### 504256127 03/03/2017

## PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Rakesh K. Lal	03/13/2013

#### **RECEIVING PARTY DATA**

Name:	TRANSPHORM INC.
Street Address:	115 CASTILLIAN DRIVE
City:	GOLETA
State/Country:	CALIFORNIA
Postal Code:	93117

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15440404

#### **CORRESPONDENCE DATA**

**Fax Number:** (877)769-7945

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (858) 678-5070
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Correspondent Name: DAN V. VACAR

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ATTORNEY DOCKET NUMBER:	22930-0050003
NAME OF SUBMITTER:	MELISSA ALEXANDER
SIGNATURE:	/Melissa Alexander/
DATE SIGNED:	03/03/2017

**Total Attachments: 1** source=assign#page1.tif

PATENT 504256127 REEL: 041466 FRAME: 0315

Attorney's Docket No.: 22930-0050001

# <u>ASSIGNMENT</u>

For valuable consideration, I, RAKESH K. LAL of 6754 Abrego Road, #19, Isla Vista.
California 93117, hereby assign to: Transphorm Inc., a corporation of Delaware having a place of
business at: 115 Castillian Drive, Goleta, California 93117; and its successors and assigns
(collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the
world in the inventions and improvements which are subject of an application for United States
Patent signed by me, entitled ENHANCEMENT-MODE III-NITRIDE DEVICES, filed
March 13, 2013 and assigned U.S. Serial Number 13/799, 989
and I authorize and request the attorneys appointed in said application to hereafter complete this
assignment by inserting above the filing date and serial number of said application when known;
this assignment including said application, any and all United States and foreign patents, utility
models, and design registrations granted for any of said inventions or improvements, and the right
to claim priority based on the filing date of said application under the International Convention for
the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent
Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all
countries in my name or in its own name for patents, utility models, design registrations and like
rights of exclusion and for inventors' certificates for said inventions and improvements; and I
agree for me and my respective heirs, legal representatives and assigns, without further
compensation to perform such lawful acts and to sign such further applications, assignments,
Preliminary Statements and other lawful documents as the Assignee may reasonably request to
effectuate fully this assignment.
Date: Mar 13, 2013 RAKESH K. LAL
Witnessed by:
Name: $\sqrt{\frac{3}{13}/2013}$
Name: 902 65 Date: 3/13/2013

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RECORDED: 03/03/2017

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