalamanchili
Witness Name


Xia HONG

Date: 01/26/2017


Witness Signature

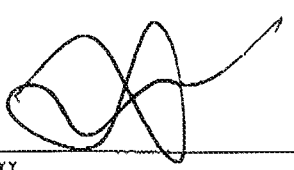
Prasad Yalamanchili
Witness Name

John MARTINHO

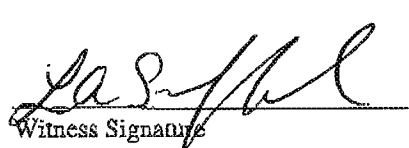
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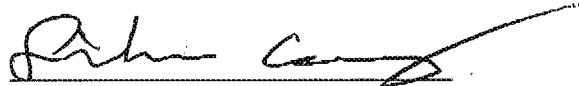
Witness Name


Huijie XU

Date: 01/26/2017

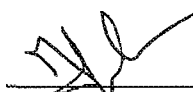

Witness Signature

Laurie Schettel
Witness Name



Lihua WANG

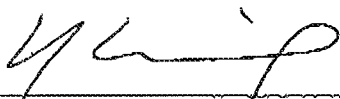
Date: 1/27/2017



Witness Signature

Jaioreet Singh

Witness Name



Yaogeng DING

Date: 1/26/2017



Witness Signature

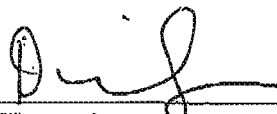
Qiamei Cheng

Witness Name



Nicolas GUERIN

Date: 1/26/2017



Witness Signature

DANIEL ZOU

Witness Name

ASSIGNMENT
(Joint)
Worldwide Rights

THIS ASSIGNMENT, by Yonghong GUO, Jay. A. SKIDMORE, Xia HONG, John MARTINHO, Huijie XU, Lihua WANG, Yaogeng DING, and Nicolas GUERIN, having offices at 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 61 Bill Leatham Drive, Ottawa, Ontario K2J 0P7 CA, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, 400 North McCarthy Boulevard Milpitas CA, 95035 US, and 400 North McCarthy Boulevard Milpitas CA, 95035 US. (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in FIBER COUPLED LASER SOURCE PUMP WITH WAVELENGTH DIVISION MULTIPLEXER set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
(a) ☐ filed herewith; or
(b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
(a) ☒ bearing Application No. 15/421,210, and filed on 01/31/2017; or
(b) ☐ filed herewith; and

We hereby authorize Applicants' representative to fill in the preceding information relating to the filing date and/or serial number, as appropriate.

WHEREAS, Lumentum Operations LLC, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 400 North McCarthy Blvd, Milpitas, CA 95035 US (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including any previously or subsequently filed provisional applications, for Letters Patent of the United States or other countries claiming priority to said application or from which said application claims priority, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, provisional applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Yonghong GUO

Date: _____

Witness Signature

Witness Name

Jay A. SKIDMORE

Date: _____

Witness Signature

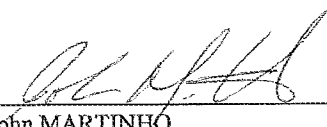
Witness Name

Xia HONG

Date: _____

Witness Signature

Witness Name



John MARTINHO

Date: Jan/31/2017

Witness Signature

Witness Name

Huijie XU

Date: _____

Witness Signature

Witness Name

Lihua WANG

Date: _____

Witness Signature

Witness Name

Yaogeng DING

Date: _____

Witness Signature

Witness Name

Nicolas GUERIN

Date: _____

Witness Signature

Witness Name