504228091 02/14/2017

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
FRANCIS C. SZOKA JR.	07/31/2014
DOUGLAS S. WATSON	08/24/2014

RECEIVING PARTY DATA

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	
Street Address:	1111 FRANKLIN STREET, 12TH FLOOR	
City:	OAKLAND	
State/Country:	CALIFORNIA	
Postal Code:	94607-5200	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15181028

CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	MOL-1001-DV2	
NAME OF SUBMITTER:	KRISTEN LEMME	
SIGNATURE:	/Kristen Lemme/	
DATE SIGNED:	02/14/2017	

Total Attachments: 2

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PATENT 504228091 REEL: 041256 FRAME: 0007

ASSIGNMENT

Attorney Docket No.: MOL-1001-DV UCSF Case No.: SF2008-041-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Francis C. Szoka, Jr.

2. Douglas S. Watson

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS AND COMPOSITIONS FOR LIPOSOMAL FORMULATION OF ANTIGENS AND USES THEREOF

and which is found in			
(a) U.S. provisional application filed herewith and lis	ting the above named persons as inventors		
(b) U.S. patent application filed herewith and listing the above named persons as inventors			
(c) X U.S. application serial no. 14/320,278	_		
(d) U.S. Patent No.:			
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;			
ASSIGNOR(S) hereby agrees that no assignment, sale, agreement into which would conflict with this assignment;	ent or encumbrance has been or will be made or entered		
ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.			
AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.			
executed this 1) 31 day of July , 2014	Signature of Inventor(s) Francis C. Szoka, Jr.		
2)day of	Douglas s. Watson		
	Douglas S. Watson		
<i>^</i>			
Assignee hereby accepts this Assignment: Pate: Academic 16, 2014 Tanya Feldman Patent Propagation Applies			
Assignee hereby accepts this Assignment:			
Date: September 16, 2014 Pate	ya Feldman ent Prosecution Analyst		
/	andray Management		

UCSF

Patent Prosecution Analyst **Technology** Management

Innovation, Technology & Alliances

PATENT REEL: 041256 FRAME: 0008

ASSIGNMENT

Attorney Docket No.: MOL-1001-DV UCSF Case No.: SF2008-041-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Francis C. Szoka, Jr.

RECORDED: 02/14/2017

2. Douglas S. Watson

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS AND COMPOSITIONS FOR LIPOSOMAL FORMULATION OF ANTIGENS AND USES THEREOF

and which is found in		
(a) U.S. provisional application filed herew	rith and listing the above named persons as inventors	
(b) U.S. patent application filed herewith an	nd listing the above named persons as inventors	
(c) X U.S. application serial no. 14/320,27	78 filed on <u>June 30, 2014</u>	
(d) U.S. Patent No.:	, issued	
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;		
ASSIGNOR(S) hereby agrees that no assignment, sal into which would conflict with this assignment;	le, agreement or encumbrance has been or will be made or entered	
documents relating to said invention and said Letters ASSIGNOR and will testify as to the same in any proceeding relating thereto and will promptly execute papers, instruments or affidavits required to apply for and said Letters Patent and said equivalents thereof	upon its request, be provided promptly with all pertinent facts and a Patent and legal equivalents as may be known and accessible to interference, post grant review, inter partes review, litigation, or e and deliver to ASSIGNEE or its legal representative any and all to obtain, maintain, issue or enforce said application, said invention which may be necessary or desirable to carry out the purposes equested by the execution of this assignment to insert into this application when officially known.	
AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.		
executed this	Signature of Inventor(s)	
1) day of, 20	Francis C. Szoka, Jr.	
2)24 day of August, 20 14	Douglas s. Watson	
	M	
Assignee hereby accepts this Assignment:	Tanya Feldman	
Date: <u>deptember 16, 2014</u>	Patent Prosecution Analyst Technology Management Innovation, Technology & Alliances UCSF PATENT	

REEL: 041256 FRAME: 0009