PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4316309

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ELLIOT JOHN SMITH	03/17/2016
STEFFEN SICHLER	03/30/2016

RECEIVING PARTY DATA

Name:	GLOBALFOUNDRIES INC.
Street Address:	PO BOX 309
Internal Address:	UGLAND HOUSE
City:	GRAND CAYMAN
State/Country:	CAYMAN ISLANDS
Postal Code:	KY1-1104

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15457384

CORRESPONDENCE DATA

Fax Number: (713)434-6671

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7134346688

Email: mpaul@amersoniplaw.com **Correspondent Name:** JEFFREY ALLEN PFEIFER

Address Line 1: 2500 FONDREN ROAD, SUITE 220

Address Line 4: HOUSTON, TEXAS 77063

ATTORNEY DOCKET NUMBER:	2162.270082/HD138D	
NAME OF SUBMITTER:	AME OF SUBMITTER: JEFFREY ALLEN PFEIFER	
SIGNATURE:	/J. Pfeifer/	
DATE SIGNED:	03/13/2017	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 2

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> PATENT REEL: 041560 FRAME: 0065

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COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Semiconductor Structure including a Trench Capping Layer and Method for the Formation thereof	
	DECLARATION	
As the below	named inventor, I hereby declare that:	
This declarati	(4.2)	
15 Girming in.	United States application or PCT international application numberfiled on	
The above-ide	entified application was made or authorized to be made by me.	
I believe that	I am the original inventor or an original joint inventor of a claimed invention in the application.	
I hereby acknofine or impris	cowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by comment of not more than five (5) years, or both.	
I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CPR § 1.56.		
	ASSIGNMENT	
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.		
If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.		
LEGAL NAM	TE OF INVENTOR	
Inventor:	Elliot John SMITH Date: 17/3/2016	
Signature:		
Note: An applic	cation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form.	

Use an additional form for each additional inventor.

COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Semiconductor Structure including a Trench Capping Layer and Method for the Formation thereof
	DECLARATION
As the below	named inventor, I hereby declare that:
This declarati	on The attached application, or
is directed to:	United States application or PCT international application numberfiled on
The above-ide	stified application was made or authorized to be made by me.
I believe that	am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.	
I have reviewe Trademark Of	ed and understand the contents of the application and am aware of the duty to disclose to the US Patent and fice all information known to me to be material to patentability as defined in 37 CFR § 1.56.
ASSIGNMENT	
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO Box 309, Ugland House, Grand Cayman KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.	
	of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof eless remain in full force and effect.
LEGAL NAM	E OF INVENTOR
Inventor:	Steffen SICHLER Date: 30.3.40//
Signature:	
Note: An applic	ation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. If form for each additional inventor.

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PATENT REEL: 041560 FRAME: 0067

RECORDED: 03/13/2017