# 504307190 04/05/2017

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
CLAUDIA PALENA	08/22/2016
JEFFREY SCHLOM	08/22/2016

## **RECEIVING PARTY DATA**

Name:	THE USA, AS REPRESENTED BY THE SECRETARY, DEPT. OF HEALTH AND HUMAN SERVICES	
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City:	BETHESDA	
State/Country:	untry: MARYLAND	
Postal Code:	20892-7660	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15454176

## **CORRESPONDENCE DATA**

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NAME OF SUBMITTER:	ANGELA M. DOMITROVICH	
SIGNATURE:	/Angela M. Domitrovich/	
DATE SIGNED:	04/05/2017	

**Total Attachments: 3** 

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> PATENT REEL: 041856 FRAME: 0839

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PATENT REEL: 041856 FRAME: 0840

#### **ASSIGNMENT**

WHEREAS, we, Claudia Palena of 11136 Powder Horn Dr., Potomac, MD 20854 and Jeffrey Schlom of 10301 Sorrell Avenue, Potomac, MD 20854, employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter The Inventors], have made certain inventions as described and claimed in the U.S. Patent Application having United States Serial Number 14/926,372, filed October 29, 2015, and which is entitled "Yeast-Brachyury Immunotherapeutic Compositions" ("U.S. Application") and:

WHEREAS, we are the applicant(s) named in the above-identified U.S. Application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the U.S. Application; and

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in the above-identified patent application to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to THE GOVERNMENT:

the entire right, title, and interest in and to any and all inventions described and claimed in said U.S. Application and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said U.S. Application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in said U.S. Application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by THE GOVERNMENT to the end of the term for which the same would have been held by the inventors had this assignment not been made.

we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

Page 1 of 3

## IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:	······
08/22/2016.   Claudia Palena	
County of Montgomes  State of Maryland	
State of	<u>1 2915</u>
[Seal]  AMES WARES  Notary Full Charyland  Montghotary Public for  My Commission Expires  AMES WAY Commission Expires	M-7/10 Ma 

Inventor 2:	
7   27/16 Date	Jeffrey Schlom
County of Montgomy	**
State of Maryland	2018
Subscribed and swor	n to before me this 22 day of Ay wit
[Seal]	Notary Public for Mont-Cong M.
	Notary Public for Mont-Cong M.  My Commission Expires: Ay 7, 2016
	JAMES WRODEL Notary Fablic Maryland Montgomery County Not Commission Expires