

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT4312006

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JUNG YONG PARK	11/28/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	HONDA MOTOR CO., LTD.
<b>Street Address:</b>	1-1, MINAMI-AOVAMA 2-CHOME
<b>City:</b>	MINATO-KU, TOKYO
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	107-8556
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	29589590
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(301)365-9101
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	301-365-9040
<b>Email:</b>	mail@plumsea.com
<b>Correspondent Name:</b>	PLUMSEA LAW GROUP, LLC
<b>Address Line 1:</b>	6710A ROCKLEDGE DRIVE
<b>Address Line 2:</b>	SUITE 400
<b>Address Line 4:</b>	BETHESDA, MARYLAND 20817
<b>ATTORNEY DOCKET NUMBER:</b>	61-1069
<b>NAME OF SUBMITTER:</b>	ERIC M. GIBSON
<b>SIGNATURE:</b>	/Eric M. Gibson/
<b>DATE SIGNED:</b>	03/09/2017
<b>Total Attachments: 25</b>	
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source=2017-03-07\_61-1069\_Substitute\_Assignment#page25.tif

Please note that we enclose herewith the substitute documents for the assignment because the inventor left the company.

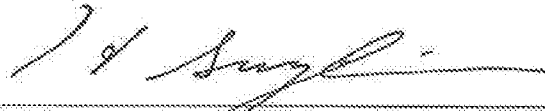
Copy of the notarized Declaration by Hirohisa Suzuki, Patent Attorney includes the following documents.

1. Copy of the notarized Declaration by Noboru Miyazaki, Manager of General Affairs Section, Wako Management Office, of Honda R&D Co., Ltd. with
  - a. "Oath for Maintenance of Confidentiality after Resignation" signed by Jung Yong PARK (in Japanese with English translation), which proves that his rights to obtain IP rights belong to Honda R&D Co., Ltd. (Please refer to No. 4).
  - b. "Certificate" by President of Honda R&D Co., Ltd. (in Japanese with English translation) which proves that Noboru Miyazaki is authorized to execute the above Declaration.
  - c. "Certificate of the Seal" (in Japanese with English translation) proves that the Seal used in the Certificate is officially registered representative's seal of Honda R&D Co., Ltd.
  
2. Copy of the notarized Declaration by Hirokazu Bessho, Intellectual Property Division, General Manager, of Honda Motor Co., Ltd., with
  - a. "Basic Agreement on Entrustment of Research Implementation" which proves that rights of Honda R&D Co., Ltd. to obtain IP rights belong to Honda Motor Co., Ltd. (Please refer to Article 4.)
  - b. "Certificate" by President of Honda Motor Co., Ltd. (in Japanese with English translation) which proves that Hirokazu Bessho is authorized to execute the above Declaration.
  - c. "Certificate of the Seal" (in Japanese with English translation) proves that the Seal used in the Certificate is officially registered representative's seal of Honda R&D Co., Ltd.

DECLARATION

I, Hirohisa Suzuki, Patent Attorney of c/o Shiga International Patent Office, GranTokyo South Tower, 1-9-2, Marunouchi, Chiyoda-ku, Tokyo 100-6620, Japan, hereby solemnly declare that the attached documents are true copies of notarized Declaration and its attachments.

Dated *21* of *February*, 2017




Hirohisa Suzuki  
Patent Attorney

DECLARATION

I, Noboru MIYAZAKI, Manager of General Affairs Section, Wako Management Office, of HONDA R&D CO., LTD. of 4-1, Chuo-1 chome, Wako-shi, Saitama-ken, 351-0193 Japan, solemnly declare that the attached copy of "Oath for Maintenance of Confidentiality after Resignation" (in Japanese) exactly corresponds with the original, and the attached English document contains an accurate translation of the "Oath for Maintenance of Confidentiality after Resignation".

Dated 13 of February , 2017

HONDA R&D CO., LTD.



*Noboru Miyazaki*

Title: General Affairs Section,  
Wako Management Office  
Manager  
Name: Noboru MIYAZAKI



To: HONDA R&D CO., LTD.  
President, Chief Executive Officer,  
and Representative Director: Yoshiyuki Matsumoto

### Oath for Maintenance of Confidentiality after Resignation

Upon voluntary resignation from your company as of December 17, 2016, I confirm and make an oath for the following matters.

1. Upon resigning the company, I swear that I will not disclose, leak or use in any manner the following information regarding your techniques and business (hereinafter referred to as "confidential information") without your authorization, after the resignation from the company, for myself, a re-employed company or any other third party. The confidential information referred to here includes all kinds of information concerning research and development from the past until the present, products planned by the research and development in the future and/or models which are not materialized and facts considered based on the aforesaid.
  - (1) Information regarding products  
(all information including product planning, designs, specifications, development schedules, nominal designations, test planning, requirements, cost, etc.)
  - (2) Information regarding techniques  
(all information including materials, software, research and development methods, design approaches, manufacturing methods, testing techniques, etc.)
  - (3) Information regarding business  
(all information including projects, business plans, relation and contents of dealings with the third parties, personnel organization, organization, etc.)
  - (4) Other than the above, all information that I was able to obtain regarding the projects I took part as listed in the annex and all information which you designated as objects of confidentiality.
2. Upon resigning from the company, regarding all kinds of materials regarding confidential information (such as research reports, requirement lists, analytical data, project protocols, budgets, sketches, drawings, layouts, specifications, software, EDP data and their electronic data), I swear that I have returned the originals and the copies, the relevant materials and the like and I do not own them. After the resignation, by any possibility, if I have not returned all or part of the above materials, I will immediately report you the fact that I have not returned and return or destroy the above materials which I have not returned in accordance with your instructions.
3. I confirm that confidential information and the aforementioned materials regarding confidential information belong to the company based on the company regulations and the pertinent regulations such as your employment regulation and Honda Security Policy. Furthermore, regarding confidential information, even though I was involved in drawing up and development, I confirm that I produced them on the

job and I will not claim that the aforementioned confidential information should belong to me.

4. I confirm that rights concerning all intellectual property (including know-how) I worked on during my tenure of office and rights thereof accrued belong to the company based on the employment regulation, the company regulation, patent law, utility model law, design law, copyright law, unfair competition prevention law and other pertinent laws, and I will not demand the right against the aforesaid.
5. In case I violate any of the above clauses, I confirm that I assume penal, civil and/or other possible liability and I swear that I compensate for an entire loss the company suffers.

November 28, 2016

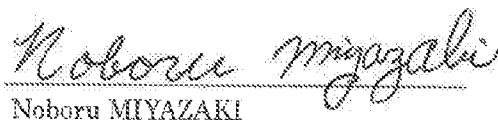
Address: Fine Residence 401, 14-10 Akatsuka 3-chome, Itabashi-ku, Tokyo  
Name: Jung Yong PARK (seal)



## 証 明 書

株式会社本田技術研究所 (HONDA R&D CO., LTD.)  
和光管理室 総務課課長 宮崎 登 (General Affairs Section, Wako  
Management Office, Manager, Noboru MIYAZAKI)

1. 上記の者は、当社の和光管理室 総務課課長であることを証明します。
2. 上記の者には、当社の業務に関し、次の権限を付与していることを証明します。
  - ① 担当する業務に関して、当社を代表して外部に提出する証書を上記の者の名義で作成すること。
  - ② 上記①で作成した証書について、自ら又はその代理人により、公証人に対して認証の囑託をすること。
3. 上記の者が当社の業務の遂行に関して使用している署名は以下のものであることを証明します。

  
Noboru MIYAZAKI

平成 29 年 2 月 13 日  
埼玉県和光市中央一丁目 4 番 1 号  
株式会社本田技術研究所  
代表取締役社長 松本 宜之



(Translation)

CERTIFICATE

HONDA R&D CO., LTD.

General Affairs Section, Wako Management Office, Manager

Noboru MIYAZAKI

1. This is to certify that the above named person is a General Affairs Section, Wako Management Office, Manager of our company.
2. This is to certify that the above named person is authorized to carry out the following business of our company.
  - ① Preparing documents for business in his charge for the purpose of submission to the outside on behalf of our company
  - ② For the documents prepared in ① above, entrusting notarization with a Notary Public by himself or through his agent.
3. This is to certify that the following signature is used for carrying out of the company business by the above named person.

---

Noboru MIYAZAKI

Dated February 13, 2017

HONDA R&D CO., LTD.

4-1, Chuo-1 chome, Wako-shi, Saitama-ken, Japan

President, Chief Executive Officer and Representative Director

Yoshiyuki MATSUMOTO

# 印鑑証明書



会社法人等番号 0300-01-046841

商号 株式会社本田技術研究所

本店 埼玉県和光市中央一丁目4番1号

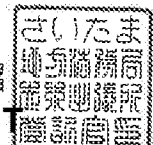
代表取締役 松本 宜之

昭和33年 1月14日生

これは提出されている印鑑の写しに相違ないことを証明する。  
 (さいたま地方法務局管轄)

平成29年 1月19日  
 さいたま地方法務局志木出張所  
 登記官

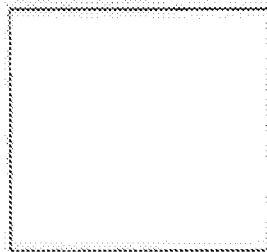
千 葉 昭



PATENT

(Translation)

CERTIFICATE OF SEAL



Corporation Number: 0300-01-046841  
Company Name: HONDA R&D CO., LTD.  
Main Office: 4-1, Chuo-1 chome, Wako-shi, Saitama-ken, Japan  
President Yoshiyuki MATSUMOTO  
Birthdate January 14, 1958

This is to certify that the above is a true copy of the seal registered.  
(Saitama District Legal Affairs Bureau, Jurisdiction of Shiki Office)

January 19, 2017  
Saitama District Legal Affairs Bureau, Shiki Office  
Registrar Akira CHIBA (seal)

Reference Number Ni463522

1254564P

Registered No.0176

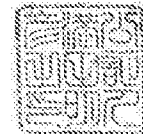
### Certificate of Acknowledgment of Notary

On this February 20, 2017, before me, Akihiko Mitsuda, a notary in and for the Tokyo Legal Affairs Bureau, *Midori Nakazawa*, with satisfactory evidence of her identification and of her being an agent of *Noboru Miyazaki, Manager of General Affairs Section, Wako Management Office of HONDA R&D CO., LTD.*, in accordance with the Japanese Notary Act, stated that the principal, said *Noboru Miyazaki*, had acknowledged affixing his signature to the attached document.

In witness whereof, I set my hand and seal,



AKIHIKO MITSUDA



Notary attached to  
The Tokyo Legal Affairs Bureau  
NO.9-1 3-CHOME AKASAKA  
MINATOKU TOKYO JAPAN





平成29年登簿第 0176 号  
認 証

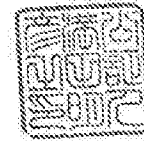
囑託人 株式会社本田技術研究所  
和光管理室 総務課 課長 宮崎登  
の代理人 中澤 緑 は、本公証人の面前で、本人が別紙文書に  
署名したことを自認する旨陳述した。

よって、これを認証する。

平成29年 2月20 日、本公証人役場において  
東京都港区赤坂3丁目9番1号  
東京法務局所属

公 証 人  
Notary

尚 田 明 子



Akihiko MITSUDA

総公証 №106075 号

証 明

上記署名は、東京法務局所属公証人の署名に相違ないものであり、かつ、その押印は、  
真実のものであることを証明する。

平成29年 2月20 日

東京法務局長

佐藤 主税

CERTIFICATE

This is to certify that the signature affixed above has been provided by Notary,  
duly authorized by the Tokyo Legal Affairs Bureau and that the Official Seal  
appearing on the same is genuine.

Date February 20, 2017

Chikara SATO

Director of the Tokyo Legal Affairs Bureau

For legalization by the foreign consul in  
Japan, this is to certify that the Seal  
affixed hereto is genuine.

Date February 20, 2017

T. Tanaka

Toshie TANAKA

Tokyo, \_\_\_\_\_

Official  
Ministry of Foreign Affairs

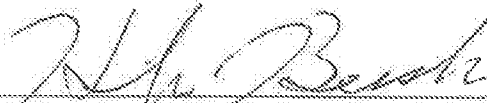
PATENT  
REEL: 041936 FRAME: 0983

DECLARATION

I, Hirokazu BESSHO, Intellectual Property Division, General Manager, of HONDA MOTOR CO., LTD. of 1-1, Minami-Aoyama 2-chome, Minato-ku, Tokyo, 107-8556 Japan solemnly declare that the attached copy of "Basic Agreement on Entrustment of Research Implementation" (in Japanese) exactly corresponds with the original, which has been renewed in accordance with Article 9 and it is still valid. Furthermore, the attached English document contains an accurate translation of the "Basic Agreement on Entrustment of Research Implementation".

Dated 13 of February , 2017

HONDA MOTOR CO., LTD.



Title: Intellectual Property Division, General Manager  
Name: Hirokazu BESSHO

## 研究委託基本契約書

本田技研工業株式会社を甲、株式会社本田技術研究所を乙とし、甲及び乙は、研究開発その他の業務の委託について、次の通り基本契約を締結する。

### (研究開発業務委託)

第1条 甲は乙に対し、次の研究開発業務を委託し、乙はこれを受託する。乙は甲に対し、その成果たる図面及び技術資料を提供する。

- 一、 甲が製造販売すべき商品の研究開発業務
- 二、 甲の企業経営上、特に緊急を要し、且つ、重要な研究開発業務
- 三、 甲が要請する先納レベルの基礎研究開発業務

### (関連委託業務)

第2条 甲は乙に対し、前条の業務に関連して、次の業務を委託する。

- 一、 工業所有権の取得に関する諸手続及びその維持管理並びに調査
- 二、 生産及び販売における技術的援助の供与
- 三、 その他甲乙協議により決定した事項

### (委託費)

第3条 甲は乙に対し、別に定める基準により、第1条及び第2条の業務遂行に必要な委託費を支払う。

### (工業所有権等の帰属)

第4条 本契約により、乙の工業所有権を受け継ぐ権利及びノウハウは甲に帰属する。

### (成功報酬)

第5条 甲は、工業所有権等を第三者に譲渡又はその実施権を許諾し、その収入を得た場合には、甲乙協議により、乙に対して第3条規定の委託費のほか成功報酬を支払うことがある。





(Translation)

BASIC AGREEMENT ON ENTRUSTMENT  
OF RESEARCH IMPLEMENTATION

HONDA MOTOR CO., LTD. (hereinafter referred to as "A") and HONDA R&D CO., LTD. (hereinafter referred to as "B") hereby conclude the following basic agreement on the entrusting of research and development and other tasks.

(Entrusting of Research and Development Work)

Article 1: A shall entrust B with the work of implementing the following research and development work, and B shall accept the entrustment. B shall provide A with drawings and technical data, which are the results of B's research and development activities.

1. Research and development regarding products to be manufactured by A.
2. Research and development that require particular urgency and are important to the corporate management of A.
3. Basic research and development at the state-of-the-art level A requests.

(Related Entrustment Work)

Article 2: In connection with the work provided for in the preceding article, A shall entrust the following work to B:

1. Various procedures for acquisition of industrial property and maintenance and search thereof.
2. Supply of technical assistance for production and sales.
3. Other matters concluded through consultations between A and B.

(Entrustment Expenses)

Article 3: A shall pay B the entrustment expenses necessary for execution of the work under Article 1 and Article 2 herein, based on separately set standards.

(Attribution of Industrial Property, etc.)

Article 4: Based on this Agreement, B's rights to obtain industrial property and expertise shall belong to A.

(Remuneration Contingent on Success)

Article 5: If A assigns industrial property or licenses their execution rights to a third party and in return obtains income, in accordance with consultation between A and B, A may pay B a contingent fee in addition to the entrustment expenses provided for in Article 3 herein.

(Maintenance of Secrecy)

Article 6: B may not divulge technical and business secrets learned in connection with

this Agreement to any other party.

(Joint Research with a Third Party)

Article 7: If B is to implement joint research with a third party for purposes other than those under Article 1 and Article 2 herein, or if B is to receive entrustment of research and development from a third party, B must obtain prior approval from A.

(Damage Compensation Responsibilities)

Article 8: If B causes serious damage to A, due to grave defects in drawings or technical data supplied to A, B shall compensate A for all or part of the damage in accordance with consultations between both parties.

(Valid Term of This Agreement)

Article 9: The valid term of this Agreement shall be from the conclusion date hereof until the end of February 1989. If no objection is submitted by either A or B by at least six (6) months before the expiration date of this Agreement, the valid term of this Agreement shall be extended for another year, and this shall also be continued thereafter.

(Matters not stipulated in This Agreement)

Article 10: Regarding any matter not stipulated herein, both parties shall consult with each other and decide on how to resolve the issue in each case.

IN WITNESS WHEREOF, this Agreement was executed in duplicate, and each of A and B shall hold one (1) copy after affixing its name and seal to both copies.

March 1, 1986

A: 1-1, Minamiaoyama 2-chome, Minato-ku, Tokyo, Japan  
HONDA MOTOR CO., LTD.  
Director/President Koreshi Kume (seal)

B: 4-1, Chuo 1-chome, Wako-shi, Saitama-ken, Japan  
HONDA R&D CO., LTD.  
Director/President Kazuo Nakagawa (seal)

# 証 明 書

本田技研工業株式会社 (HONDA MOTOR CO., LTD.)  
知的財産部 部長 別所 弘和 (Intellectual Property Division,  
General Manager, Hirokazu BESSHO)

1. 上記の者は、当社の知的財産部 部長であることを証明します。
2. 上記の者には、当社の業務に関し、次の権限を付与していることを証明します。
  - ① 担当する業務に関して、当社を代表して外部に提出する証書を上記の者の名義で作成すること。
  - ② 上記①で作成した証書について、自ら又はその代理人により、公証人に対して認証の囑託をすること。
3. 上記の者が当社の業務の遂行に関して使用している署名は以下のものであることを証明します。



別所 弘和

平成 29 年 2 月 13 日  
東京都港区南青山二丁目 1 番 1 号  
本田技研工業株式会社  
代表取締役社長 八郷 隆弘



(Translation)

CERTIFICATE

HONDA MOTOR CO., LTD.

Intellectual Property Division, General Manager

Hirokazu BESSHO

1. This is to certify that the above named person is a General Manager of the Intellectual Property Division of our company.
2. This is to certify that the above named person is authorized to carry out the following business of our company.
  - ① Preparing documents for business in his charge for the purpose of submission to the outside on behalf of our company
  - ② For the documents prepared in ① above, entrusting notarization with a Notary Public by himself or through his agent.
3. This is to certify that the following signature is used for carrying out of the company business by the above named person.

---

Hirokazu BESSHO

Dated February 13, 2017

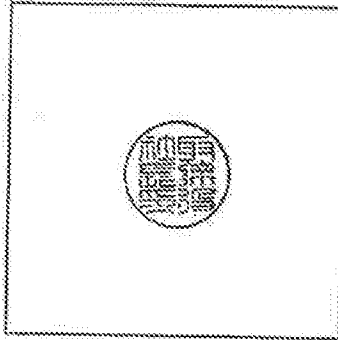
HONDA MOTOR CO., LTD.

1-1, Minami-Aoyama 2-chome, Minato-ku, Tokyo, Japan

President

Takahiro HACHIGO

# 印鑑証明書



会社法人等番号 0104-01-027577

商号 本田技研工業株式会社

本店 東京都港区南青山二丁目1番1号

代表取締役 八郷 隆 弘

昭和34年 5月19日生

これは提出されている印鑑の写しに相違ないことを証明する。

平成29年 1月24日

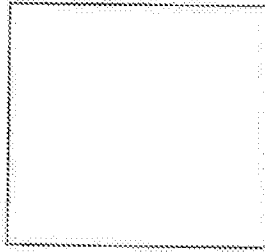
東京法務局港出張所  
登記官

加藤 聡

PATENT

(Translation)

## CERTIFICATE OF SEAL



Corporation Number: 0104-01-027577  
Company Name: HONDA MOTOR CO., LTD.  
Main Office: 1-1, Minami-Aoyama 2-chome, Minato-ku, Tokyo, Japan  
President Takahiro HACHIGO  
Birthdate May 19, 1959

This is to certify that the above is a true copy of the seal registered.  
(Tokyo Legal Affairs Bureau, Jurisdiction of Minato Office)

January 24, 2017  
Tokyo Legal Affairs Bureau, Minato Office  
Registrar

Satoshi KATO (seal)

Reference Number Re454843

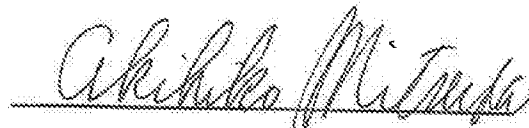
0492631P

Registered No. 0174

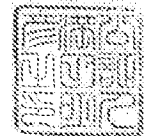
Certificate of Acknowledgment of Notary

On this February 20, 2017, before me, Akihiko Mitsuda, a notary in and for the Tokyo Legal Affairs Bureau, Midori Nakazawa, with satisfactory evidence of her identification and of her being an agent of Hirokazu BESSHO, Intellectual Property Division, General Manager of HONDA MOTOR CO., LTD., in accordance with the Japanese Notary Act, stated that the principal, said Hirokazu BESSHO, had acknowledged affixing his signature to the attached document.

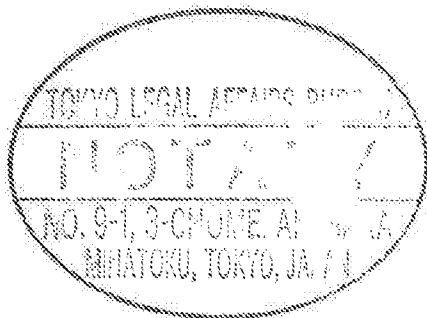
In witness whereof, I set my hand and seal,



AKIHIKO MITSUDA



Notary attached to  
The Tokyo Legal Affairs Bureau  
NO.9-1 3-CHOME AKASAKA  
MINATOKU TOKYO JAPAN







平成 29 年 登簿 第 0174 号  
認 証

囑 託 人 本田技研工業株式会社  
知的財産部 部長 別所弘和  
の代理人 中澤 緑 は、本公証人の面前で、本人が別紙文書に  
署名したことを自認する旨陳述した。

よって、これを認証する。

平成 29 年 2 月 20 日、本公証人役場において  
東京都港区赤坂 3 丁目 9 番 1 号  
東京法務局 所属

公 証 人  
Notary

冨田 明 希



Akihiko MITSUDA

総公証 No 106076 号

証 明

上記署名は、東京法務局所属公証人の署名に相違ないものであり、かつ、その押印は、  
真実のものであることを証明する。

平成 29 年 2 月 20 日

東京法務局長 佐藤 主 税

CERTIFICATE

This is to certify that the signature affixed above has been provided by Notary,  
duly authorized by the Tokyo Legal Affairs Bureau and that the Official Seal  
appearing on the same is genuine.

Date February 20, 2017

Chikara SATO

Director of the Tokyo Legal Affairs Bureau

For legalization by the foreign consul in  
Japan, this is to certify that the Seal  
affixed hereto is genuine.

Date February 20, 2017

T. Tanaka

Toshie TANAKA

Tokyo, \_\_\_\_\_

Official  
Ministry of Foreign Affairs

PATENT  
REEL: 041936 FRAME: 0994

Registered No. 0194

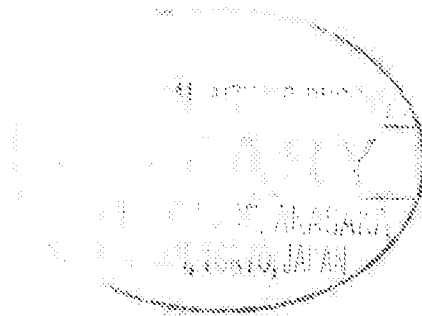
NOTARIAL CERTIFICATE

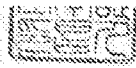
This is to certify that **Hirohisa Suzuki, Patent Attorney**, has affixed his signature in my presence to the attached document.

February 21, 2017

  
MASAZUMI HASHIMOTO

Notary attached to  
The Tokyo Legal Affairs Bureau  
NO.9-1 3-CHOME AKASAKA  
MINATOKU TOKYO JAPAN





平成 29 年 登簿 第 0194 号

認 証

囑託人 弁理士 鈴木博久  
は、本公証人の面前で別紙文書に署名した。

よって、これを認証する。

平成 29 年 2 月 21 日、本公証人役場において

東京都港区赤坂 3 丁目 9 番 1 号  
東京法務局所属

公 証 人  
Notary

橋本昌純 

Masazumi HASHIMOTO

証 明

上記署名は、東京法務局所属公証人の署名に相違ないものであり、かつ、その押印は、  
真実のものであることを証明する。

平成 29 年 2 月 21 日

東京法務局長

佐藤 主税

APOSTILLE

(Convention de La Haye du 5 octobre 1961)

- 1. Country: JAPAN  
This public document
- 2. has been signed by Masazumi HASHIMOTO
- 3. acting in the capacity of Notary of the Tokyo Legal Affairs Bureau
- 4. bears the seal/stamp of Masazumi HASHIMOTO, Notary  
Certified
- 5. at Tokyo
- 6. February 21, 2017
- 7. by the Ministry of Foreign Affairs
- 8. 17-N<sup>o</sup> 004970
- 9. Seal/stamp:
- 10. Signature

T. TANAKA

Toshie TANAKA

For the Minister for Foreign Affairs

PATENT