# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4360304

| SUBMISSION TYPE:      | RESUBMISSION |
|-----------------------|--------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT   |
| RESUBMIT DOCUMENT ID: | 504154730    |

### **CONVEYING PARTY DATA**

| Name             | Execution Date |
|------------------|----------------|
| GERD MUEHLBACHER | 12/18/2015     |
| STEFAN KERBER    | 12/17/2015     |
| GAVIN BRYDON     | 06/03/2016     |

### **RECEIVING PARTY DATA**

| Name:           | TRIDONIC GMBH & CO KG |  |
|-----------------|-----------------------|--|
| Street Address: | FARBERGASSE 15        |  |
| City:           | DORNBIRN              |  |
| State/Country:  | AUSTRIA               |  |
| Postal Code:    | A-6851                |  |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14358332 |

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: kmonaco@vklaw.com

Correspondent Name: VOLPE AND KOENIG, P.C.

Address Line 1: 30 S. 17TH STREET

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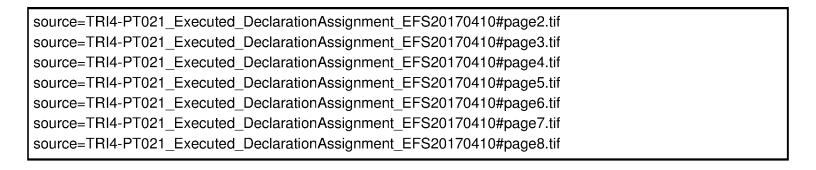
Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

| ATTORNEY DOCKET NUMBER:                                    | TRI4-PT021            |  |
|--|-----------------------|--|
| NAME OF SUBMITTER:   | ROBERT J. BALLARINI   |  |
| SIGNATURE:   | /Robert J. Ballarini/ |  |
| <b>DATE SIGNED:</b> 04/10/2017                             |                       |  |
| This document serves as an Oath/Declaration (37 CFR 1.63). |                       |  |

**Total Attachments: 8** 

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PATENT REEL: 041936 FRAME: 0316



PATENT REEL: 041936 FRAME: 0317 Atty. Docket No. TRI4-PT021 (TP0269A-WOUS)

#### ASSIGNMENT AND DECLARATION

With respect to the invention titled

## LED MODULE

for which the undersigned has authorized or prepared an application for United States Letters Patent, U.S. Patent Application No. 14/358,332, filed on May 15, 2014,

I (the undersigned) hereby state the following.

#### DECLARATION

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than 5 years, or both.

#### ASSIGNMENT

The undersigned hereby authorizes assignee or assignee's representative to insert the Application Number and the filing date of this application if they are unknown at the time of execution of this assignment.

| Assignee                                   | Tridonic GmbH & Co KG    |  |
|--|--------------------------|--|
| Assignee State or Country of Incorporation | AT                       |  |
| X 2 X X X                                  | Färbergnose 15           |  |
| Assignee Address                           | A-6851 Dornbirn, Austria |  |

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Atty. Docket No. TRI4-PT021 (TP0269A-WOUS)

Assignee is desirous of acquiring the entire right, title and interest in the invention, all applications for and all letters patent issued on the invention.

For good and valuable consideration, the receipt and sufficiency of which is acknowledged, the undersigned, intending to be legally bound, does hereby sell, assign and transfer to the assignee and assignee's successors, assigns and legal representatives the entire right, title and interest in the invention and all patent applications thereon, including, but not limited to, the application for United States Letters Patent entitled as above, and all divisions and continuations thereof, and in all letters patent, including all reissues and reexaminations thereof, throughout the world, including the right to claim priority under the Paris Convention or other treaty.

It is agreed that the undersigned shall be legally bound, upon request of the assignee, to supply all information and evidence relating to the making and practice of the invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention throughout the world for the benefit of the assignee, and to execute all instruments proper to carry out the intent of this instrument.

The undersigned warrants that the rights and property herein conveyed are free and clear of any encumbrance.

AND this Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original of this Assignment. Additionally, the undersigned hereby authorizes our attorneys to collect the signature pages of each executed counterpart and to attach those signature pages to a single copy of this instrument, which single copy and attached signature pages together shall constitute an original of this Assignment.

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# SIGNATURE SHEET FOR DECLARATION AND ASSIGNMENT

| Inventor's<br>Name      | Gerd MUEHLBACHER  |   | ······································ |
|-------------------------|---|---|--|
| Inventor's<br>Signature | 9 u.J./Lade/<br>18.12 2015  |   |  |
| Date                    | 18/12 2015  | *************************************** |  |
| Signed at               | DORNAIRA/AUSTRIA  | <b>&gt;</b>                             |  |
|                         | (City and Country)  | *************************************** |  |
| STATEM                  | ENT OF WITNESS (optional):  |   |  |
|                         | 1,  | Uya.                                    | De of Witness                          |
|                         |   |   |  |
| was perso               | nally present and did see   | <u>ed 1</u>                             | l <u>ühlbacher</u>                     |
|                         | inven   | tor identifi                            | ed above                               |
| execute tl              | his Assignment on <u>12/2,200</u><br>date   | and such                                | Assignor is personally known           |
| to me to l              | be the person described herein.   | A                                       | <i>/</i> }                             |
| Witness                 |   | Mars.                                   | M. /                                   |
| Signature               |   | 1/12.44/                                | _ <u> </u>                             |
|                         |   |   | *                                      |
| An applica              | tion data sheet (PTO/SB/14 or equivalen   | t), including                           | naming the entire inventive entity     |
| must accor              | npany this form. Use a separate form<br>ne attached page(s) to list additional inve | for each inv                            |  |
| X Additio               | nal inventors are being named on the  | 2supplem                                | ental sheet(s) attached hereto.        |

# SUPPLEMENTAL SHEET FOR DECLARATION AND ASSIGNMENT

| Inventor's<br>Name      | Stefan KERBIR   |
|-------------------------|---|
| Inventor's<br>Signature |   |
| Date                    | <u> </u>  |
| Signed at               | ALREND HANDLAGE   |
|                         | (City and Country)  |
| STATEME                 | NT OF WITNESS (optional):   |
|                         | 1, <u>AU40 Ozoa</u>   |
|                         | Name of Witness   |
| was persona             | illy present and did see <u>SCOL LOLE</u>                                       |
|                         | inventor identified above   |
| execute this            | s Assignment on <u>17-72-2015</u> and such Assignor is personally know:<br>date |
| the man to ha           | the manner described bounds   |
| to me to oc             | the person described herein.  |
| Witness                 | Later all Inc.  |
| Signature               |   |

# SUPPLEMENTAL SHEET FOR DECLARATION AND ASSIGNMENT

| Name                    | Gavin BRYDON                             |  |
|-------------------------|--|--|
| Inventor's<br>Signature |  |  |
| Date                    | 13050                                    | 2016:  |
| Signed at               | ORW / SPENSION (1)<br>(City and Country) | rool, UK.                                      |
| STATEMEN                | NT OF WITNESS (optional                  | <b>()</b> :                                    |
|                         | Ι,                                       | Annie Buerge/<br>Name of Witness               |
| was persona             |  | <u>Gavin Brydon</u><br>ventor identified above |
| execute this            | Assignment on <u>31d June</u><br>date    | 2216 and such Assignor is personally known     |
| to me to be             | the person described herein.             |  |
| Witness<br>Signature    | A-Ribel                                  |  |

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**RECORDED: 12/27/2016**