#### 504255017 03/03/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4301697

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JIN Z. WALLNER	03/03/2017
HAOREN ZHUANG	03/02/2017

### **RECEIVING PARTY DATA**

Name:	GLOBALFOUNDRIES INC.
Street Address:	P.O. BOX 309
Internal Address:	UGLAND HOUSE
City:	GRAND CAYMAN
State/Country:	CAYMAN ISLANDS
Postal Code:	KY1-1104

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15448873

## CORRESPONDENCE DATA

Fax Number: (518)449-0047

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

518-449-0044 Phone:

Email: ptocommunications@hoffmanwarnick.com,

awalters@hoffmanwarnick.com

**Correspondent Name:** HOFFMAN WARNICK LLC

Address Line 1: 540 BROADWAY Address Line 2: 4TH FLOOR

Address Line 4: ALBANY, NEW YORK 12207

ATTORNEY DOCKET NUMBER:	IMLT302-US-NP
NAME OF SUBMITTER:	AMANDA J. SMITH
SIGNATURE:	/Amanda J. Smith/
DATE SIGNED:	03/03/2017
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 2** 

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**PATENT REEL: 041459 FRAME: 0268**  source=IMLT302-US-NP\_CombinedDecandAssignment\_03-03-2017#page2.tif

PATENT REEL: 041459 FRAME: 0269

GF Ref. No.: IMLT302-US-NP

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	ETCH STOP LINER FOR CONTACT PUNCH THROUGH MITIGATION IN SOI SUBSTRATE	
DECLARATION		
As the below	named inventor, I hereby declare that	
This declarati	on The attached application, or	
is uncered to:	United States application or PCT international application number filed on	
The above-ide	entified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.		
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by onment of not more than five (5) years, or both.	
I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.		
	ASSIGNMENT	
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.		
If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.		
LEGAL NAM	IE OF INVENTOR 1	
Inventor:	Jin Z. Wallner Date: 3/3/22/7	
Signature:	Ja Waller.	
	cation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. al form for each additional inventor.	

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GF Ref. No.: IMLT302-US-NP

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1,76)

Title of Invention	ETCH STOP LINER FOR CONTACT PUNCH THROUGH MITIGATION IN SOI SUBSTRATE
	DECLARATION
As the below	named inventor, I hereby declare that:
This declaration is directed to:	
	United States application or PCT international application number filed on
The above-ide	entified application was made or authorized to be made by me.
I believe that I	I am the original inventor or an original joint inventor of a claimed invention in the application,
I hereby ackno	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by onment of not more than five (5) years, or both.
I have reviewe Trademark Of	ed and understand the contents of the application and am aware of the duty to disclose to the US Patent and ffice all information known to me to be material to patentability as defined in 37 CFR § 1.56.
	ASSIGNMENT
hereby sell, ass Grand Cayman the invention li any foreign cor- extensions ther and Application including but n proceedings as	and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I sign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, in KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to listed above, together with the Application, any and all Patents that may issue in the United States and/or contries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or reof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents ons, for the United States and all foreign countries, and all the rights and privileges relating thereto not limited to the priority rights arising from said Applications, the right to recover and take all such a may be necessary for the recovery of damages or otherwise in respect of past, present and future and the right to apply for, take and maintain patents on said inventions.
If any portion shall neverthe	of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof eless remain in full force and effect.
LEGAL NAMI	E OF INVENTOR 2
Inventor: 1	Haoren Zhuang Date: 03-02-20/]
Signature:	
Tote: An applica	ation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form.  I form for each additional inventor.

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RECORDED: 03/03/2017