

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4212007

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DOUGLAS F. FRY	04/19/2016
SCOTT A. DAVID	04/21/2016
RECEIVING PARTY DATA	
Name:	RICERA BIOSCIENCES, LLC
Street Address:	7528 AUBURN ROAD
City:	CONCORD
State/Country:	OHIO
Postal Code:	44077-1000
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15126420
CORRESPONDENCE DATA	
Fax Number:	(617)646-8646
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	V0286.70026US01
NAME OF SUBMITTER:	CATHY NICHOLSON
SIGNATURE:	/Cathy Nicholson/
DATE SIGNED:	01/05/2017
Total Attachments: 4	
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**ASSIGNMENT
(INVENTOR RIGHTS)**

WHEREAS, I, Douglas F. Fry, an employee of Ricerca Biosciences, LLC, ("RICERCA BIOSCIENCES") having a principal place of business at 7528 Auburn Rd., Concord, OH 44077-1000, hereinafter referred to as "INVENTOR" has, during the scope of his employment with RICERCA BIOSCIENCES, made certain inventions as described and claimed in:

1. Provisional Patent Application having Serial Number 61/955,532, filed March 19, 2014; and PCT Application No. PCT/US2015/021484, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"
2. Provisional Patent Application having Serial Number 61/955,568, filed March 19, 2014; and PCT Application No. PCT/US2015/021478, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"; and
3. Provisional Patent Application having Serial Number 61/955,599, filed March 19, 2014; and PCT Application No. PCT/US2015/021484, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"
4. Provisional Patent Application having Serial Number 61/955,615, filed March 19, 2014; and PCT Application No. PCT/US2015/021491, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"
5. Provisional Patent Application having Serial Number 61/955,633, filed March 19, 2014; and PCT Application No. PCT/US2015/021504, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"
6. Provisional Patent Application having Serial Number 61/955,650, filed March 19, 2014, and PCT Application No. PCT/US2015/021511, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"

WHEREAS, I am an inventor named in **The Patent Application(s)**, and

WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under the terms and conditions of an agreement with Lelios Biomedical Research, Inc., (formerly SAIC-Frederick (Agreement Number 12XS332)) and in accordance with the terms and conditions of the agreement requires the assignment to **THE UNITED STATES OF AMERICA**, as represented by the **Secretary, Department of Health and Human Services** [hereinafter "**THE GOVERNMENT**"] under Executive Order 10096 and its amendments, 35 USC §§200-202, §§206-207, and §210 and 37 CFR Part 501 and 37 CFR Part 401 the entire right, title, and interest in such inventions that are the result of the Agreement, and

WHEREAS, **THE GOVERNMENT** is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application(s)**, and

NOW, THEREFORE, the undersigned **INVENTOR**, has the legal obligation as a result of my employment to assign all right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **RICERCA BIOSCIENCES, LLC**, having a place of business at 7528 Auburn Road, Concord OH 44077 and for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the undersigned inventor hereby now and forever after assign and transfer to **RICERCA BIOSCIENCES**:

- (i) the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Applications** and any and all Letters Patent(s) which may be granted thereon, and

ASSIGNMENT

any and all continuations, divisions, reissues or extensions thereof; and

- (ii) all rights to claim priority on the basis of The Patent Application(s) including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and
- (iii) all applications for Letters Patent(s) that may be filed for any and all inventions described and claimed in The Patent Application(s) that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and
- (iv) any and all such Letters Patents as may be granted in the United States or any foreign country to be held by THE GOVERNMENT to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further, as an INVENTOR on The Patent Applications agree to make, execute, and deliver to THE GOVERNMENT, or its designee upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist THE GOVERNMENT in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF I subscribe my hand and seal on the dates shown below:

Inventor:

4/19/16
Date

Douglas F. Fry
Douglas F. Fry

County of Cuyahoga Sc:

State of Ohio

Subscribed and sworn to before me this 19th day of April, 2016

[Seal]

Kathleen B. Pellegrini
Notary Public for Cuyahoga County
My Commission Expires 5-22-2016

ASSIGNMENT

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(INVENTOR RIGHTS)

WHEREAS, I, Scott A. David, a former employee of Ricerca Biosciences, LLC, ("RICERCA BIOSCIENCES") having a principal place of business at 7528 Auburn Rd., Concord, OH 44077-1000, hereinafter referred to as "INVENTOR" has, during the scope of his employment with RICERCA BIOSCIENCES, made certain inventions as described and claimed in:

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4. Provisional Patent Application having Serial Number 61/955,615, filed March 19, 2014; and PCT Application No. PCT/US2015/021491, filed March 19, 2015, which applications are entitled "ANTIFUNGAL COMPOUND PROCESS"
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WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under the terms and conditions of an agreement with Leidos Biomedical Research, Inc., (formerly SAIC-Frederick (Agreement Number 12XS332)) and in accordance with the terms and conditions of the agreement requires the assignment to **THE UNITED STATES OF AMERICA**, as represented by the Secretary, Department of Health and Human Services [hereinafter "THE GOVERNMENT"] under Executive Order 10096 and its amendments, 35 USC §§200-202, §§206-207, and §210 and 37 CFR Part 501 and 37 CFR Part 401 the entire right, title, and interest in such inventions that are the result of the Agreement; and

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NOW, THEREFORE, the undersigned **INVENTOR**, had during the period of his employment with **RICERCA BIOSCIENCES**, the legal obligation to assign all right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **RICERCA BIOSCIENCES, LLC**, having a place of business at 7528 Auburn Road, Concord OH 44077 and for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the undersigned inventor hereby now and forever after assign and transfer to **RICERCA BIOSCIENCES**:


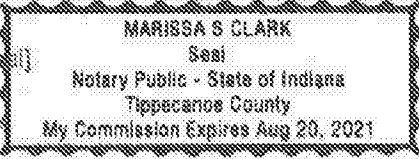

- (i) the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Applications** and any and all Letters Patent(s) which may be granted thereon, and

ASSIGNMENT

- any and all continuations, divisions, reissues or extensions thereof; and
- (ii) all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and
 - (iii) all applications for Letters Patent(s) that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and
 - (iv) any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I further, as an **INVENTOR** on **The Patent Applications** agree to make, execute, and deliver to **THE GOVERNMENT**, or its designee upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:	
<u>April 21, 2016</u> Date	 Scott A. David
County of <u>Tippicanoe</u>	Ss:
State of <u>IN</u>	
Subscribed and sworn to before me this <u>21</u> day of <u>April</u> 20 <u>16</u> .	
	 Notary Public for <u>Scott A. David</u> My Commission Expires: <u>August 20, 2021</u>