504215934 02/07/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4262611

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|------------|----------------|
| RAJESH SUR | 04/28/2016 |

RECEIVING PARTY DATA

| Name: | RAI STRATEGIC HOLDINGS, INC. |
|-----------------|------------------------------|
| Street Address: | 401 N. MAIN STREET |
| City: | WINSTON-SALEM |
| State/Country: | NORTH CAROLINA |
| Postal Code: | 27101 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 15133916 |

CORRESPONDENCE DATA

Fax Number: (919)755-2150

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 919-755-8151 twright@wcsr.com Email: **Correspondent Name:** KEVIN R. LYN, ESQ.

Address Line 1: WOMBLE CARLYLE SANDRIDGE & RICE, LLP

Address Line 2: P.O. BOX 7037

Address Line 4: ATLANTA, GEORGIA 30363-1017

| ATTORNEY DOCKET NUMBER: | R60999 12380US (1160.1) | |
|--------------------------------|------------------------------------------------------------|--|
| NAME OF SUBMITTER: | KEVIN R. LYN | |
| SIGNATURE: | /kevin r. lyn/ | |
| DATE SIGNED: 02/07/2017 | | |
| | This document serves as an Oath/Declaration (37 CFR 1.63). | |

Total Attachments: 3

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PATENT REEL: 041191 FRAME: 0103 504215934

COMBINED WORLDWIDE INVENTION ASSIGNMENT AND U.S. DECLARATION (37 CFR 1.63)

WHEREAS, the undersigned (hereinafter collectively referred to as "Assignor") has or may have invented certain inventions and improvements disclosed in the United States patent application entitled "AEROSOL DELIVERY DEVICE, AND ASSOCIATED APPARATUS AND METHOD OF FORMATION THEREOF" and designated as

| \boxtimes | Application No. <u>15/133,916</u> ; filed <u>April 20, 2016</u> | | |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------|
| | Application claims priority from Application No. listed above being hereinafter referred to as the "Application No. "Applicat | - | · • • |

WHEREAS, it is agreed that

RAI STRATEGIC HOLDINGS, INC. 401 N. MAIN STREET WINSTON-SALEM, NORTH CAROLINA 27101

(hereinafter referred to as "Assignee"), is entitled to or is desirous of acquiring all right, title and interest related to the same;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor, by these presents, does sell, assign, and transfer unto Assignee the full, exclusive and entire right, title and interest, worldwide: (i) in and to all inventions and improvements disclosed and described in the Application(s); (ii) in and to said Application(s) and any other United States national stage, provisional, non-provisional, divisional, continuation, continuation-in-part, or design patent applications based in whole or in part upon said inventions or improvements and/or claiming priority to said Application(s) (the "U.S. Applications"); (iii) in and to any Patent Cooperation Treaty applications based in whole or in part upon said inventions or improvements and/or claiming priority to said Application(s); (iv) in and to any and all applications for industrial property protection, including without limitation applications for patent, utility model, inventor's certificate, and design, filed or which are hereafter filed in countries outside the United States (the "Foreign Applications") and which

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PATENT REEL: 041191 FRAME: 0104 describe in whole or in part said inventions and improvements, said Foreign Applications to be filed and issued in the name of Assignee or its designee insofar as permitted by applicable law; (v) in and to all patents or similar protective rights in the United States or elsewhere which may be granted on the U.S. Applications and Foreign Applications and all reissues, reexaminations, and extensions thereof, any and all such patents or other protective rights to issue in the name of Assignee and for the sole use and behoof of Assignee and its successors and assigns; and (vi) in and to the right to claim any applicable foreign or domestic priority rights arising from or required for any of the aforementioned applications under the terms of any applicable conventions, treaties, statutes, or regulations.

AND, for the same consideration, Assignor agrees to (i) communicate to Assignee, its successors, legal representatives, and assigns, any facts known to Assignor respecting said inventions and improvements or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may embody said inventions or improvements; (ii) sign or execute all lawful papers, applications, declarations, affidavits, assignments, and rightful oaths that may be requested by Assignee during prosecution or enforcement of any rights related to the inventions and improvements; (iii) testify in any proceedings relating to said inventions or improvements or rights granted therefor; and (iv) generally do everything possible to aid Assignee and its successors, legal representatives and assigns, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

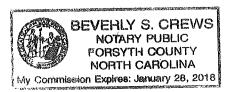
Assignor covenants with Assignee, its successors, legal representatives, and assigns, that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned. Assignor further agrees that Assignee, its successors, legal representatives, and assigns, may insert into this Assignment any further text necessary or desirable to define the Applications to which the Assignment pertains for purposes of recordation, and also agrees that the Assignment is effective at least as early as April 20, 2016 the earliest priority date of the Application(s).

<u>U.S. DECLARATION</u>: Assignor intends for this Assignment to serve as a U.S. declaration under 37 CFR 1.63(e)(1). Accordingly, with respect to the U.S. Applications identified herein, each undersigned inventor hereby declares that: (1) the above-identified application was made or authorized to be made by me; (2) I believe that I am the original

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inventor or an original joint inventor of a claimed invention in the application; and (3) I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

| 04 /28/2016 | fur | Loyel |
|--------------------------------------------------------------------------------------------------------|------------------------------------------------|------------------------------------------------------|
| Date | Rajesh Sur | |
| State of Month Caustina | | |
| County of For Sylh | | |
| I, Course, a Nota certify that Rajesh Sur personally appeared b execution of the foregoing instrument. | ry Public for said Co efore me this day and | ounty and State, do hereby I acknowledged the due |
| Witness my hand and official seal, this the | 28 day of $-$ | pr. (, , 20/6. |
| (Official Seal) | PA1100 S | (news |
| My commission expires $1/28/2018$ | | y Public |



RECORDED: 02/07/2017