### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4299827

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

### **CONVEYING PARTY DATA**

Name	Execution Date
AVANTOR PERFORMANCE MATERIALS, INC.	09/27/2016

### **RECEIVING PARTY DATA**

Name:	AVANTOR PERFORMANCE MATERIALS, LLC	
Street Address:	3477 CORPORATE PARKWAY	
Internal Address:	SUITE 200	
City:	CENTER VALLEY	
State/Country:	PENNSYLVANIA	
Postal Code:	18034	

### **PROPERTY NUMBERS Total: 33**

Property Type	Number
Patent Number:	8986559
Patent Number:	6899818
Patent Number:	6326130
Patent Number:	7470767
Patent Number:	7419945
Patent Number:	8906838
Patent Number:	7928046
Patent Number:	6875817
Patent Number:	9427393
Patent Number:	7947639
Patent Number:	7754668
Patent Number:	7951764
Patent Number:	8178482
Patent Number:	8557757
Patent Number:	7521406
Patent Number:	9570343
Patent Number:	7247208
Patent Number:	7718591
Patent Number:	9327966

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Property Type	Number
Patent Number:	7393819
Patent Number:	8168577
Patent Number:	8481472
Patent Number:	7799749
Patent Number:	8338350
Patent Number:	8497233
Patent Number:	7767636
Patent Number:	8366954
Patent Number:	7375188
Patent Number:	6465403
Patent Number:	6585825
Patent Number:	6599370
Patent Number:	8183195
Patent Number:	6311134

#### **CORRESPONDENCE DATA**

**Fax Number:** (516)822-3582

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 516-822-3550

Email: jpeterson@hbiplaw.com

Correspondent Name: HOFFMANN & BARON, LLP

Address Line 1: 6900 JERICHO TURNPIKE

Address Line 4: SYOSSET, NEW YORK 11791

ATTORNEY DOCKET NUMBER:	2198-0
NAME OF SUBMITTER:	JAMES F. HARRINGTON
SIGNATURE:	/james f. harrington/
DATE SIGNED:	03/02/2017

### **Total Attachments: 8**

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# CERTIFICATE OF MERGER OF AVANTOR PERFORMANCE MATERIALS, INC. WITH AND INTO

AVANTOR PERFORMANCE MATERIALS, LLC

Pursuant to the provisions of Section 14A:10-4:1 of the New Jersey Business Corporation Act and Section 42:2C of the New Jersey Revised Uniform Limited Liability Company Act, the New Jersey corporation and the New Jersey limited liability company hereinafter named do hereby certify that:

- 1. The name of the merging business entity, which is a corporation organized under the laws of the State of New Jersey, is Avantor Performance Materials, Inc. ("APM Inc."). The New Jersey identification number of such entity is: 5150801000.
- 2. The name of the surviving business entity, which is a limited liability company organized under the laws of the State of New Jersey, is Avantor Performance Materials, LLC ("APM LLC"). The New Jersey identification number of such entity is: 0600435129.
- 3. The Agreement and Plan of Merger, which is attached hereto as Exhibit A and provides for the merger of APM Inc. with and into APM LLC, with APM LLC as the surviving entity (the "Merger"), was approved by the board of directors and stockholders of APM Inc. on September 27, 2016. There are 87,011 shares of common stock, no par value, outstanding and entitled to vote on the Merger and all such shares were voted in favor of approving the Merger.
- 4. The Agreement and Plan of Merger, and the Merger, were approved by the sole member of APM LLC on September 27, 2016.
- 5. APM LLC will continue its existence as the surviving limited liability company pursuant to the provisions of the New Jersey Revised Uniform Limited Liability Company Act.
- 6. The Merger shall become effective in the State of New Jersey at 5:00 P.M. Eastern Daylight Time on September 27, 2016.

[Signature Page Follows]

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IN WITNESS WHEREOF, the undersigned have caused this Certificate of Merger to be executed on September 27, 2016. 2016.

> AVANTOR PERFORMANCE MATERIALS, INC. a New Jersey Corporation

Name: Andre V. Moura Title: Vice President

[Signature Page to APM LLC Certificate of Merger (APM Inc. merges into APM LLC)]

IN WITNESS WHEREOF, the undersigned have caused this Certificate of Merger to be executed on Scotenber 27, 2016.

AVANTOR PERFORMANCE MATERIALS, LLC a New Jersey Limited Liability Company

By: VMA L. Namo: Matthew Holt

Title: Vice President

[Signature Page to APM LLC Certificate of Merger (APM Inc. merges into APM LLC)]

## Exhibit A

Agreement and Plan of Merger

## AGREEMENT AND PLAN OF MERGER

OF

# AVANTOR PERFORMANCE MATERIALS, INC. WITH AND INTO

# AVANTOR PERFORMANCE MATERIALS, LLC

This Agreement and Plan of Merger (this "Agreement") is dated as of September 27, 2016, by and between (i) Avantor Performance Materials, Inc., a New Jersey corporation ("APM Inc.") and (ii) Avantor Performance Materials, LLC, a New Jersey limited liability company ("APM LLC").

WHEREAS, APM Inc. is a corporation existing under the laws of the State of New Jersey;

WHEREAS, APM LLC is a limited liability company existing under the laws of the State of New Jersey;

WHEREAS, Avantor Performance Materials Holdings, Inc. owns all of the outstanding shares of APM Inc. and all of the outstanding limited liability company interests of APM LLC; and

WHEREAS, APM Inc. and APM LLC desire to merge (the "Merger"), with APM LLC to be the surviving entity of the Merger (the "Surviving Entity").

NOW, THEREFORE, for and in consideration of the premises and the representations, warranties, covenants and agreements contained herein, APM Inc. and APM LLC do represent, warrant, covenant and agree as follows:

- Parties to Merger and Surviving Entity. APM Inc. shall merge with and into APM LLC, pursuant to the laws of the State of New Jersey. After the consummation of the Merger, APM LLC will be Surviving Entity. The name of the Surviving Entity will be Avantor Performance Materials, LLC, a New Jersey limited liability company, and its principal office will be 3477 Corporate Parkway, Suite 200, Center Valley, PA 18034.
- 2. <u>Terms of Merger.</u> The terms and conditions of the Merger are set forth in this Agreement. Upon satisfaction of all the terms and conditions set forth herein, the Merger shall be effective as of 5:00 P.M. (Eastern Daylight Time) on September 27, 2016 (the "Effective Time").
  - 3. <u>Effect of the Merger</u>. Upon consummation, the Merger shall have the following effects:
- (a) The Surviving Entity, shall upon the Effective Time of the Merger and thereafter, possess all the rights, privileges, immunities and contracts of both APM Inc. and APM LLC.
- (b) All property, real, personal, and mixed, and all debts due in whatever amount, and all choses in action, and all and every other interest belonging to or due to APM Inc., shall be taken and deemed to be transferred to and invested in APM LLC without further act or deed; and the title to any real estate (which shall be documented with a confirmatory deed), if any, or any interest therein, vested in APM Inc., shall not revert nor be in any way impaired by reason of the Merger.
- obligations of APM Inc., and neither the rights of creditors nor liens upon the property of APM LLC and APM Inc. shall be impaired by the Merger.

- (d) The Merger is Intended to be treated, for U.S. federal income tax purposes, as a complete liquidation of APM Inc. that qualifies for treatment under Section 332 of the U.S. Internal Revenue Code of 1986, as amended.
- 4. Conversion of Securities: Cancellation of APM Inc. Common Stock. At the Effective Time, by virtue of the Merger and without any other action on the part of APM Inc. or APM LLC, each share of common stock in APM Inc. which shall be outstanding immediately prior to the Effective Time, and all rights in respect thereof shall be cancelled and extinguished without any payment of any consideration therefor, and shall thereafter cease to exist. The limited liability company interests of APM LLC shall remain outstanding after the Effective Time.
- Effective Time, (a) the Certificate of Formation and Limited Liability Company Agreement. From and after the Effective Time, (a) the Certificate of Formation of APM LLC in effect immediately prior to the Effective Time filed with the Division of Revenue in the Department of the Treasury of the State of New Jersey shall be the Certificate of Formation of the Surviving Entity and (b) the Operating Agreement of APM LLC, dated as of September 26, 2016, in effect immediately prior to the Effective Time shall be the Operating Agreement of the Surviving Entity, each until altered or amended in accordance with their respective provisions and applicable law.
- 6. <u>Amendment</u>. This Agreement may be amended or waived only by a written instrument executed by both parties hereto at any time prior to filing with the Division of Revenue in the Department of the Treasury.
- 7. <u>Counterparts</u>. This Agreement may be executed in two or more counterparts and by electronic means (including 'pdf' or facsimile), each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.
- 8. <u>Headings</u>. The headings in this Agreement are for reference only and shall not affect the interpretation of this Agreement.
- 9 Severability. If any provision of this Agreement is invalid or unenforceable, the balance of this Agreement shall remain in effect.

[Signature Page Follows]

IN WITNESS WHEREOF, each of the parties hereto has caused this Agreement to be duly executed and delivered in its name and on its behalf, all as of the day and year first above written.

AVANTOR PERFORMANCE MATERIALS, INC.

a New Jersey corporation

Name: Andre Moura Title: Vice President

[Signature Page to Agreement and Plan of Merger - (APM INC - APM LLC)]

IN WITNESS WHERBOF, each of the parties hereto has caused this Agreement to be duly executed and delivered in its name and on its behalf, all as of the day and year first above written.

AVANTOR PERFORMANCE MATERIALS, LLC

a New Jersey limited liability company

By

Name: Matthew Holt Title: Vice President

[Signature Page to Agreement and Plan of Merger - (APM INC - APM LLC)]

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**RECORDED: 03/02/2017**