504236460 02/21/2017

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CLAUDIA EKSTEIN	02/14/2017
HOLGER MALTOR	01/27/2017

RECEIVING PARTY DATA

Name:	CARL ZEISS SMT GMBH
Street Address:	RUDOLF-EBER-STRASSE 2
City:	OBERKOCHEN
State/Country:	GERMANY
Postal Code:	73447

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	15399495	

CORRESPONDENCE DATA

Fax Number: (301)762-4056

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 301-424-3640

Email: epatent@usiplaw.com

Correspondent Name: EDELL, SHAPIRO & FINNAN, LLC
Address Line 1: 9801 WASHINGTONIAN BOULEVARD

Address Line 2: SUITE 750

Address Line 4: GAITHERSBURG, MARYLAND 20878

ATTORNEY DOCKET NUMBER:	EY DOCKET NUMBER: 2916.0008DIV	
NAME OF SUBMITTER:	GEORGE F. LEHNIGK	
SIGNATURE:	/George F. Lehnigk/	
DATE SIGNED:	02/21/2017	

Total Attachments: 2

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PATENT 504236460 REEL: 041314 FRAME: 0652

Attorney Docket No.: 2916,0008DIV Client Ref. No.: P18194US1

ASSIGNMENT

For good and valuable consideration, WE, Claudia EKSTEIN, residing in Ellwangen, Germany; and Holger MALTOR, residing in Aalen, Germany; hereinafter individually or collectively referred to as "Assignor";

Hereby sell, assign and transfer to Carl Zeiss SMT GmbH, a corporation organized and existing under the laws of Germany, having its principal place of business at Rudolf-Eber-Strasse 2, 73447 Oberkochen, Germany, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and

filed on January 5, 2017 as U.S. Application Serial No. 15/399,495

entitled: SUBSTRATE FOR AN EUV-LITHOGRAPHY MIRROR

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

by the undersig	ned, y		•
SIGNATURE:	Condictuze	DATE;	Feb 14,2012
	Claudia EKSTEIN		<i>"</i>
SIGNATURE:		DATE:	
	Holger MALTOR		Ninnakinanaguvuu varata sa

Attorney Docket No.: 2916,0008DtV Client Ref. No.: P18194US1

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For good and valuable consideration, WE, Claudia EKSTEIN, residing in Ellwangen, Germany; and Holger MALTOR, residing in Aalen, Germany; hereinafter individually or collectively referred to as "Assignor";

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Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

SIGNATURE:	Claudia EKSTEIN	DATE:	
SIGNATURE:	Helisz Hello Holger MALTOR	DATE:	January 127/2017

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