504254630 03/03/2017

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
SIMON SPENCE	03/01/2007
RICHARD GRANT	02/01/2007
WILLIAM HUNTER	02/06/2007
ASHRAF ABDELMOTELEB	02/02/2007
DAVID KNEEN	02/06/2007
ROBERT NEIL	02/01/2007
SIMON HARRIS	02/01/2007

# **RECEIVING PARTY DATA**

Name:	ARGOS THERAPEUTICS, INC.
Street Address:	4233 TECHNOLOGY DRIVE
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Postal Code:	27704
Name:	KIRIN BEER KABUSHIKI KAISHA
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# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15357347

# **CORRESPONDENCE DATA**

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PATENT

504254630 REEL: 041454 FRAME: 0902

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ATTORNEY DOCKET NUMBER:	ARG036USDV6
NAME OF SUBMITTER:	ALIDA M CLARK
SIGNATURE:	/Alida M Clark/
DATE SIGNED:	03/03/2017

**Total Attachments: 10** 

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PATENT REEL: 041454 FRAME: 0903

### ASSIGNMENT

THIS ASSIGNMENT, made by us, Simon Jonathon Spence a citizen of Australia, residing at 1/1 Muir Street, Hawthorn, Victoria, 3122, Australia; Richard Alexander Grant, a citizen of Great Britain, residing at 56 Park Street, St. Kilda, Victoria, Australia; William Samuel Hunter, a citizen of Australia, residing at 14 Ocean Boulevard, Jan Juc, Victoria 3228, Australia; Ashraf F. Abdelmoteleb, a citizen of Australia and Egypt, residing at Unit 1, 37 Austin Street, Ferntree Gully, Victoria 3156, Australia; David Thomas Kneen, a citizen of Australia, residing at 3 Cole Street, Richmond, Victoria 3121, Australia; Robert Alister Neil, a citizen of Australia, residing at 4A Haig Street, Box Hill South 3128, Victoria, Australia; and Simon Harris, a citizen of Australia, residing at 10 Latrobe Avenue, Keysborough, Victoria 3173, Australia;

WITNESSETH: That,

WHEREAS, we are inventors of certain new and useful improvements and inventions in SYSTEMS AND METHODS FOR PROCESSING SAMPLES IN A CLOSED CONTAINER, AND RELATED DEVICES for which an international patent application ("the PCT Application") has been filed with the United States Patent and Trademark Office as the International Receiving Office on January 17, 2007, and for which U.S. Provisional application Serial No. 60/760,087 was filed January 18, 2006. We hereby authorize and request Myers Bigel Sibley & Sajovec, P.A., to insert here in parentheses (the PCT Application No.\_\_\_\_\_\_\_, filed\_\_\_\_\_\_\_) the filing date and application number of the PCT Application when known; and

WHEREAS, Argos Therapeutics, Inc., a North Carolina corporation having a principal place of business at 4233 Technology Drive, Durham, North Carolina 27704, and Kirin Beer Kabushiki Kaisha, a Japanese corporation having a principal place of business at 6-26-1 Jingumae, Shibuya-ku, Tokyo, 150-8011, Japan, hereinafter referred to as Assignees, are desirous of acquiring the entire right, title and interest in and to the invention(s) and in the PCT Application, and in and to any and all Letters Patent which shall be granted for applications that claim priority thereto in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey jointly unto the said

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Assignees, their successors and assigns, the entire right, title, and interest in and to the invention(s) and the PCT Application, in and to and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted based therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, and in and to any and all continuations, continuations-in-part, or divisions thereof, as well as to the provisional application that the PCT Application claims priority to, and together with the right to claim the priority of the provisional and PCT Application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignees, their successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess our full title to the invention(s) and applications above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said Assignees or their representatives any facts known to us relating to said invention(s), to testify in any interference or legal proceedings involving said invention(s), to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention(s) in all countries and to vest in the Assignees complete title to the said invention(s) and Letters Patent, without further compensation, but at the expense of said Assignees, their successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said Assignees or their representatives.

WHEREAS, Invetech Pty Ltd., an Australian corporation having a principal place of business at 495 Blackburn Road, Mt. Waverley, Victoria 3149, Australia, is the employer of the above-named inventors and hereby agrees and consents to the validity and enforceability of this assignment for good and valuable consideration as represented by the signature of the individual signing on behalf of Invetech Pty Ltd. below. The undersigned warrants and represents that he/she has the authority to bind Invetech Pty Ltd. to this Agreement.

Page 2 of 10

Date

For Invetech Pty Ltd.

By: Signature

DR FRED Davi S

Printed Name

Date: G MAR 2007

Title: MANAGING DIRECTOR

Witness

Witness

6/3/07

Witness

Date

IN WITNESS WHEREOF, I have hereur	nto set my hand and seal on this day
of March, 2007.  Simon Jon	(SEAL) nathon Spence
Richard Corant	VICTOR QUITTNER V Quettos
Witness 1st March 2007	Witness   157 MARIH 2007
Date	Date

	to set my hand and seal on this _tst_ day	
of February, 2007.  Richard Alexander Grant  (SEAL)		
B: 1/	1/ Quetto	
V.m. fi	Witness	
Witness	1-86B-2007	
Date	Date	
Late		

of Leb mary, 2007. William Samuel	(SEAL)
Witness $6 - FEB - 2007$ Date	Richard Grant Witness 6 Feb 2007 Date

IN WITNESS WHEREOF, I h	ave hereunto set my hand and seal on this <u>02</u> day
of <u>FES</u> , 2007.  Ashraf	F. Abdelmoteleb
V Dalla	lul Com
Witness 2-84-2007	Witness 2/2/07
Date	Date

IN WITNESS WHEREOF, I have hereu	nto set my hand and seal on this 6th day
of FERNALY, 2007.  David Thomas K	(SEAL)
Ann. M	Richard Grant
Witness 6 th Feb 2007	Witness 6th Feb 2007
Date	Date

of Fobruary, 2007.	ve hereanto set my hand and seal on this day    Compared to the compared
ILL	h. Manhaly
Witness 1/02/07.	Witness //2/07
Date	. Date

of <u>FEB</u> , ,2007.	F, I have hereunto set my non Harris	hand and seal on this <u>/S7</u> day (SEAL)
Witness $OI/OZ/O7$ Date	Witnes	OI / RER / 02.