## 504220050 02/09/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4266727

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                 | Execution Date |
|----------------------|----------------|
| DOUGLAS L. WACO      | 02/06/2017     |
| WILLIAM H. ADAMSON   | 02/06/2017     |
| MARK D. KLASSEN      | 02/03/2017     |
| WILLIAM L. SCHROEDER | 02/07/2017     |

#### **RECEIVING PARTY DATA**

| Name:                             | CNH INDUSTRIAL AMERICA, LLC |  |
|-----------------------------------|-----------------------------|--|
| Street Address: 500 DILLER AVENUE |                             |  |
| City:                             | NEW HOLLAND                 |  |
| State/Country: PENNSYLVANIA       |                             |  |
| Postal Code: 17557                |                             |  |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15428224 |

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 262-636-0939

Email: napatent@cnhind.com

Correspondent Name: CNH INDUSTRIAL AMERICA LLC AND DORITY &

Address Line 1: 700 STATE STREET, MAIN OFFICE

Address Line 4: RACINE, WISCONSIN 53404

| ATTORNEY DOCKET NUMBER: | 53220/CNH-133       |
|-------------------------|---------------------|
| NAME OF SUBMITTER:      | JASON A. JENNINGS   |
| SIGNATURE:              | /jason a. jennings/ |
| DATE SIGNED:            | 02/09/2017          |

### **Total Attachments: 7**

source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page1.tif source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page2.tif source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page3.tif

PATENT 504220050 REEL: 041211 FRAME: 0720

source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page4.tif source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page5.tif source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page6.tif source=53220\_CNH\_133\_ASSIGNMENT\_09FEB2017#page7.tif

Approved for use through 01/31/2013, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(c) Applicant/Patent Owner: CNH Industrial America LLC Filed/Issue Date: February 9, 2017 Application No./Patent No.: 15/428,224 Titled: HOOD GUIDING SYSTEM FOR A WORK VEHICLE CNH Industrial America LLC a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below): 1. The assignee of the entire right, title, and interest. 2. An assignee of less than the entire right, title, and interest (check applicable box): The extent (by percentage) of its ownership interest is \_\_\_\_\_\_\_%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest. \_\_\_ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are: Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are: Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest. 4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached. The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below): A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel Frame, Frame, or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: \_\_\_\_\_\_Tox 1. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at

[Page 1 of 2]

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradsmark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTC/AIA/96 (08-12)
Approved for use through 61/31/2013. CM8 0651-0631
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are registed to respond to a collection of information unless it displays a valid CM9 control number.

|                |                                    | STATEME   | <u>VT UNDER 37 CFR 3.73(c)</u>  |  |
|----------------|------------------------------------|---|---|--|
| 3. From:       |                                    |   | То:   | , in the same of t |
|                |                                    |   | Inited States Patent and Trademark Office at  |  |
|                | Reel                               | Frame   | or for which a copy thereof is attached.  |  |
| 4. From:       |                                    |   | То:   | anno   |
|                |                                    |   | Inited States Patent and Trademark Office at  |  |
|                | Reel                               | Frame   | , or for which a copy thereof is attached.  |  |
| 5. From:       | 4.00000000                         |   | To:   |  |
|                | The docume                         | nt was recorded in the U                                | United States Patent and Trademark Office at  |  |
|                | Reel                               | , Frame   | or for which a copy thereof is attached.  |  |
| 6. From:       |                                    |   | To:   |  |
|                | The docume                         | int was recorded in the U                               | Jnited States Patent and Trademark Office at  |  |
|                | Reel                               | Frame   | or for which a copy thereof is attached.  |  |
| ☐ Addi         | tional document                    | s in the chain of title are                             | listed on a supplemental sheet(s).  |  |
| As req         | uired by 37 CFF<br>ee was, or conc | R 3.73(c)(1)(i), the docur<br>urrently is being, submit | mentary evidence of the chain of title from the original owner to   | to the   |
| INOTE          | nn ataronos A -                    | notice atmorphorate                                     | ne original assignment document(s)) must be submitted to As record the assignment in the records of the USPTO. See MP | signment<br>EP 302.08]   |
| The undersigs  | aq Antiose Iye i                   | s supplified below) is aut                              | horized to act on behalf of the assignee.   | 59   |
|                |                                    |   | February 9, 2017  |  |
| Signature      |                                    | $\mathcal{N} \mathcal{N}$                               | Date  |  |
|                | Jason                              | X Jénnirkys )   | 64,391  |  |
| Printed or Typ | ed Name                            |   | Title or Registration Nur   | noer   |

[Page 2 of 2]

For good and valuable consideration, I (We), **Douglas L. Waco**, a resident of The United States, residing at 529 South Wesley Avenue, Oak Park, IL 60304, **William H. Adamson**, a resident of The United States, residing at 4304 Ariel Court, Naperville, IL 60564, **Mark D. Klassen**, a resident of The United States, residing at 1301 Collingwood Drive, Lockport, IL 60441 and **William L. Schroeder**, a resident of The United States, residing at 7205 Camden Road, Downers Grove, IL 60516, "assignor(s)")

hereby sell, assign and transfer to CNH Industrial America LLC, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 500 Diller Ave., New Holland, Pennsylvania 17557 ("assignee"), its successors and assigns, the entire right, title and interest, domestic and foreign, in the Invention entitled

### "HOOD GUIDING SYSTEM FOR A WORK VEHICLE"

| invented by assignor(s) and set forth in U.S. Patent Application No. (or PCT Patent Application No.)  and any and all applications for patent and patents   |
|---|
| granted therefor in any and all countries, including all divisions, reissues, continuations, reexaminations, inventor's certificates, and extensions therefor, and all right of priority resulting from the filing of said U.S patent application,  |
| and   |
| authorize and request any attorney or agent associated with customer number 18528 to insert in the spaces provided above the filing date and application number of said application when known,   |
| and   |
| authorize and request that any and all patents for said improvements be issued to said assignee, or its successors or assigns,  |
| and   |
| covenant that I have full right so to do,   |
| and   |
| agree to communicate to said assignee, its representatives, successors or assigns all facts known to assignor(s) respecting said improvements, whenever requested, and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reexamination, and reissue applications, and make all rightful oaths and generally do everything to aid said assignee, its successors, assigns, and representatives to obtain and enforce proper patent protection for said improvements in all countries. |
| Date: 2/6/2017 Date: 2/6/2017   |
| Signature of Assignor - Douglas L. Waco   |
| Date:   |
| Signature of Assignor - William H. Adamson  |

For good and valuable consideration, I (We), Douglas L. Waco, a resident of The United States, residing at 529 South Wesley Avenue, Oak Park, IL 60304, William H. Adamson, a resident of The United States, residing at 4304 Ariel Court, Naperville, IL 60564, Mark D. Klassen, a resident of The United States, residing at 1301 Collingwood Drive, Lockport, IL 60441 and William L. Schroeder, a resident of The United States, residing at 7205 Camden Road, Downers Grove, IL 60516, "assignor(s)")

hereby sell, assign and transfer to CNH Industrial America LLC, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 500 Diller Ave., New Holland, Pennsylvania 17557 ("assignee"), its successors and assigns, the entire right, title and interest, domestic and foreign, in the Invention entitled

## "HOOD GUIDING SYSTEM FOR A WORK VEHICLE"

| invented by assignor(s) and set forth in U.S<br>, filed                                    | . Patent Application No. (or PCT Patent Application No.), and any and all applications for patent and patents   |
|--|---|
| granted therefor in any and all countries, inc   | cluding all divisions, reissues, continuations, reexaminations, for, and all right of priority resulting from the filing of said U.S.   |
| and  |   |
| authorize and request any attorney or agent provided above the filing date and application | associated with customer number 18528 to insert in the spaces on number of said application when known,   |
| and  |   |
| authorize and request that any and all patent<br>successors or assigns,                    | s for said improvements be issued to said assignee, or its  |
| and  |   |
| covenant that I have full right so to do,  |   |
| and  |   |
| assignor(s) respecting said improvements, wall lawful papers, execute all divisional, con  | epresentatives, successors or assigns all facts known to whenever requested, and to testify in any legal proceeding, sign tinuing, reexamination, and reissue applications, and make all o aid said assignee, its successors, assigns, and representatives on for said improvements in all countries. |
| Date:  |   |
| N  | Signature of Assignor - Douglas L. Waco   |
| Date: 06 F03/7   | 104Al   |
|  | Signature of Assignor - William H. Adamson  |

| Date: | <u>3FEB ZO17</u> | Signature of Assignor – Mark D. Klassen      | A.a. |
|-------|------------------|--|------|
| Date: |                  | Signature of Assignor – William L. Schroeder |      |

For good and valuable consideration, I (We), **Douglas L. Waco**, a resident of The United States, residing at 529 South Wesley Avenue, Oak Park, IL 60304, **William H. Adamson**, a resident of The United States, residing at 4304 Ariel Court, Naperville, IL 60564, **Mark D. Klassen**, a resident of The United States, residing at 1301 Collingwood Drive, Lockport, IL 60441 and **William L. Schroeder**, a resident of The United States, residing at 532 Berriedale Drive, Cary, IL 60013, "assignor(s)")

hereby sell, assign and transfer to CNH Industrial America LLC, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 500 Diller Ave., New Holland, Pennsylvania 17557 ("assignee"), its successors and assigns, the entire right, title and interest, domestic and foreign, in the Invention entitled

### "HOOD GUIDING SYSTEM FOR A WORK VEHICLE"

| invented by assignor(s) and set forth in U.S. Pater , filed   | nt Application No. (or PCT Patent Application No.) and any and all applications for patent and patents  |
|---|---|
| granted therefor in any and all countries, including inventor's certificates, and extensions therefor, an patent application, | and any and all applications for patent and patents all divisions, reissues, continuations, reexaminations, d all right of priority resulting from the filing of said U.S.      |
| and   |   |
| authorize and request any attorney or agent associ<br>provided above the filing date and application nur                      | ated with customer number 18528 to insert in the spaces nber of said application when known,  |
| and   |   |
| authorize and request that any and all patents for s<br>successors or assigns,  | said improvements be issued to said assignee, or its  |
| and   |   |
| covenant that I have full right so to do,   |   |
| and   |   |
| all lawful papers, execute all divisional, continuin  | ver requested, and to testify in any legal proceeding, sign g, reexamination, and reissue applications, and make all aid assignee, its successors, assigns, and representatives |
| Date:   |   |
| Si  | gnature of Assignor – Douglas L. Waco   |
| Date:   |   |
| <b>O</b> .3   | enature of Assistance William H. Adamson  |

| Date: |          | Signature of Assignor – Mark D. Klassen      |
|-------|----------|--|
| Date: | Fy 7 242 | Signature of Assignar - William I. School on |

Page 2 of 2

PATENT REEL: 041211 FRAME: 0728

**RECORDED: 02/09/2017**