504237875 02/21/2017

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
RALPH H. PAGE	02/01/2016
F. PATRICK DOTY	02/20/2017

RECEIVING PARTY DATA

Name:	Sandia Corporation	
Street Address:	P.O. Box 5800, MS-0161	
Internal Address:	Legal Technology Transfer Center	
City:	Albuquerque	
State/Country:	NEW MEXICO	
Postal Code:	87185	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14678670

CORRESPONDENCE DATA

Fax Number: (505)844-9955

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (925)294-3143 Email: ilnguye@sandia.gov

SANDIA NATIONAL LABORATORIES **Correspondent Name:**

Address Line 1: 7011 EAST AVENUE Address Line 2: PO BOX 969, MS 9031

Address Line 4: LIVERMORE, CALIFORNIA 94551-9031

ATTORNEY DOCKET NUMBER:	SD12755/S132455	
NAME OF SUBMITTER:	MADELYNNE J. FARBER	
SIGNATURE:	/Madelynne J. Farber/	
DATE SIGNED:	02/21/2017	

Total Attachments: 5

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ASSIGNMENT

WHEREAS Ralph H. Page of Castro Valley, CA and F. Patrick Doty of Livermore, CA has(have) made an invention relating to FILTER ARRAYS in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 14/678,670 for United States patent filed April 3, 2015, and identified as DOE Docket No. S132,455, duly executed by said inventors;

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

WHEREAS, **Ralph H. Page**, being Inventor of said invention and employee of Sandia Staffing Alliance, LLC at the time said invention was made, and in consideration of *pro rata* share to be taken from twenty percent (20%) of royalties obtained by Sandia Corporation in any future licensing of said invention and to be paid annually to Sandia Staffing Alliance, LLC, has agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements conceived or first reduced to practice in the course of his employment; and

THEREFORE, **Ralph H. Page** hereby sells, assigns, and transfers unto Sandia Corporation his entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

The above-named Sandia Staffing Alliance, LLC Inventor further agrees, at the request of Sandia Corporation, to make, execute and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of him in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may be involved.

Page | 1

Signed at <u>Castro Valley</u> California (Alameda County)	
Ralph H- Page Ralph H/Page	1 Feb 2016 Date
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.	
STATE OF CALIFORNIA) SSS: COUNTY OF Alameda)	
Subscribed and sworn to (or affirmed) before me on this <u>Is</u> Ralph H. Page, who proved to me on the basis of satisfactor before me.	day of <u>February</u> , 2016, by ory evidence to be the person(s) who appeared
Notary Public signature	DEREK C. LOWE Commission # 2051343 Notary Public - California Alameda County My Comm. Expires Dec 10, 2017
My commission expires: 12/10/17	Notary Public seal
Approved and consented to this day of	, 2016.
Sandia Staffing Alliance, LLC	 Date

Page | 2

Approved and consented by:

Date: 21 FB 2017

SANDIA CORPORATION

Madelynne J. Farber

Senior Patent & Licensing Attorney Legal Technology Transfer Center

ASSIGNMENT

WHEREAS, Ralph H. Page of Castro Valley, CA and F. Patrick Doty of Livermore, CA has(have) made an invention relating to FILTER ARRAYS, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 14/678,670 for United States patent filed April 3, 2015, and identified as DOE Docket No. S-132455, duly executed by said inventor(s), and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

1

Signed at Livermore, CA.	
F. Patrick Doty	2/2 8 /17 Date
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.	
STATE OF CALIFORNIA)	
) ss: COUNTY OF ALAMEDA)	
Subscribed and sworn to (or affirmed) before me on this F. Patrick Doty , who proved to me on the basis of satis before me.	
Notary Public signature	JASMIN NGUYEN Notary Public Capitornia Alameda County Commission # 2160124
My commission expires: 7 17 2020	My Comm. Expires Jul 17, 2020
	
Approved and consented by:	
	SANDIA CORPORATION
Date: 20 Feb 2017	Madelynne J. Farber Senior Patent & Licensing Attorney Legal Technology Transfer Center

2