PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4334466

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CARDIAC DIMENSIONS, INC.	04/11/2014

RECEIVING PARTY DATA

Name:	CARDIAC DIMENSIONS PTY. LTD.
Street Address:	5540 LAKE WASHINGTON BLVD. NE
City:	KIRKLAND
State/Country:	WASHINGTON
Postal Code:	98033

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15261572

CORRESPONDENCE DATA

Fax Number: (650)212-7562

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Correspondent Name: THOMAS M. ZLOGAR
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ATTORNEY DOCKET NUMBER:	10057-706.311
NAME OF SUBMITTER:	THOMAS M. ZLOGAR, REG. 55760
SIGNATURE:	/Thomas M. Zlogar/
DATE SIGNED:	03/23/2017

Total Attachments: 15
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FILED VIA EPAS **ATTORNEY DOCKET NO. 10057-706.311 / 706D1C5**

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

CARDIAC DIMENSIONS, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **CARDIAC DIMENSIONS PTY. LTD.**
5540 Lake Washington Blvd, NE
Kirkland, WA 98033

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATE: April 11, 2014

Name and address of receiving party(ies):

Name:

Street Address:

City: State: Zip:

Country:
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **15/261,572**

Title: **TISSUE SHAPING DEVICE**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas M. Zlogar
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): $0.00

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas M. Zlogar, Reg. No. 55,760
Name of Person Signing

Signature

March 23, 2017
Date

Total number of pages including cover sheet, attachments, and documents: **15**

ASSIGNMENT

This Assignment of Patents / Patent Applications is between Cardiac Dimensions, Inc., a corporation organized in the State of Delaware (hereinafter referred to as "Assignor"), and Cardiac Dimensions Pty. Ltd., a corporation organized in Australia (hereinafter referred to as "Assignee").

WHEREAS, Assignor and Assignee have entered into a transaction pursuant to an Asset Purchase Agreement dated April 11, 2014, by which Assignor assigns to Assignee the entire right, title and interest in and to the patents / patent applications as listed on the attached Exhibit A and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered by said Assignor (all collectively hereinafter termed "said Inventions"), and in and to any and all patents, Assignor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignor to have been received in full from said Assignee:

1. Assignor does hereby sell, assign, transfer and convey unto Assignee the entire right, title and interest (a) in and to said patents / patent applications and said Inventions: (b) in and to all rights to apply for foreign patents on said Inventions pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said Inventions in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Assignor hereby covenants and agrees to cooperate with Assignee to enable Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by Assignor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by Assignee (a) for perfecting in Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said patents; (e) for interference or other priority proceedings involving said inventions; and

(f) for legal proceedings involving said inventions and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by Assignor in providing such cooperation shall be paid for by Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, its heirs, legal representatives and assigns.

4. Assignor hereby warrants and represents that it has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

ASSIGNOR: Cardiac Dimensions, Inc.

By : _____

Name: Dick Wyskyd

Title: CFO

Dated: April 11, 2014

ASSIGNEE: Cardiac Dimensions Pty. Ltd.

By : _____

Name: Dick Wyskyd

Title: CFO

Dated: April 11, 2014

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EXHIBIT A

Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Granted	Device Implantable In The Coronary Sinus To Provide Mitral Valve Therapy	09/751,271 2002-0087173 7,591,826	12/28/2000 7/4/2002 9/22/2009
WIPO/PCT	Nat Phase	Mitral Valve Constricting Device, System And Method	PCT/US01/050860 WO2002/053206	12/27/2001 7/11/2002
Australia	Granted	Mitral Valve Constricting Device, System And Method	2002231342 2002231342	12/27/2001 1/25/2006
Canada	Granted	Mitral Valve Constricting Device, System And Method	2,433,672 2,433,672	12/27/2001 8/4/2009
Germany	Granted	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 60137724.9-08	12/27/2001 3/10/2004 2/18/2009
Europe	Reg Phase	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 1395182	12/27/2001 3/10/2004
Spain	Granted	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 1395182	12/27/2001 3/10/2004 2/18/2009
France	Granted	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 1395182	12/27/2001 3/10/2004 2/18/2009
United Kingdom	Granted	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 1395182	12/27/2001 3/10/2004 2/18/2009
Italy	Granted	Mitral Valve Constricting Device, System And Method	01991615.4 1395182 48572 BE/2009	12/27/2001 3/10/2004 2/18/2009
Japan	Granted	Mitral Valve Constricting Device, System And Method	2002-554155 2004-528059 4113431	12/27/2001 7/9/2008 4/18/2008
United States	Granted	Mitral Valve Therapy Device, System And Method	09/855,945 2002-0169504 6,800,090	5/14/2001 11/14/2002 10/5/2004
United States	Granted	Mitral Valve Therapy Device, System And Method	10/925,571 2005-0027353 7,828,843	8/24/2004 2/3/2005 11/9/2010
United States	Abandoned	Mitral Valve Therapy Device, System And Method	10/925,714 2005-0033419	8/24/2004 2/10/2005
United States	Granted	Mitral Valve Therapy Device, System And Method	10/925,570 7,270,676	8/24/2004 9/18/2007

Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Abandoned	Mitral Valve Regurgitation Treatment Device And Method	10/742,747 2005-0027351	12/19/2003 2/3/2005
WIPO/PCT	Nat Phase	Mitral Valve Therapy Device, System And Method	PCT/US02/015237 WO2002/100240	5/13/2002 12/19/2002
Australia	Abandoned	Mitral Valve Therapy Device, System And Method	2002326299	5/13/2002
Canada	Granted	Mitral Valve Therapy Device, System And Method	2,447,6892,447,689	5/13/20027/2 1/2009
Germany	Granted	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 60227514.8-08	5/13/2002 3/10/2004 7/9/2008
Europe	Reg Phase	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 1395202	5/13/2002 3/10/2004
Spain	Granted	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 2 310 210	5/13/2002 3/10/2004 7/9/2008
France	Granted	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 1395202	5/13/2002 3/10/2004 7/9/2008
United Kingdom	Granted	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 1395202	5/13/2002 3/10/2004 7/9/2008
Italy	Granted	Mitral Valve Therapy Device, System And Method	02761000.5 1395202 49744 BE/2008	5/13/2002 3/10/2004 7/9/2008
Japan	Granted	Mitral Valve Therapy Device, System And Method	2003-503070 2004-530484 4255374	5/13/2002 10/7/2004 2/6/2009
United States	Granted	Mitral Valve Therapy Assembly And Method	09/855,946 2002-0169502 6,676,702	5/14/2001 11/14/2002 1/13/2004
United States	Abandoned	Mitral Valve Therapy Assembly And Method	11/125,643 2005-0261704	5/9/2005 11/24/2005
United States	Granted	Mitral Valve Therapy Assembly And Method	10/659,010 2004-0153052 6,966,926	9/10/2003 8/5/2004 11/22/2005
WIPO/PCT	Nat Phase	Mitral Valve Therapy Assembly And Method	PCT/US02/015233 WO2002/091908	5/13/2002 11/21/2002
Australia	Abandoned	Mitral Valve Therapy Assembly And Method	2002305582	5/13/2002
Canada	Granted	Mitral Valve Therapy Assembly And Method	2,447,507 2,447,507	5/13/2002 7/21/2009

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
Germany	Granted	Mitral Valve Therapy Assembly And Method	02734412.6 1395204 60227663.2-08	5/13/2002 3/10/2004 7/16/2008
Europe	Reg Phase	Mitral Valve Therapy Assembly And Method	02734412.6 1395204 1395204	5/13/2002 3/10/2004
Spain	Granted	Mitral Valve Therapy Assembly And Method	02734412.6 1395204 1395204	5/13/2002 3/10/2004 7/16/2008
France	Granted	Mitral Valve Therapy Assembly And Method	02734412.6 1395204 1395204	5/13/2002 3/10/2004 7/16/2008
United Kingdom	Granted	Mitral Valve Therapy Assembly And Method	02734412.6 1395204 1395204	5/13/2002 3/10/2004 7/16/2008
Italy	Granted	Mitral Valve Therapy Assembly And Method	02734412.61395204 49781BE/2008	5/13/20023/10/20047/16/2008
Japan	Granted	Mitral Valve Therapy Assembly And Method	2002-588830 2004-530476 4280500	5/13/2002 10/7/2004 3/19/2009
United States	Granted	Focused Compression Mitral Valve Device And Method	10/003,910 2003-0083538 6,949,122	11/1/2001 5/1/2003 9/27/2005
United States	Granted	Focused Compression Mitral Valve Device And Method	10/813,354 2004-0249452 7,608,102	3/29/2004 12/9/2004 10/27/2009
United States	Granted	Adjustable Height Focal Tissue Deflector	12/642,525 2010-0100175 8,439,971	12/18/2009 4/22/2010 5/14/2013
United States	Granted	Adjustable Height Focal Tissue Deflector	10/861,782 7,635,387	6/3/2004 12/22/2009
WIPO/PCT	Expired	Focused Compression Mitral Valve Device And Method	PCT/US02/035196 WO2003/037171	10/31/2002 5/8/2003
United States	Granted	Anchor And Pull Mitral Valve Device And Method	10/011,867 2003-0105520 6,908,478	12/5/2001 6/5/2003 6/21/2005
United States	Abandoned	Anchor And Pull Mitral Valve Device And Method	10/819,499 2004-0193260	4/6/2004 9/30/2004
United States	Abandoned	Anchor And Pull Mitral Valve Device And Method	11/069,847 2005-0149182	2/28/2005 7/7/2005
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	11/198,044 2005-0272969	8/4/2005 12/8/2005

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	11/467,105 2007-0055293 7,674,287	8/24/2006 3/8/2007 3/9/2010
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	12/719,758 2010-0168847 8,172,898	3/8/2010 7/1/2010 5/8/2012
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	13/443,709 2012-0197389	4/10/2012 8/2/2012
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	10/429,171 2004-0010305 7,179,282	5/2/2003 1/15/2004 2/20/2007
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	10/429,225 2006-0142854 7,857,846	5/2/2003 6/29/2006 12/28/2010
WIPO/PCT	Nat Phase	Anchor And Pull Mitral Valve Device And Method	PCT/US02/038586 WO2003/049648	12/3/2002 6/19/2003
Australia	Granted	Anchor And Pull Mitral Valve Device And Method	2002364130 2002364130	12/3/2002 2/12/2009
Canada	Abandoned	Anchor And Pull Mitral Valve Device And Method	2,468,787	12/3/2002
Germany	Granted	Anchor And Pull Mitral Valve Device And Method	02799204.91450733 60239145.8	12/3/20029/1/ 20042/9/2011
Europe	Reg Phase	Anchor And Pull Mitral Valve Device And Method	02799204.9 1450733 1450733	12/3/2002 9/1/2004
Spain	Granted	Anchor And Pull Mitral Valve Device And Method	02799204.9 1450733 1450733	12/3/2002 9/1/2004 2/9/2011
France	Granted	Anchor And Pull Mitral Valve Device And Method	02799204.9 1450733 1450733	12/3/2002 9/1/2004 2/9/2011
United Kingdom	Granted	Anchor And Pull Mitral Valve Device And Method	02799204.9 1450733 1450733	12/3/2002 9/1/2004 2/9/2011
Italy	Granted	Anchor And Pull Mitral Valve Device And Method	02799204.9 1450733 48690 BE 2011	12/3/2002 9/1/2004 2/9/2011
Japan	Granted	Anchor And Pull Mitral Valve Device And Method	2003-550699 2005-511203A 4326954	12/3/2002 4/28/2005 6/19/2009
United States	Granted	Fixed Length Anchor And Pull Mitral Valve Device And Method	10/066,426 2003-0144697 6,976,995	1/30/2002 7/31/2003 12/20/2005

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Abandoned	Fixed Length Anchor And Pull Mitral Valve Device And Method	11/132,788 2005-0216077	5/18/2005 9/29/2005
United States	Granted	Tissue Shaping Device	12/060,781 2008-0319542 7,828,842	4/1/2008 12/25/2008 11/9/2010
United States	Published	Tissue Shaping Device	12/907,907 2011-0035000	10/19/2010 2/10/2011
United States	Abandoned	Body Lumen Shaping Device With Cardiac Leads	11/550,354 2007-0066879	10/17/2006 3/22/2007
United States	Published	Fixed Anchor And Pull Mitral Valve Device And Method	12/016,054 2008-0140191	1/17/2008 6/12/2008
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	10/429,181 2003-0225454 6,960,229	5/2/2003 12/4/2003 11/1/2005
United States	Abandoned	Body Lumen Shaping Device With Cardiac Leads	11/132,786 2005-0209690	5/18/2005 9/22/2005
United States	Granted	Tissue Shaping Device	11/275,630 2006-0167544 7,351,260	1/19/2006 7/27/2006 4/1/2008
WIPO/PCT	Nat Phase	Fixed Length Anchor And Pull Mitral Valve Device And Method	PCT/US03/002124 WO2003/063735	1/22/2003 8/7/2003
Australia	Granted	Fixed Length Anchor And Pull Mitral Valve Device And Method	2003211731 2003211731	1/22/2003 7/16/2009
Canada	Published	Fixed Length Anchor And Pull Mitral Valve Device And Method	2,469,460 2003/063735 2,469,460	1/22/2003 8/7/2003 2/21/2012
Canada	Pending	Fixed Length Anchor And Pull Mitral Valve Device And Method	2,760,865	1/22/2003
Europe	Published	Fixed Length Anchor And Pull Mitral Valve Device And Method	03734987.5 1482869	1/22/2003 12/8/2004
Japan	Granted	Fixed Length Anchor And Pull Mitral Valve Device And Method	2003-563433 2005-515833 4359660	1/22/2003 6/2/2005 8/21/2009
WIPO/PCT	Nat Phase	Body Lumen Shaping Device With Cardiac Leads	PCT/US06/019328 WO2006/125120	5/17/2006 11/23/2006
Australia	Abandoned	Body Lumen Shaping Device With Cardiac Leads	2006247137	5/17/2006
Canada	Abandoned	Body Lumen Shaping Device With Cardiac Leads	2,608,257	5/17/2006
Europe	Abandoned	Body Lumen Shaping Device With Cardiac Leads	06770608.5 1881807	5/17/2006 1/30/2008

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
Japan	Abandoned	Body Lumen Shaping Device With Cardiac Leads	2008-512520 2008-540052	5/17/2006 11/20/2008
United States	Granted	Transvenous Staples, Assembly And Method For Mitral Valve Repair	10/093,323 2003-0171776 7,004,958	3/6/2002 9/11/2003 2/28/2006
United States	Abandoned	Transvenous Staples, Assembly And Method For Mitral Valve Repair	11/245,535 2006-0030882	10/7/2005 2/9/2006
WIPO/PCT	Expired	Transvenous Staples, Assembly And Method For Mitral Valve Repair	PCT/US03/007022 WO2003/075748	3/6/2003 9/18/2003
United States	Granted	Device, Assembly And Method For Mitral Valve Repair	10/095,507 2003-0171806 6,797,001	3/11/2002 9/11/2003 9/28/2004
United States	Granted	Device, Assembly And Method For Mitral Valve Repair	10/912,735 2005-0065598 7,364,588	8/4/2004 3/24/2005 4/29/2008
WIPO/PCT	Nat Phase	Device, Assembly And Method For Mitral Valve Repair	PCT/US03/006778 WO2003/077800	3/7/2003 9/25/2003
Australia	Granted	Device, Assembly And Method For Mitral Valve Repair	2003224656 2003224656	3/7/2003 8/28/2008
Canada	Granted	Device, Assembly And Method For Mitral Valve Repair	2,477,646 2,477,646	3/7/2003 11/13/2012
Germany	Granted	Device, Assembly And Method For Mitral Valve Repair	03721335.2 1482868 60326124.8-08	3/7/2003 12/8/2004 2/11/2009
Europe	Reg Phase	Device, Assembly And Method For Mitral Valve Repair	03721335.2 1482868 1482868	3/7/2003 12/8/2004
Spain	Granted	Device, Assembly And Method For Mitral Valve Repair	03721335.2 1482868 1482868	3/7/2003 12/8/2004 2/11/2009
France	Granted	Device, Assembly And Method For Mitral Valve Repair	03721335.21482868 1482868	3/7/200312/8/ 20042/11/200 9
United Kingdom	Granted	Device, Assembly And Method For Mitral Valve Repair	03721335.2 1482868 1482868	3/7/2003 12/8/2004 2/11/2009
Italy	Granted	Device, Assembly And Method For Mitral Valve Repair	03721335.2 1482868 48499 BE/2009	3/7/2003 12/8/2004 2/11/2009
United States	Granted	Body Lumen Device Anchor, Device And Assembly	10/142,637 2003-0212453 6,824,562	5/8/2002 11/13/2003 11/30/2004

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Granted	Body Lumen Device Anchor, Device And Assembly	10/994,161 2005-0187619 8,062,358	11/19/2004 8/25/2005 11/22/2011
United States	Published	Body Lumen Device Anchor, Device And Assembly	10/994,153 2006-0173536	11/19/2004 8/3/2006
United States	Granted	Body Lumen Device Anchor, Device And Assembly	10/994,168 2005-0149179 7,452,375	11/19/2004 7/7/2005 11/18/2008
United States	Granted	Body Lumen Device Anchor, Device And Assembly	10/994,169 2005-0149180 7,309,354	11/19/2004 7/7/2005 12/18/2007
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	11/963,417 2008-0097594 7,828,841	12/21/2007 4/24/2008 11/9/2010
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	10/429,204 2003-0236569 7,311,729	5/2/2003 12/25/2003 12/25/2007
WIPO/PCT	Nat Phase	Device And Method For Modifying The Shape Of A Body Organ	PCT/US03/014007 WO2003/094801	5/2/2003 11/20/2003
Australia	Granted	Device And Method For Modifying The Shape Of A Body Organ	2003228865 2003228865	5/2/2003 4/30/2009
Australia	Granted	Device And Method For Modifying The Shape Of A Body Organ	2009201343 2009201343	5/2/2003 5/12/2011
Canada	Granted	Device And Method For Modifying The Shape Of A Body Organ	2,483,024 2,483,024	5/2/2003 9/13/2011
Canada	Pending	Device And Method For Modifying The Shape Of A Body Organ	2,744,868	5/2/2003
Germany	Granted	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 60325356.3	5/2/2003 3/16/2005 12/17/2008
Europe	Reg Phase	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 1513474	5/2/2003 3/16/2005
Europe	Abandoned	Device For Modifying The Shape Of A Mitral Valve	08021269.9 2039325	5/2/2003 3/25/2009
Europe	Published	Device And Method For Modifying The Shape Of A Body Organ	10180626.32289467	5/2/20033/2/2011
Spain	Granted	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 2318130	5/2/2003 3/16/2005 12/17/2008

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
France	Granted	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 1513474	5/2/2003 3/16/2005 12/17/2008
United Kingdom	Granted	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 1513474	5/2/2003 3/16/2005 12/17/2008
Italy	Granted	Device For Modifying The Shape Of A Mitral Valve	03726639.2 1513474 48043 BE/2009	5/2/2003 3/16/2005 12/17/2008
Japan	Granted	Device And Method For Modifying The Shape Of A Body Organ	2004-502891 2006-502749 4351151	5/2/2003 1/26/2006 7/31/2009
Japan	Granted	Assembly For Effecting The Condition Of A Mitral Valve Annulus Of A Heart	2009-109156 2009-219883 5038352	5/2/2003 10/1/2009 7/13/2012
United States	Granted	Medical Device Delivery System	10/313,914 2004-0111095 7,316,708	12/5/2002 6/10/2004 1/8/2008
United States	Granted	Medical Device Delivery System	11/971,174 2008-0109059 8,075,608	1/8/2008 5/8/2008 12/13/2011
United States	Abandoned	Percutaneous Mitral Valve Annuloplasty Delivery System	12/952,057 2011-0066234	11/22/2010 3/17/2011
United States	Granted	Percutaneous Mitral Valve Annuloplasty Delivery System	10/946,332 2005-0096666 7,837,729	9/20/2004 5/5/2005 11/23/2010
United States	Granted	Percutaneous Mitral Valve Annuloplasty Device Delivery Method	10/945,855 2005-0119673 8,182,529	9/20/2004 6/2/2005 5/22/2012
WIPO/PCT	Nat Phase	Medical Device Delivery System	PCT/US03/037641 WO2004/052442	11/25/2003 6/24/2004
WIPO/PCT	Expired	Percutaneous Mitral Valve Annuloplasty Delivery System	PCT/US05/033593 WO2006/034245	9/20/2005 3/30/2006
Australia	Granted	Medical Device Delivery System	2003295915 2003295915	11/25/2003 12/17/2009
Canada	Abandoned	Medical Device Delivery System	2,508,533	11/25/2003
Germany	Granted	Medical Device Delivery System	03787129.0 1599246 60321048.1	11/25/2003 11/30/2005 5/14/2008
Europe	Reg Phase	Medical Device Delivery System	03787129.0 1599246 1599246	11/25/2003 11/30/2005

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
France	Granted	Medical Device Delivery System	03787129.01599246 1599246	11/25/200311 /30/20055/14/ 2008
United Kingdom	Granted	Medical Device Delivery System	03787129.0 1599246 1599246	11/25/2003 11/30/2005 5/14/2008
Ireland	Granted	Medical Device Delivery System	03787129.0 1599246 1599246	11/25/2003 11/30/2005 5/14/2008
Italy	Granted	Medical Device Delivery System	03787129.0 1599246 49296 BE/2008	11/25/2003 11/30/2005 5/14/2008
Netherlands	Granted	Medical Device Delivery System	03787129.0 1599246 1599246	11/25/2003 11/30/2005 5/14/2008
United States	Granted	System And Method To Effect The Mitral Valve Annulus Of A Heart	10/331,143 2004-0127980 6,793,673	12/26/2002 7/1/2004 9/21/2004
United States	Granted	System And Method To Effect The Mitral Valve Annulus Of A Heart	11/234,809 2006-0020335 7,503,931	9/23/2005 1/26/2006 3/17/2009
United States	Granted	System And Method To Effect The Mitral Valve Annulus Of A Heart	10/798,728 2004-0243228 6,964,683	3/10/2004 12/2/2004 11/15/2005
WIPO/PCT	Expired	System To Effect The Mitral Valve Annulus	PCT/US03/041023 WO2004/060217	12/22/2003 7/22/2004
United States	Abandoned	Electrotherapy System, Device, And Method For Treatment Of Cardiac Valve Dysfunction	10/338,081 2004-0133240	1/7/2003 7/8/2004
WIPO/PCT	Expired	Electrotherapy System, Device, And Method For Treatment Of Cardiac Valve Dysfunction	PCT/US03/034795 WO2004/062725	10/31/2003 7/29/2004
United States	Abandoned	Method For Implanting A Mitral Valve Therapy Device	10/366,585 2004-01583210	2/12/2003 8/12/2004
United States	Granted	Mitral Valve Device Using Conditioned Shape Memory Alloy	10/359,016 2004-0153147 7,314,485	2/3/2003 8/5/2004 1/1/2008
United States	Granted	Mitral Valve Device Using Conditioned Shape Memory Alloy	11/655,710 2007-0135912 7,758,639	1/18/2007 6/14/2007 7/20/2010
United States	Abandoned	Mitral Valve Device Using Conditioned Shape Memory Alloy	12/838,189 2010-0280602	7/16/2010 11/4/2010
United States	Abandoned	Devices And Methods For Reducing Mitral Valve Regurgitation	13/359,307 2012-0123532	1/26/2012 5/17/2012

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	10/429,172	5/2/2003
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	11/782,490 2008-0015679	7/24/2007 1/17/2008
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	11/782,5082008-0015680	7/24/20071/17/2008
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	11/782,527 2008-0015407	7/24/2007 1/17/2008
United States	Abandoned	Tissue Shaping Device With Conformable Anchors	10/742,516	12/19/2003
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	10/845,474 2006-0161169	5/12/2004 7/20/2006
United States	Expired	Device, System And Method To Affect The Mitral Valve Annulus Of A Heart	60/476,870	6/5/2003
United States	Granted	Device, System And Method To Affect The Mitral Valve Annulus Of A Heart	10/843,223 2005-0004667 7,351,259	5/10/2004 1/6/2005 4/1/2008
United States	Granted	Device, System And Method To Affect The Mitral Valve Annulus Of A Heart	11/276,082 2006-0116758 7,300,462	2/13/2006 6/1/2006 11/27/2007
United States	Expired	Device To Effect The Mitral Valve Annulus Of A Heart	60/476,793	6/5/2003
United States	Expired	Device And Method For Modifying The Shape Of A Body Organ	60/476,385	6/5/2003
United States	Expired	Device And Method For Modifying The Shape Of A Body Organ	60/476,693	6/5/2003
United States	Granted	Device And Method For Modifying The Shape Of A Body Organ	10/840,188 2005-0010240 7,887,582	5/5/2004 1/13/2005 2/15/2011
United States	Abandoned	Device And Method For Modifying The Shape Of A Body Organ	13/004,239 2011-0106117	1/11/2011 5/5/2011
United States	Expired	Device And Method For Modifying The Shape Of A Body Organ	60/476,695	6/5/2003
United States	Expired	Device And Method For Modifying The Shape Of A Body Organ	60/476,549	6/5/2003

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
United States	Expired	Focal Anatomy Defector With Tail(S)	60/746,694	6/5/2003
United States	Expired	Tissue Deflecting Device Having Barbed Anchors	60/476,871	6/5/2003
United States	Expired	Adjustable Height Focal Tissue Deflector	60/476,666	6/5/2003
United States	Granted	Reduced Length Tissue Shaping Device	10/742,519 2005-0137685 7,837,728	12/19/2003 6/23/2005 11/23/2010
WIPO/PCT	Nat Phase	Device For Modifying The Shape Of A Body Organ	PCT/US04/042824 WO2005/062837	12/17/2004 7/14/2005
Australia	Granted	Device For Modifying The Shape Of A Body Organ	2004308348 2004308348	12/17/2004 4/7/2011
Canada	Granted	Device For Modifying The Shape Of A Body Organ	2,546,5232,546,523	12/17/20045/ 22/2012
Canada	Pending	Device For Modifying The Shape Of A Body Organ	2,775,628	12/17/2004
Europe	Published	Device For Modifying The Shape Of A Body Organ	04814956.1	12/17/2004
Japan	Granted	Device For Modifying The Shape Of A Body Organ	2006-545560 2007-514502 4794460	12/17/2004 6/7/2007 8/5/2011
United States	Granted	Tissue Shaping Device With Integral Connector And Crimp	10/742,742 2005-0137451 7,794,496	12/19/2003 6/23/2005 9/14/2010
United States	Granted	Tissue Shaping Device With Integral Connector And Crimp	11/383,115 2006-0191121 7,814,635	5/12/2006 8/31/2006 10/19/2010
United States	Abandoned	Tissue Shaping Device With Self-Expanding Anchors	10/742,585 2005-0137449	12/19/2003 6/23/2005
United States	Published	Mitral Valve Annuloplasty Device With Twisted Anchor	11/458,040 2006-0276891	7/17/2006 12/7/2006
United States	Abandoned	Mitral Valve Annuloplasty Device With Wide Anchor	11/458,042 2006-0271174	7/17/2006 11/30/2006
WIPO/PCT	Expired	Mitral Valve Annuloplasty Device With Twisted Anchor	PCT/US07/073624 WO2008/011386	7/16/2007 1/24/2008
United States	Abandoned	Tapered Connector For Tissue Shaping Device	10/742,600 2005-0137450	12/19/2003 6/23/2005
United States	Expired	Stress Resistent Shaping Device And System	60/645,819	1/20/2005
WIPO/PCT	Nat Phase	Tissue Shaping Device	PCT/US06/002211 WO2006/079000	1/19/2006 7/27/2006
Australia	Granted	Tissue Shaping Device	2006206254 2006206254	1/19/2006 5/24/2012

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Country	Status	Title	Application No. Publication No. Patent No.	App. Date Pub. Date Grant Date
Canada	Abandoned	Tissue Shaping Device	2,595,580	1/19/2006
Europe	Abandoned	Tissue Shaping Device	06719170.0 1855619	1/19/2006 11/21/2007
Japan	Granted	Tissue Shaping Device	2007-552321 2008-528115 4926980	1/19/2006 7/31/2008 2/17/2012
United States	Granted	Mitral Valve Annuloplasty Device With Vena Cava Anchor	11/279,352 2007-0239270 7,503,932	4/11/2006 10/11/2007 3/17/2009
WIPO/PCT	Expired	Mitral Valve Annuloplasty Device With Vena Cava Anchor	PCT/US07/066411	4/11/2007
United States	Granted	Catheter Cutting Tool	12/189,527 2010-0031793 8,006,594	8/11/2008 2/11/2010 8/30/2011
United States	Granted	Catheter Cutting Tool	13/220,444 2011-0308367 8,250,960	8/29/2011 12/22/2011 8/28/2012
United States	Expired	Methods And Systems For Implant Fatigue Testing	61/094,366	9/4/2008
United States	Expired	Imaging Techniques And Applications Thereof	61/657,608	6/8/2012

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