

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4334122

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SASWATI CHATTERJEE	12/12/2012
LAURA SMITH	12/14/2012
KAMEHAMEHA WONG	12/12/2012
RECEIVING PARTY DATA	
Name:	CITY OF HOPE
Street Address:	1500 EAST DUARTE ROAD
City:	DUARTE
State/Country:	CALIFORNIA
Postal Code:	91010
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	15454754
Application Number:	15465378
Application Number:	15465387
CORRESPONDENCE DATA	
Fax Number:	(857)300-4001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(857) 300-4000
Email:	lroberts@lathropgage.com, internalip@lathropgage.com
Correspondent Name:	LATHROP & GAGE LLP
Address Line 1:	28 STATE STREET
Address Line 2:	SUITE 700
Address Line 4:	BOSTON, MASSACHUSETTS 02109
ATTORNEY DOCKET NUMBER:	HMT-003CIPCON3, 4 AND 5
NAME OF SUBMITTER:	ANDREW T. WILKINS
SIGNATURE:	/Andrew T. Wilkins/
DATE SIGNED:	03/23/2017
Total Attachments: 3	

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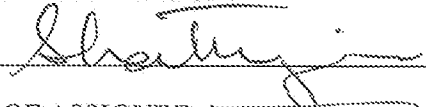
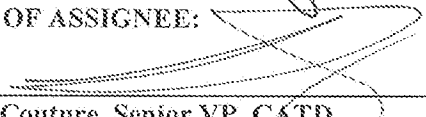
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PATENT

REEL: 041711 FRAME: 0234

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

Title of Invention	RECOMBINANT ADENO-ASSOCIATED VECTORS FOR TARGETED TREATMENT
As the below named inventor, I hereby declare that:	
This declaration is directed to:	<input type="checkbox"/> The attached application, or <input checked="" type="checkbox"/> United States application or PCT international application number <u>13/668,120</u> filed on <u>November 2, 2012</u> .
<p>The above-identified application was made or authorized to be made by me.</p> <p>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.</p> <p>WHEREAS, CITY OF HOPE (hereinafter referred to as "ASSIGNEE") having a place of business at: 1500 East Duarte Road, Duarte, California, 91010-3000, desires to acquire the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;</p> <p>NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries (including the right to claim priority under the terms of the International Convention and other relevant International Treaties and Arrangements from the aforesaid application) and the entire right, title and interest in and to any and all Letters Patent which may be granted therefore in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.</p> <p>I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.</p> <p>Further, I agree that I will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.</p> <p>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.</p>	
LEGAL NAME OF INVENTOR: <u>Saswati CHATTERJEE</u> Signature:  Date: <u>12/12/12</u> ON BEHALF OF ASSIGNEE: <u>CITY OF HOPE</u> Signature:  Date: <u>12/20/12</u> By: Larry A. Couture, Senior VP, C&TD	

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LEGAL NAME OF INVENTOR: Laura SMITH

Signature: [Signature] Date: 12/14/12

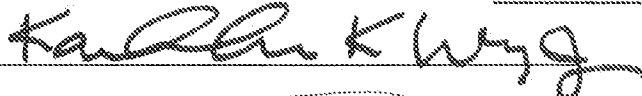
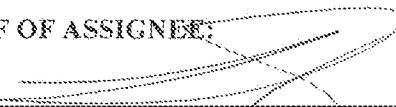
ON BEHALF OF ASSIGNEE: CITY OF HOPE

Signature: [Signature] Date: 12/20/12

By: Larry A. Couture, Senior VP, CATD



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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
LEGAL NAME OF INVENTOR:		<u>Kamehameha WONG</u>	
Signature: <u></u>		Date: <u>12.12.2012</u>	
ON BEHALF OF ASSIGNEE:		<u>CITY OF HOPE</u>	
Signature: <u></u>		Date: <u>12/20/12</u>	
By: <u>Larry A. Couture, Senior VP, CATD</u>			