

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID SPENCER	01/29/2014
BRENT HANKS	01/31/2014
KEVIN SLAWIN	02/03/2014
RECEIVING PARTY DATA	
Name:	BAYLOR COLLEGE OF MEDICINE
Street Address:	ONE BAYLOR PLAZA
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77030
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15399512
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	BEL-2001-CT4
NAME OF SUBMITTER:	SHERYL R. SILVERSTEIN
SIGNATURE:	/Sheryl R. Silverstein/
DATE SIGNED:	02/01/2017
Total Attachments: 5	
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ASSIGNMENT AND AGREEMENT

WHEREAS, **David SPENCER** of 2811 Prescott Street, Houston, Texas 77025, USA; **Brent HANKS** of 2530 Erwin Road, Unit 621, Durham, NC 27705 USA; and **Kevin SLAWIN** of 2336 Underwood Boulevard, Houston, Texas 77030 USA (hereinafter referred to singly and collectively as "Assignor") have invented a certain invention entitled **INDUCED ACTIVATION IN DENDRITIC CELLS** for which United States Patent Application No. 10/781,384 was filed on February 18, 2004; United States Patent Application No. 12/165,360 was filed on June 30, 2008; United States Patent Application No. 13/786,339 was filed on March 5, 2013; United States Provisional Patent Application No. 60/448,046, was filed on February 18, 2003; International Patent Application No. PCT/US2004/004757, was filed on February 18, 2004; and

WHEREAS, **BAYLOR COLLEGE OF MEDICINE**, a University having its principal place of business at One Baylor Plaza, Houston, Texas 77030, USA (hereinafter referred to as "Assignee") is desirous of acquiring the entire interest therein;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto Assignee, its successors, assigns and nominees, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application(s) and all other applications for patent and patents in any and all countries and jurisdictions for the above-identified invention or inventions and all improvements and modifications thereof, (c) all patents which may issue from said applications in any country or jurisdiction, (d) all divisions, continuations, reissues, and extensions of said applications and patents, and (e) the right to claim for any of said applications the full benefits and rights to priority resulting from filing any of the applications, including, without limitation, rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by Assignee, its successors, assigns and nominees, to the full end of the term or terms for which any and all such patents may be granted as fully and entirely as would have been held and enjoyed by Assignor had this Assignment not been made.

Assignor hereby authorizes and requests any official whose duty it is to issue patents to issue any patent on said invention, or improvement or modification thereof, or resulting therefrom, to Assignee as assignee of the entire interest, or its successors, assigns or nominees.

Assignor hereby agrees (a) to communicate to Assignee, its successors and assigns, or their representatives or agents, all facts and information known or available to Assignor respecting said invention or inventions, improvements, and modifications, including, without limitation, evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by Assignee; (b) to testify in person or by affidavit as

required by Assignee, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by

Assignee, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to Assignee, its successors, assigns and nominees, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

Assignor hereby represents and warrants that (a) Assignor has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein to Assignee; (b) Assignor has no obligation to sell, assign or transfer any right, title or interest herein to an entity other than Assignee; (c) that Assignor has not executed and will not execute any document or instrument in conflict herewith; (d) no consents of any other parties are necessary or appropriate under any agreements regarding the inventions, applications or patents described above for the assignment of Assignor's entire right, title and interest herein to be legally effective; and (e) to the best of Assignor's knowledge, upon consummation of this Agreement, Assignee will have good and marketable title to the inventions, applications and patents described above, free and clear of any and all encumbrances, pledges, security interests, licenses or charges of any nature whatsoever.

Assignor hereby grants to the law firm of **Grant Anderson LLP** the power and authority to insert in this Assignment any further identification, which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Assignor understands and agrees that the attorneys and agents of the law firm of **Grant Anderson LLP** do not personally represent Assignor or Assignor's legal interests, but instead represent the interests of Assignee; since said attorneys and agents cannot provide legal advice to Assignor with respect to this Assignment, Assignor acknowledges its right to seek its own independent legal counsel.

[INTENTIONALLY LEFT BLANK]

Executed this 29 day of January, 2013¹⁴



David SPENCER

STATE OF TEXAS)

) ss:
COUNTY OF HARRIS)

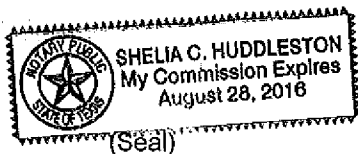
On this 29 day of January, 2013¹⁴, before me, Shelia C. Huddleston
Notary Public, personally appeared David SPENCER who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within
instrument and acknowledged to me that he/she/they executed the same in his/her/their
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the
instrument.

I certify under PENALTY OF PERJURY under the laws of the State of TEXAS that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Shelia C. Huddleston

Notary Public in and for said County and State



My Commission Expires: 8/28/16

Executed this 31st day of January, 2013


Brent HANKS

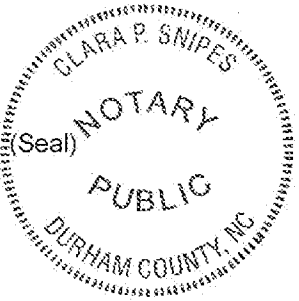
STATE OF TEXAS)

) ss:
COUNTY OF HARRIS)

On this 31st day of January, 2013, before me, Clara Snipes,
Notary Public, personally appeared Brent HANKS who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within
instrument and acknowledged to me that he/she/they executed the same in his/her/their
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the
instrument.

I certify under PENALTY OF PERJURY under the laws of the State of TEXAS that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Clara P. Snipes Durham, NC
Notary Public in and for said County and State

My Commission Expires: May 2, 2014

Executed this 3rd day of February, ¹⁴2013

Kevin Slawin
Kevin SLAWIN

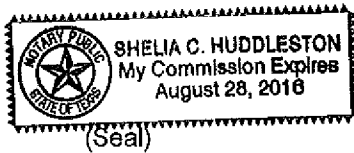
STATE OF TEXAS)
) ss:
COUNTY OF HARRIS)

On this 3rd day of February, 2013, before me, *Shelia C. Huddleston*
Notary Public, personally appeared Kevin SLAWIN who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within
instrument and acknowledged to me that he/she/they executed the same in his/her/their
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the
instrument.

I certify under PENALTY OF PERJURY under the laws of the State of TEXAS that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Shelia C. Huddleston
Notary Public in and for said County and State



My Commission Expires: 8/28/16