

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4299619

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PRATEEK SHARMA	03/15/2016
SEAN GUTHRIE	03/15/2016
TOAN TRINH	03/30/2016
MICHAEL MAHAR	03/17/2016
ATIN KAPADIA	10/30/2015
RECEIVING PARTY DATA	
Name:	HUMANA INC.
Street Address:	500 WEST MAIN STREET
City:	LOUISVILLE
State/Country:	KENTUCKY
Postal Code:	40202
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15391988
CORRESPONDENCE DATA	
Fax Number:	(614)792-5536
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6147925555
Email:	standleydocketing@standleyllp.com
Correspondent Name:	STANDLEY LAW GROUP LLP
Address Line 1:	6300 RIVERSIDE DR.
Address Line 4:	DUBLIN, OHIO 43017
ATTORNEY DOCKET NUMBER:	HUM2027-161D
NAME OF SUBMITTER:	JAMES L. KWAK
SIGNATURE:	/James L. Kwak/
DATE SIGNED:	03/02/2017
Total Attachments: 10	
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COMBINED ASSIGNMENT AND DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of
Invention

SYSTEM AND METHOD FOR PRODUCT DELIVERY

DECLARATION

As the below named inventor, I hereby declare that:

This declaration
is directed to:

The attached application, or

United States application or PCT international application number
15/069,597 filed on March 14, 2016.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

For at least one U.S. dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to Humana Inc., a corporation organized under the laws of the State of Delaware and having an office at 500 West Main Street, Louisville, Kentucky 40202, (hereinafter referred to as the Assignee) my entire right, title and interest, together with all rights of priority, in and to the invention set forth in the above-identified application. I further hereby sell, assign and transfer to the Assignee my entire right, title and interest, together with all rights of priority, in and to my invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

I authorize the Assignee to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in the Assignee's name.

I promise and agree that I will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention. I will, at any time, upon the request and without further consideration, but at the expense of the Assignee, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, to the Assignee, its successors, assigns, or other legal representatives. I will, at any time, upon the request and at the expense of the Assignee, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part or parts thereof and any patents issuing thereon are hereby assigned to the Assignee. I will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of the Assignee, its successors, assigns or other legal representatives.

I authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the

United States for the invention, resulting from any of the aforesaid applications to the Assignee.

LEGAL NAME OF INVENTOR

Inventor: Prateek Sharma

Signature: 

Date: 03/15/2016

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional form for each additional inventor.

Advice from Standley Law Group LLP: It is advisable to obtain two witness signatures to the above inventor signature in the places indicated below, OR in the alternative, it is advisable to obtain a notarization of the above inventor signature in the notary section set forth below. With or without the witness signatures or the notarization, this assignment is a legally binding agreement to assign the invention to the assignee.

Optional (but advisable) witness signatures:

Witness One

Printed Full Legal Name: _____

Signature: _____

Date: _____

Witness Two

Printed Full Legal Name: _____

Signature: _____

Date: _____

Or, optional (but advisable) notarization:

STATE OF _____ :

COUNTY OF _____ : SS.

Before me personally appeared Prateek Sharma, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth. Sworn to before me and subscribed in my presence this _____ day of _____, _____.

(seal)

Notary Public

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Title of Invention	SYSTEM AND METHOD FOR PRODUCT DELIVERY
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United States application or PCT international application number 15/069,597 filed on March 14, 2016.

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ASSIGNMENT

For at least one U.S. dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to Humana Inc., a corporation organized under the laws of the State of Delaware and having an office at 500 West Main Street, Louisville, Kentucky 40202, (hereinafter referred to as the Assignee) my entire right, title and interest, together with all rights of priority, in and to the invention set forth in the above-identified application. I further hereby sell, assign and transfer to the Assignee my entire right, title and interest, together with all rights of priority, in and to my invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

I authorize the Assignee to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in the Assignee's name.

I promise and agree that I will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention. I will, at any time, upon the request and without further consideration, but at the expense of the Assignee, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, to the Assignee, its successors, assigns, or other legal representatives. I will, at any time, upon the request and at the expense of the Assignee, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part or parts thereof and any patents issuing thereon are hereby assigned to the Assignee. I will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of the Assignee, its successors, assigns or other legal representatives.

I authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the

United States for the invention, resulting from any of the aforesaid applications to the Assignee.

LEGAL NAME OF INVENTOR

Inventor: Sean Guthrie
Signature: *Sean Guthrie* Date: 3/15/2016

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire Inventive entity, must accompany this form or must have been previously filed. Use an additional form for each additional inventor.

Advice from Standley Law Group LLP: It is advisable to obtain two witness signatures to the above inventor signature in the places indicated below, OR in the alternative, it is advisable to obtain a notarization of the above inventor signature in the notary section set forth below. With or without the witness signatures or the notarization, this assignment is a legally binding agreement to assign the invention to the assignee.

Optional (but advisable) witness signatures:

Witness One
Printed Full Legal Name: _____
Signature: _____
Date: _____

Witness Two
Printed Full Legal Name: _____
Signature: _____
Date: _____

Or, optional (but advisable) notarization:

STATE OF Kentucky :
COUNTY OF Jefferson : SS.

Before me personally appeared Sean Guthrie, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth. Sworn to before me and subscribed in my presence this 15th day of March, 2016.

(seal) Sondra R. Wojciak
State at Large
Kentucky
My Commission Expires June 16, 2019

Sondra R. Wojciak
Notary Public

COMBINED ASSIGNMENT AND DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

**Title of
Invention**

SYSTEM AND METHOD FOR PRODUCT DELIVERY

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ASSIGNMENT

For at least one U.S. dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to Humana Inc., a corporation organized under the laws of the State of Delaware and having an office at 500 West Main Street, Louisville, Kentucky 40202, (hereinafter referred to as the Assignee) my entire right, title and interest, together with all rights of priority, in and to the invention set forth in the above-identified application. I further hereby sell, assign and transfer to the Assignee my entire right, title and interest, together with all rights of priority, in and to my invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

I authorize the Assignee to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in the Assignee's name.

I promise and agree that I will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention. I will, at any time, upon the request and without further consideration, but at the expense of the Assignee, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, to the Assignee, its successors, assigns, or other legal representatives. I will, at any time, upon the request and at the expense of the Assignee, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part or parts thereof and any patents issuing thereon are hereby assigned to the Assignee. I will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of the Assignee, its successors, assigns or other legal representatives.

I authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the


PATENT

REEL: 041442 FRAME: 0088

United States for the invention, resulting from any of the aforesaid applications to the Assignee.

LEGAL NAME OF INVENTOR

Inventor: Toan Trinh

Signature:  Date: 3/30/16

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire Inventive entity, must accompany this form or must have been previously filed. Use an additional form for each additional inventor.

Advice from Standley Law Group LLP: It is advisable to obtain two witness signatures to the above inventor signature in the places indicated below, OR in the alternative, it is advisable to obtain a notarization of the above inventor signature in the notary section set forth below. With or without the witness signatures or the notarization, this assignment is a legally binding agreement to assign the invention to the assignee.

Optional (but advisable) witness signatures:

Witness One
Printed Full Legal Name: _____
Signature: _____
Date: _____

Witness Two
Printed Full Legal Name: _____
Signature: _____
Date: _____

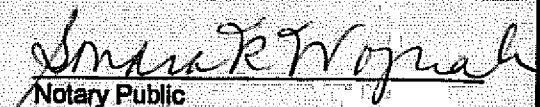
Or, optional (but advisable) notarization:

STATE OF Kentucky :
COUNTY OF Jefferson : SS.

Sondra R. Wojciak
State at Large
Kentucky
My Commission Expires June 16, 2019

Before me personally appeared Toan Trinh, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth. Sworn to before me and subscribed in my presence this 30th day of March, 2016.

(seal)


Notary Public

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Title of Invention

SYSTEM AND METHOD FOR PRODUCT DELIVERY

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This declaration is directed to: The attached application, or

United States application or PCT international application number 15/069,597 filed on March 14, 2016.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

For at least one U.S. dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to Humana Inc., a corporation organized under the laws of the State of Delaware and having an office at 500 West Main Street, Louisville, Kentucky 40202, (hereinafter referred to as the Assignee) my entire right, title and interest, together with all rights of priority, in and to the invention set forth in the above-identified application. I further hereby sell, assign and transfer to the Assignee my entire right, title and interest, together with all rights of priority, in and to my invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

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I authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the

United States for the invention, resulting from any of the aforesaid applications to the Assignee.

LEGAL NAME OF INVENTOR

Inventor: Michael Mahar

Signature: *Michael Mahar* Date: 3/17/2016

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional form for each additional inventor.

Advice from Standley Law Group LLP: It is advisable to obtain two witness signatures to the above inventor signature in the places indicated below, OR in the alternative, it is advisable to obtain a notarization of the above inventor signature in the notary section set forth below. With or without the witness signatures or the notarization, this assignment is a legally binding agreement to assign the invention to the assignee.

Optional (but advisable) witness signatures:

Witness One

Printed Full Legal Name: _____

Signature: _____

Date: _____

Witness Two

Printed Full Legal Name: _____

Signature: _____

Date: _____

Or, optional (but advisable) notarization:

STATE OF _____ :
COUNTY OF _____ : SS.

Before me personally appeared Michael Mahar, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth. Sworn to before me and subscribed in my presence this _____ day of _____, _____.

(seal)

Notary Public

CONFIDENTIALITY AGREEMENT

I agree to the terms of this *Confidentiality Agreement* ("Agreement") as a condition of my being a contingent worker with, Humana Inc., its subsidiaries, or affiliates ("Humana").

I understand and acknowledge that during the course of my work with Humana I may prepare, come in contact with, become knowledgeable of or possess trade secrets, and other confidential and proprietary information belonging to Humana, its customers, business partners, or other stakeholders. As used in this Agreement, "Confidential Information" includes but is not limited to: customer/patient/client/member/provider/associate or contingent worker, medical and dental information, claims information, other personal information, lists, billing, pricing, sales or other financial information, projections, research, product plans, products, services, business or marketing plans, developments, inventions, formulas, methods, processes, practices, specifications, designs, software, information technology systems, configuration information, drawings, images, recordings, contracts, negotiations, records, data, documents, presentations, manuals, client lists, books of business, and other information and documents concerning Humana, its business operations and relationships that are not generally available to the public. If I am unsure whether or not a particular fact, matter, conversation, information or document is covered by this Agreement, I agree to preserve the confidentiality of the item in question and receive clarification from the appropriate Humana leadership, or other internal authority.

I agree that such Confidential Information is and shall remain the sole property of Humana. I also agree that all works of authorship, developments, improvements, designs, trademarks or trade secrets, whether or not patentable or registrable under copyright or similar laws, which I conceive, develop, or reduce to practice (solely or jointly with others) within the scope of and during the period of my work with Humana are Humana's sole property. I agree that I have no right or ownership interest in any such property or Confidential Information, and hereby assign to Humana any right, title, or ownership interest in such property or Confidential Information that might be found to exist.

I agree at all times to hold such Confidential Information in strictest confidence and to only use it for the benefit of Humana and as authorized to perform my assigned position responsibilities. I agree to only access Confidential Information for which I have a legitimate business need to know. I will not in any way access, possess, divulge, copy, publish, release, sell, transfer, review, permit access to, remove from Humana premises, alter, or destroy any Confidential Information except as authorized by Humana, or as required by law or regulation.

I further agree that if my work with Humana ends for any reason, I will deliver to Humana (and will not keep, recreate, or deliver to anyone else) all Confidential Information, equipment, and other proprietary information or property affecting or relating to Humana's business in any form, including but not limited to computers, PDA's, cell phones and other devices, media, correspondence, documents, memos, electronic files, and any copies thereof, or other materials that belong to Humana and which are in my possession.

I further understand, acknowledge and agree that: (1) my obligations under this Agreement continue after I no longer am a contingent worker at Humana; (2) Humana has the right in its sole discretion to notify my employer of my obligations under this Agreement; (3) violation of the terms or intent of this Agreement may subject me to immediate termination of my assignment as a contingent worker, and possible legal action; and (4) such violation will entitle Humana to injunctive relief to prevent unfair competition, misappropriation or the unlawful possession, use or disclosure of Confidential Information, and to any other claims or remedies available in law or equity, including but not limited to temporary restraining orders, preliminary injunctions and damages. For any such action that results in the enforcement of this Agreement or any of its provisions against me, I agree to pay Humana's reasonable attorneys' fees, expenses and costs for bringing or defending the action.

I do so agree, in consideration of my continued contingent worker assignment.

Disclaimer: Independent contractors remain so at all times and are not employees, agents, partners of or joint ventures with Humana. Nothing contained within Humana's Ethics & Compliance training or the accompanying acknowledgement forms shall be construed to create an agency or employment relationship between any independent contractor and Humana. To the extent this agreement conflicts with any provision of any contract for services between an independent contractor and Humana or Humana and a contingent worker's employer, the contract for services governs.

Revised November 2015

PATENT
REEL: 041442 FRAME: 0092

Ethics and Compliance Training

Train Year	Ethics and Compliance Training Completion	Prior Completion Date	Harassment Statement	Confidentiality Agreement	Information Technology Security Agreement	Criminal Offense Statement	Background Investigation Disclosure Authorization	Conflict of Interest Agreement	Telephone Monitoring Agreement (Optional)	Driver Statement (optional)	Professional Licensure Agreement (Optional)	On-Line Service Agreement	Ethics Every Day Acknowledgement
Name: Kapadia, Mr. Atin B (Atin) Role: Manager AIN: 261766 Hire Date: 4/4/2010													
2009	4/29/2010		4/29/2010	4/29/2010	4/29/2010	4/29/2010	4/29/2010	4/29/2010	4/29/2010	4/29/2010	4/29/2010		4/29/2010
2010	9/21/2010		9/21/2010	9/21/2010	9/21/2010	9/21/2010	9/21/2010	9/21/2010	9/21/2010	9/21/2010	9/21/2010		9/21/2010
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2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015	10/30/2015