504299860 03/31/2017

# PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4346541

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
TONG-SHUANG LI	08/06/2012
ERNEST J. MCEACHERN	10/18/2016
DAVID J. VOCADLO	10/18/2016
YUANXI ZHOU	10/18/2016
YONGBAO ZHU	10/18/2016

### **RECEIVING PARTY DATA**

Name:	ALECTOS THERAPEUTICS INC.
Street Address:	8999 NELSON WAY
City:	BURNABY
State/Country:	CANADA
Postal Code:	V5A 4B5

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14129169

### CORRESPONDENCE DATA

Fax Number: (604)998-4540

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 604.259.7000

Email: mail@vanguardip.com **VANGUARD IP LLP Correspondent Name:** 

Address Line 1: 101-1001 WEST BROADWAY, SUITE 674

Address Line 4: VANCOUVER, CANADA V6H 4E4

ATTORNEY DOCKET NUMBER:	10003-007US1
NAME OF SUBMITTER:	NICOLE GADE
SIGNATURE:	/Nicole Gade/
DATE SIGNED:	03/31/2017

## **Total Attachments: 8**

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WORLDWIDE

**ASSIGNMENT** 

We:

LI, Tong-Shuang, c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British Columbia,

V5A 4B5, Canada

McEACHERN, Ernest, J., c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British

Columbia, V5A 4B5, Canada

VOCADLO, David, J., c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British

Columbia, V5A 4B5, Canada

ZHOU, Yuanxi, c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British Columbia.

V5A 4B5, Canada

ZHU, Yongbao, c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British Columbia,

V5A 4B5, Canada

(hereinafter the "ASSIGNORS"),

together with Harold G. SELNICK, are the joint inventors of the invention or inventions

entitled SELECTIVE GLYCOSIDASE INHIBITORS AND USES THEREOF (hereinafter the

"Invention"), described in the following applications: PCT International Patent Application under

the Patent Cooperation Treaty on June 27, 2012 under Application No. PCT/CA2012/050435

and U.S. Application No. 61/501,375, filed June 27, 2011;

WHEREAS, Alectos Therapeutics Inc. (hereinafter referred to as "ASSIGNEE"), having a place

of business at 8999 Nelson Way Burnaby, BC V5A 4B5, is desirous of acquiring and having

assigned to it our full right, title and interest in and to the Invention and in and to any Letters

Patent that may be granted therefor in any and all countries and jurisdictions; and

WHEREAS, WE have agreed to make such assignment;

NOW, THEREFORE, in consideration of the foregoing premises, and of the sum of one

dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of

which is hereby acknowledged, WE, hereby sell, assign and transfer absolutely to the

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc.

PAT 101491W-90

Page 1 of 4

ASSIGNEE our full and exclusive right, title and interest in and to: (a) the Invention worldwide:

(b) any and all patent applications for the Invention in all countries and jurisdictions and under all conventions and treaties, including the right to claim for any and all applications any priority

rights to which such applications are entitled under conventions, treaties or otherwise, and all divisions, extensions, continuations, continuations-in-part, provisionals, non-provisionals,

substitutions and renewals thereof; (c) all Letters Patent which may be granted therefor in any and all countries and jurisdictions, and any renewals, reissues, re-examinations or extensions of

the Letters Patent, and (d) the exclusive right to bring or participate in any proceeding for past

infringement or any other actionable right under all of the foregoing and to receive all remedies

that arise therefrom, to the end of the term for which the Letters Patent may be granted, as fully

and entirely as the same would have been held by us had this Assignment not been made.

WE represent that, other than by way of this Assignment, WE have not assigned,

licensed or otherwise encumbered any right, title or interest in or to the Invention.

In addition, WE hereby authorize and request the patent offices in all countries and

jurisdictions to issue any and all of the Letters Patent, when granted, to the ASSIGNEE.

Further, WE agree that WE will communicate to the ASSIGNEE or its representatives

any facts known to us respecting the Invention, and testify in any legal proceeding, sign all

lawful papers, execute all papers relating to all patent applications including all divisionals,

continuations, continuations-in-part, extensions, renewals, provisionals, non-provisionals or

substitutes thereof, and the Letters Patent including any renewal, re-examination, extension and

reissue thereof, execute all necessary assignment papers to cause any and all of the Letters

Patent to be issued to the ASSIGNEE, make all rightful oaths, and, generally do everything

possible to aid the ASSIGNEE, its successors and assigns, to obtain and enforce proper

protection for the Invention in any and all countries and jurisdictions.

The undersigned hereby authorizes the firm of Borden Ladner Gervais LLP to correct

errors in this assignment or to insert any further identification or other information necessary or

desirable to make this Assignment suitable for recordal in any country.

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc.

EXECUTED at	, this
day of,,	
Witness:	Inventor:
Print Name:	Tong-Shuang LI
EXECUTED at Burnally B.C.	, this
day of <u>October</u> , 2016. Witness:	Inventor:
Print Name: Tigggy Sun	Ernest, J. McEACHERN,
EXECUTED at Bycogha B.C.	,thís <u>} **<sup>於</sup></u>
day of <u>OC+o be / 2016</u> .  Witness:	Inventor:
Print Name: Jianyu Sun	David, J. VOCADLO
EXECUTED at Burnaby, B.C. day of October , 2016.	, this
Witness:	Inventor: Juanfilean
Print Name: Jisayu Sua	Yuanxi ZHOU

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc. PAT 101491W-90 Page 3 of 4

EXECUTED at Burnaby, 1	}.C.	, this
day of <u>October</u> , <u>2016</u> .	/	
Witness:	Inventor:	
Print Name: Jignyu Syv	Yongbao ZHU	
Time realise.	1011gbau 2110	
SIGNED at Burnaby B.C. City this 18th day of October.	<b>Y</b>	Canada Country
City	or rown	Country
this <u>181</u> day of OCOD <u>er</u> .	<b>2012</b> 2016.	er of and
	ster, st.	
	Alectos Therapeutics In	nc.
	By: <u>Francy Mig</u>	<u> achero</u>
	Title: <u>President</u>	4 CEO
STATEMENT BY	WITNESS	
l₁ <u>Ti≋กγ∧ Sự∩</u> (name of witness)	whose full post office ac	ddress is
16661 85 AVE, SUVERY,	V4N 4W3, B.C., G	anada
was personally present and did see <u>Er</u>	nest M'Eachern	
execute the within assignment and such a to be the person described in such docum		n to me
	52	
	Signature of Witne	ess

WORLDWIDE

**ASSIGNMENT** 

We:

LI, Tong-Shuang, c/o Alectos Therapeutics Inc., 8999 Nelson Way, Burnaby, British Columbia,

V5A 4B5, Canada

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Columbia, V5A 4B5, Canada

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WHEREAS, WE have agreed to make such assignment;

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which is hereby acknowledged, WE, hereby sell, assign and transfer absolutely to the

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PAT 101491W-90

Page 1 of 4

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WE represent that, other than by way of this Assignment, WE have not assigned, licensed or otherwise encumbered any right, title or interest in or to the Invention.

In addition, WE hereby authorize and request the patent offices in all countries and jurisdictions to issue any and all of the Letters Patent, when granted, to the ASSIGNEE.

Further, WE agree that WE will communicate to the ASSIGNEE or its representatives any facts known to us respecting the Invention, and testify in any legal proceeding, sign all lawful papers, execute all papers relating to all patent applications including all divisionals, continuations, continuations-in-part, extensions, renewals, provisionals, non-provisionals or substitutes thereof, and the Letters Patent including any renewal, re-examination, extension and reissue thereof, execute all necessary assignment papers to cause any and all of the Letters Patent to be issued to the ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid the ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for the Invention in any and all countries and jurisdictions.

The undersigned hereby authorizes the firm of Borden Ladner Gervais LLP to correct errors in this assignment or to insert any further identification or other information necessary or desirable to make this Assignment suitable for recordal in any country.

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc. PAT 101491W-90 Page 2 of 4

EXECUTED at San Leandro	, CA , USA	, this <u>6</u> th
day of August 2012 Witness:	Inventor: てっぱん	~~
Print Name: Qiang Tian	Tong-Shuang LI	
EXECUTED at		, this
day of Witness:	Inventor:	
Print Name:	Ernest, J. McEACHEF	łN,
EXECUTED at		, this
day of Witness:	Inventor:	
Print Name:	David, J. VOCADLO	
EXECUTED at		, this
day of Witness:	Inventor:	
Print Name:	Yuanxi ZHOU	

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc. PAT 101491W-90 Page 3 of 4

EXECUTED at	, this
day of,	
Witness:	Inventor:
Print Name:	Yongbao ZHU
SIGNED at Bur	by Gunada
this $20^{1h}$ day of $A$	Cfty or Town Country  2012
	Alectos Therapeutics Inc.
	By: <u>Frnest McGachers</u>
	Title: <u>President 4 CEO</u>
	T BY WITNESS
I,(name of witness)	whose full post office address is
was personally present and did s execute the within assignment ar to be the person described in suc	uch assignee is personally known to me ocument.
	Signature of Witness

Assignment from LI, Tong-Shuang, et al. to Alectos Therapeutics Inc. PAT 101491W-90 Page 4 of 4

**RECORDED: 03/31/2017**