

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4270698

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID OAKLEY	06/09/2016
EDWARD ALTSHULER	06/21/2016
SCOTT SEAMANS	11/11/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	WAVI CO
<b>Street Address:</b>	6606 SOUTH BOULDER ROAD
<b>City:</b>	BOULDER
<b>State/Country:</b>	COLORADO
<b>Postal Code:</b>	80303
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15163292
<b>Application Number:</b>	62196066
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(832)217-2993
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	713-528-3100
<b>Email:</b>	randy@smithplaw.net
<b>Correspondent Name:</b>	E RANDALL SMITH,
<b>Address Line 1:</b>	2777 ALLEN PARKWAY, SUITE 1000
<b>Address Line 4:</b>	HOUSTON, TEXAS 77019-2141
<b>ATTORNEY DOCKET NUMBER:</b>	4096.009
<b>NAME OF SUBMITTER:</b>	E RANDALL SMITH
<b>SIGNATURE:</b>	/ers/
<b>DATE SIGNED:</b>	02/12/2017
<b>Total Attachments: 4</b>	
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## ASSIGNMENT

WHEREAS, we, **David Oakley, Edward Altshuler and Scott Seamans** have invented certain new and useful methods, devices, and/or systems relating to brain data collection technology (collectively, the "Inventions") for which a United States provisional patent application entitled **"ELECTRODE HEADSET SYSTEM AND RELATED APPARATUS, SYSTEMS, METHOD, COMPOSITIONS AND ARTICLES OF MANUFACTURE"** was filed under attorney docket number 4096.005 on July 23, 2015 and assigned Serial Number 62/196,066, a regular United States patent application entitled **"APPARATUS, SYSTEMS AND METHOD FOR RECEIVING SIGNALS FROM A HUMAN SUBJECT'S BRAIN"** was filed under attorney docket number 4096.009 on May 24, 2016 and assigned Serial Number 15/163,292 and a PCT patent applications entitled **"APPARATUS, SYSTEMS AND METHODS FOR RECEIVING SIGNALS FROM A HUMAN SUBJECT'S BRAIN"** was filed under attorney docket number 4096.010 on May 26, 2016 and assigned Serial Number PCT/US2016/34321 (collectively, the "Applications"); and

WHEREAS, **WAVi Co.**, a Colorado corporation, having its principal place of business located at 6606 South Boulder Road, Boulder, Colorado 80303 ("WAVI"), is desirous of acquiring the entire right, title and interest in and to the aforesaid Inventions and Applications, including the right to file additional patent applications in the United States and patent applications outside the United States (which shall include filings under the provisions of any convention or treaty);

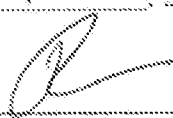
NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over to **WAVI**, all right, title and interest in and to said Inventions throughout the world, and said Applications, and any and all other U.S. and foreign patent applications relating to the Applications or Inventions and any and all divisions, continuations, continuations-in-part and other patent applications relating or claiming priority thereto (collectively, the "Additional Applications"), and any and all patents of the United States and foreign countries and reissues thereof which may be granted on the Applications and Additional Applications, the same to be held and enjoyed by **WAVI** for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said patents of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

And I hereby authorize and request the Commissioner of Patents and Trademarks and other authorities in foreign countries to issue any and all patents on said Inventions or resulting from said Applications and Additional Applications and reissues thereof to **WAVI**, as assignee of my entire interest, and hereby covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith.

And I further hereby covenant and agree that I will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said Inventions, Applications and Additional Applications and patents as may be granted therefor and reissues thereof, to WAVI, its successors, assigns, or other legal representatives and that if WAVI, its successors, assigns or other legal representatives shall desire to file any regular, divisional, continuation, continuation-in-part applications or other patent applications based upon the Applications, secure a reissue of any patent granted thereon or file a disclaimer relating thereto, I will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing and procuring thereof without further compensation but at the expense of said assignee, its successors or other legal representatives.

And I do further covenant and agree that I will, at any time upon request, communicate to WAVI, its successors, assigns or other legal representatives, such facts relating to said Inventions, Applications, Additional Applications, patents and reissues thereof and the file histories thereof as may be known to me, and testify as to the same in any interference or other administrative proceeding or litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

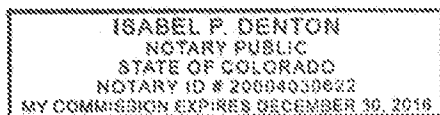
EXECUTED THIS 9<sup>th</sup> day of June, 2016.

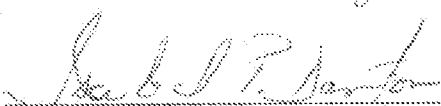
  
\_\_\_\_\_  
David Oakley

STATE OF COLORADO       §  
                                      §  
COUNTY OF BOULDER   §

BEFORE ME, the undersigned authority, on this day personally appeared **David Oakley** known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

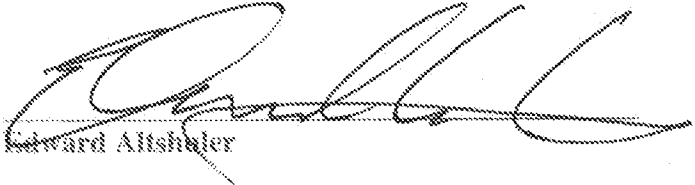
GIVEN UNDER MY HAND and seal of office this 9<sup>th</sup> day of June, 2016.



  
\_\_\_\_\_  
NOTARY PUBLIC IN AND FOR  
THE STATE OF COLORADO

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EXECUTED THIS 21 day of June, 2016.

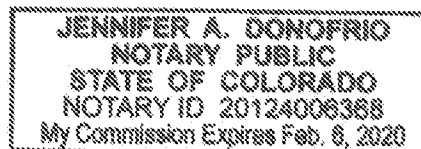
  
Edward Altshuler

STATE OF COLORADO §  
COUNTY OF Weld §

BEFORE ME, the undersigned authority, on this day personally appeared Edward Altshuler known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 21 day of June, 2016.

  
NOTARY PUBLIC IN AND FOR  
THE STATE OF COLORADO



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EXECUTED THIS \_\_\_\_\_ day of \_\_\_\_\_, 2016.

*Scott Seamans*

Scott Seamans

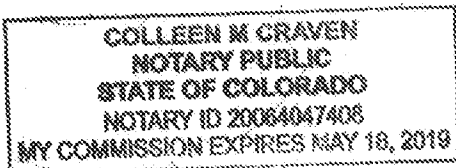
STATE OF Colorado §  
COUNTY OF Broomfield §

BEFORE ME, the undersigned authority, on this day personally appeared Scott Seamans known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this 11<sup>th</sup> day of November, 2016.

*Colleen M. Craven*

NOTARY PUBLIC IN AND FOR  
THE STATE OF \_\_\_\_\_



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