

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4286013

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the APPLICATION NUMBER: 14730707 SHOULD BE CORRECTED TO APPLICATION NUMBER: 14908271 previously recorded on Reel 041233 Frame 0594. Assignor(s) hereby confirms the APPLICATION NUMBER: 14730707 SHOULD BE CORRECTED TO APPLICATION NUMBER: 14908271.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JUAN JOSE MARUGAN	01/09/2014
JINGBO XIAO	01/09/2014
MARC FERRER	01/09/2014
NOEL TERRENCE SOUTHALL	01/09/2014
BENJAMIN R. FREE	01/28/2014
DAVID ROBERT SIBLEY	01/22/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES
<b>Street Address:</b>	6011 EXECUTIVE BOULEVARD
<b>Internal Address:</b>	SUITE 325, MSC 7660
<b>City:</b>	BETHESDA
<b>State/Country:</b>	MARYLAND
<b>Postal Code:</b>	20892-7660
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	9550742
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(860)286-0115
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	skelly@cantorcolburn.com
<b>Correspondent Name:</b>	CANTOR COLBURN LLP
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<b>Address Line 2:</b>	22ND FLOOR
<b>Address Line 4:</b>	HARTFORD, CONNECTICUT 06103
<b>ATTORNEY DOCKET NUMBER:</b>	E-030-2013/0-US-04

<b>NAME OF SUBMITTER:</b>	LESLIE-ANNE MAXWELL, REG. NO. 44778
<b>SIGNATURE:</b>	/Leslie-Anne Maxwell/
<b>DATE SIGNED:</b>	02/22/2017
<b>Total Attachments: 15</b> source=7RN2283#page1.tif source=7RN2283#page2.tif source=7RN2283#page3.tif source=70D5475#page1.tif source=70D5475#page2.tif source=70D5475#page3.tif source=70D5475#page4.tif source=70D5475#page5.tif source=70D5475#page6.tif source=70D5475#page7.tif source=70D5475#page8.tif source=70D5475#page9.tif source=70D5475#page10.tif source=70D5475#page11.tif source=70D5475#page12.tif	



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**PATENT ASSIGNMENT COVER SHEET**

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SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>JUAN JOSE MARUGAN</td><td>01/09/2014</td></tr><tr><td>JINGBO XIAO</td><td>01/09/2014</td></tr><tr><td>MARC FERRER</td><td>01/09/2014</td></tr><tr><td>NOEL TERRENCE SOUTHALL</td><td>01/09/2014</td></tr><tr><td>BENJAMIN R. FREE</td><td>01/28/2014</td></tr><tr><td>DAVID ROBERT SIBLEY</td><td>01/22/2014</td></tr></tbody></table>		Name	Execution Date	JUAN JOSE MARUGAN	01/09/2014	JINGBO XIAO	01/09/2014	MARC FERRER	01/09/2014	NOEL TERRENCE SOUTHALL	01/09/2014	BENJAMIN R. FREE	01/28/2014	DAVID ROBERT SIBLEY	01/22/2014
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**PATENT**

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14730707

## CORRESPONDENCE DATA

Fax Number: (860)286-0115  
Email: usptopatentmail@cantorcolburn.com  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*  
Correspondent Name: CANTOR COLBURN LLP  
Address Line 1: 20 CHURCH STREET  
Address Line 2: 22ND FLOOR  
Address Line 4: HARTFORD, CONNECTICUT 06103

ATTORNEY DOCKET  
NUMBER:

E-030-2013/0-US-04

NAME OF SUBMITTER:

LESLIE-ANNE MAXWELL, REG. NO. 44778

Signature:

/Leslie-Anne Maxwell/

Date:

02/13/2017

Total Attachments: 12

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## RECEIPT INFORMATION

EPAS ID: PAT4270837  
Receipt Date: 02/13/2017

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**PATENT**

**ASSIGNMENT FOR NIH/FDA INVENTIONS  
(NIH OR FDA CO-INVENTORS ONLY)**

WHEREAS, we, Juan Jose Marugan of 16500 Apache Lane, Gaithersburg, MD 20878; Jingbo Xiao of 10204 Nolan Drive, Rockville, MD 20850; Marc Ferrer of 11801 Hayfield Court, Potomac, MD 20854; Noel Terrence Southall of 10419 Windsor View Drive, Potomac, MD 20854; R. Benjamin Free of 20052 Gateshead Circle, Germantown, MD 20876; and David Robert Sibley of 19317 Erin Tree Ct., Gaithersburg, MD 20879, employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**] have made certain inventions as described and claimed in the U.S. Provisional Patent Application having United States Serial Number **61/859,532**, filed **July 29, 2013**, and which is entitled "**SELECTIVE DOPAMINE D2 RECEPTOR ANTAGONISTS AND METHODS OF THEIR USE**" ("U.S. Application") and;

WHEREAS, we are the applicant(s) named in the above-identified U.S. Application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions made by **The Inventors**; and

WHEREAS, **THE GOVERNMENT** is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the U.S. Application; and

**NOW, THEREFORE, The Inventors** having the legal obligation to assign my/our right, title and interest in the inventions described and claimed in the above-identified patent application to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in said U.S. Application and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said U.S. Application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in said U.S. Application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

**The Inventors** further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

**Inventor 1:**

1-9-2014  
Date

Juan Jose Marugan  
Juan Jose Marugan

County of CATHERINE ANN ANZICK  
Notary Public-Maryland Ss:  
Montgomery County  
My Commission Expires  
July 06, 2014

State of \_\_\_\_\_

Subscribed and sworn to before me this 9th day of January, 2014

[Seal]

C. Anzick  
Notary Public for Maryland  
My Commission Expires: 7.6.14

**Inventor 2:**

Jan. 9. 2014  
Date

Jingbo Xiao  
Jingbo Xiao

County of CATHERINE ANN ANZICK  
Notary Public-Maryland Ss:  
Montgomery County  
My Commission Expires  
July 06, 2014

State of \_\_\_\_\_

Subscribed and sworn to before me this 9th day of January, 2014

[Seal]

C. Anzick  
Notary Public for Maryland  
My Commission Expires: 7.6.14

**Inventor 3:**

1/9/2014  
Date

[Signature]  
Marc Ferrer

County of Montgomery State of Maryland  
Notary Public-Maryland  
My Commission Expires July 06, 2014

Subscribed and sworn to before me this 9th day of January, 2014.

[Seal] [Signature]  
Notary Public for Maryland  
My Commission Expires: 7.6.14

**Inventor 4:**

1/9/2014  
Date

[Signature]  
Noel Terrence Southall

County of Montgomery State of Maryland  
Notary Public-Maryland  
My Commission Expires July 06, 2014

Subscribed and sworn to before me this 9th day of January, 2014.

[Seal] [Signature]  
Notary Public for Maryland  
My Commission Expires: 7.6.14



**Inventor 5:**

\_\_\_\_\_  
Date R. Benjamin Free

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**Inventor 6:**

\_\_\_\_\_  
Date David Robert Sibley

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**ASSIGNMENT FOR NIH/FDA INVENTIONS  
(NIH OR FDA CO-INVENTORS ONLY)**

**WHEREAS**, we, **Juan Jose Marugan of 16500 Apache Lane, Gaithersburg, MD 20878; Jingbo Xiao of 10204 Nolan Drive, Rockville, MD 20850; Marc Ferrer of 11801 Hayfield Court, Potomac, MD 20854; Noel Terrence Southall of 10419 Windsor View Drive, Potomac, MD 20854; R. Benjamin Free of 20052 Gateshead Circle, Germantown, MD 20876; and David Robert Sibley of 19317 Erin Tree Ct., Gaithersburg, MD 20879**, employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**] have made certain inventions as described and claimed in the U.S. Provisional Patent Application having United States Serial Number **61/859,532**, filed **July 29, 2013**, and which is entitled "**SELECTIVE DOPAMINE D2 RECEPTOR ANTAGONISTS AND METHODS OF THEIR USE**" ("U.S. Application") and;

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IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

<b>Inventor 1:</b>	
_____	_____
Date	Juan Jose Marugan
County of _____	Ss:
State of _____	
Subscribed and sworn to before me this ____ day of _____, ____.	
[Seal]	_____
	Notary Public for _____
	My Commission Expires: _____

<b>Inventor 2:</b>	
_____	_____
Date	Jingbo Xiao
County of _____	Ss:
State of _____	
Subscribed and sworn to before me this ____ day of _____, ____.	
[Seal]	_____
	Notary Public for _____
	My Commission Expires: _____

**Inventor 3:**

Date \_\_\_\_\_ Marc Ferrer \_\_\_\_\_

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

[Seal]

Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Inventor 4:**

Date Noel Terrence Southall

County of \_\_\_\_\_ Ss: \_\_\_\_\_

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

[Seal]

Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

Inventor 5:

1/28/14  
Date

R. Benjamin Free  
R. Benjamin Free

County of Montgomery Ss:

State of Maryland

Subscribed and sworn to before me this 28 day of January, 2014.

[Seal] KAREN PATRICIA CASTELLANOS  
Notary Public  
Montgomery County  
Maryland  
My Commission Expires July 20, 2016

Karen Patricia Castellanos  
Notary Public for \_\_\_\_\_  
My Commission Expires: 06/20/16

Inventor 6:

\_\_\_\_\_  
Date

\_\_\_\_\_  
David Robert Sibley

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
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IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

**Inventor 1:**

\_\_\_\_\_  
Date Juan Jose Marugan

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Inventor 2:**

\_\_\_\_\_  
Date Jingbo Xiao

County of \_\_\_\_\_ Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Inventor 3:**

\_\_\_\_\_  
Date Marc Ferrer

County of \_\_\_\_\_  
Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Inventor 4:**

\_\_\_\_\_  
Date Noel Terrence Southall

County of \_\_\_\_\_  
Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_



**Inventor 5:**

\_\_\_\_\_  
Date R. Benjamin Free

County of \_\_\_\_\_  
Ss:

State of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

[Seal]

\_\_\_\_\_  
Notary Public for \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Inventor 6:**

JANUARY 22, 2014 David Robert Sibley  
Date David Robert Sibley

County of Montgomery  
Ss:

State of Maryland

Subscribed and sworn to before me this 22 day of January, 2014.

[Seal]

Jacquelyn M Yankanich  
Notary Public for State of MD  
My Commission Expires: \_\_\_\_\_