

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4288009

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DONALD BARNETSON	04/11/2016
GEORGE XIE	04/11/2016
BRIAN DOUGHERTY	04/11/2016
TOM QUINN	04/11/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	LUNERA LIGHTING, INC.
<b>Street Address:</b>	4935 EAST HUNTER AVE
<b>City:</b>	ANAHEIM
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	92807
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	29561646
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(212)624-0244
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2127105619
<b>Email:</b>	hcittone@cittonechinta.com
<b>Correspondent Name:</b>	HENRY CITTONE CITTONE & CHINTA LLP
<b>Address Line 1:</b>	11 BROADWAY SUITE 615
<b>Address Line 4:</b>	NEW YORK, NEW YORK 10004
<b>ATTORNEY DOCKET NUMBER:</b>	000285/00333US1
<b>NAME OF SUBMITTER:</b>	HENRY J. CITTONE
<b>SIGNATURE:</b>	/Henry J. Cittone/
<b>DATE SIGNED:</b>	02/23/2017
<b>Total Attachments: 4</b>	
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source=5-Assignment-285-333US1#page3.tif	



## ASSIGNMENT

WHEREAS, <sup>Donald</sup> Don Barnetson, an individual residing at 2508 Forest Avenue, San Jose, CA 95117 USA; and whereas George Xie, an individual residing 6081 Pineland Ave, San Jose, CA 95123 USA; and whereas Brian Dougherty, an individual residing 1711 Tenth Street, Berkeley, CA 94710 USA; and whereas Tom Quinn, an individual residing 25691 Chapin Road, Los Altos Hills, CA 94022 USA; (hereafter collectively ASSIGNOR) have invented an improvement in a "LED LAMP" for which they have or will execute an application for Letters Patent, and,

WHEREAS, Lunera Lighting, Inc., a corporation having an office and principal place of business at 4935 East Hunter Ave, Anaheim, CA 92807, USA (hereafter ASSIGNEE) is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration paid by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals, substitutes and continuations thereof, and all Letters Patent of the United States that may be granted thereon and all reissues and extensions thereof, and all applications, divisions, renewals, substitutes and continuations thereof for Letters Patent and any legal equivalent thereof, including the right to claim priority, that have been or may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent and any legal equivalent thereof that may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, the same to be held and enjoyed by ASSIGNEE for its own use and benefit and for the use and benefit of its successors, assigns or other legal representatives fully and entirely as if the same would have been held and enjoyed by ASSIGNOR if this Assignment had not been made, to the end of the term or terms for which any Letters Patent and any legal equivalent thereof may be granted;

AND ASSIGNOR HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to record this Assignment, and to issue all Letters Patent for the improvements to ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;


AND ASSIGNOR HEREBY covenants that ASSIGNOR has the full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement, assignment, sale or encumbrance in conflict herewith.

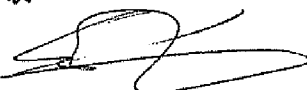
AND ASSIGNOR HEREBY further covenants and agrees that ASSIGNOR will communicate to ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the improvements, and will testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

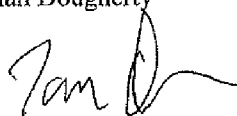
AND ASSIGNOR HEREBY authorizes and requests the law firm of Cittone & Chinta LLP (USPTO Customer Number 94544) the power to insert on this Assignment any further identification (such as series code along with U.S. Serial Number 29/561,646 or Patent Number ) which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, ASSIGNOR have set their hand and seal to this Assignment.

Executed this 11th day of April, 2016

  
\_\_\_\_\_  
Don Barnetson  
Donald

  
\_\_\_\_\_  
George Xie

\_\_\_\_\_  
Brian Dougherty  
  
\_\_\_\_\_  
Tom Quinn

On this \_\_\_\_\_ day of April, 2016, before me appeared Don Barnetson, George Xie, Brian Dougherty and Tom Quinn to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

SEE ATTACHED NOTARY CERTIFICATE

\_\_\_\_\_  
Notary

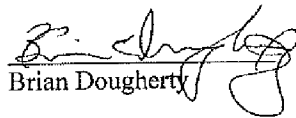
AND ASSIGNOR HEREBY authorizes and requests the law firm of Cittone & Chinta LLP (USPTO Customer Number 94544) the power to insert on this Assignment any further identification (such as series code along with U.S. Serial Number 29,501,446 or Patent Number ) which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, ASSIGNOR have set their hand and seal to this Assignment.

Executed this 11th day of April, 2016

\_\_\_\_\_  
Donald Barnetson

\_\_\_\_\_  
George Xie

 4/21/16  
Brian Dougherty

\_\_\_\_\_  
Tom Quinn

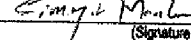
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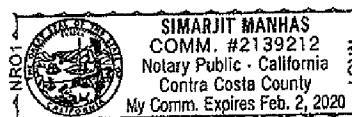
  
\_\_\_\_\_  
Notary

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA COUNTY OF Alameda  
Subscribed and sworn to (or affirmed) before me on this 21 day of APRIL  
2016 by Brian Dougherty

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

  
(Signature of Notary)



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

CIVIL CODE § 1189

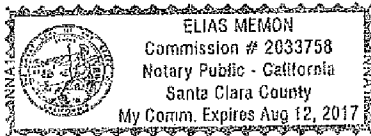
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )  
County of SANTA CLARA )  
On 05/09/2016 before me, ELIAS MEMON, NOTARY PUBLIC,  
Date Here Insert Name and Title of the Officer  
personally appeared GEORGE W. XIE, THOMAS C. QUINN, &  
Name(s) of Signer(s)  
DONALD ROY BARNETSON

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hers/their authorized capacity(ies), and that by his/hers/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature ELIAS MEMON  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: ASSIGNMENT Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

Corporate Officer — Title(s): \_\_\_\_\_

Partner —  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

Corporate Officer — Title(s): \_\_\_\_\_

Partner —  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_