

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4368776

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BRIAN J. COX	06/11/2014
PAUL LUBOCK	06/11/2014
ROBERT F. ROSENBLUTH	06/11/2014
RECEIVING PARTY DATA	
Name:	INCEPTUS MEDICAL, LLC
Street Address:	8 ARGONAUT, SUITE 100
City:	ALISO VIEJO
State/Country:	CALIFORNIA
Postal Code:	92656
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15466740
CORRESPONDENCE DATA	
Fax Number:	(858)350-6111
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	858-350-6100
Email:	anguyen@kilpatricktownsend.com
Correspondent Name:	KILPATRICK TOWNSEND & STOCKTON LLP
Address Line 1:	12730 HIGH BLUFF DRIVE, SUITE 400
Address Line 4:	SAN DIEGO, CALIFORNIA 92130
ATTORNEY DOCKET NUMBER:	101595-000100US-1027779
NAME OF SUBMITTER:	RICHARD B. CHRISTIANSEN, REG. NO. 69,943
SIGNATURE:	/Richard B. Christiansen/
DATE SIGNED:	04/14/2017
Total Attachments: 15	
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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

State of California

County of Orange

On 6/11/2014 before me, K.L. KYO

Here Insert Name and Title of the Officer

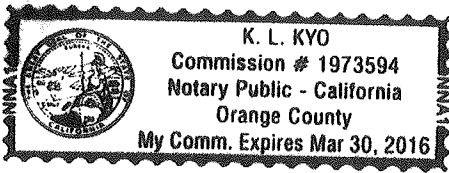
personally appeared Brian James Cox

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature: [Handwritten Signature]

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment By Inventor

Document Date: N/A Number of Pages: 3

Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer(s)

Signer's Name:

Corporate Officer -- Title(s):

Individual

Partner -- Limited General

Attorney in Fact

Trustee

Guardian or Conservator

Other:

Signer Is Representing:

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

Signer's Name:

Corporate Officer -- Title(s):

Individual

Partner -- Limited General

Attorney in Fact

Trustee

Guardian or Conservator

Other:

Signer Is Representing:

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

ASSIGNMENT BY INVENTOR

This Assignment is by the following individual (the "Assignor"):

- Brian Cox having a mailing address of 3 Novilla, Laguna Niguel, California 92677.

The Assignor invented one or more certain inventions (the "Invention(s)") described in the applications for Letters Patent of the United States as listed on Schedule A attached hereto (the "Applications").

Inceptus Medical, LLC, a Limited Liability Company of California having its principal place of business at 8 Argonaut, Suite 100, Aliso Viejo, California 92656 (the "Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Applications, and in and to any patents (the "Patents") that may be granted for the Invention(s) in the United States and/or in any foreign countries.

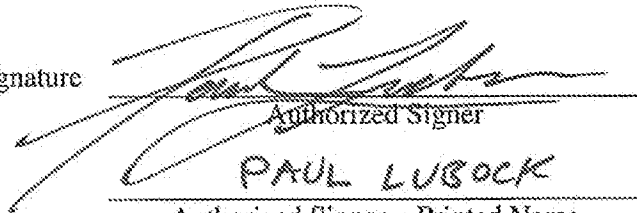
For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Applications, and any Patents; any divisions, continuations, and continuations-in-part of the Applications and any other application claiming priority rights from the Applications; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Applications (collectively, the "Rights"). Assignor warrants that Assignor owns the Rights, and that the Rights are unencumbered. Assignor also agrees to not sign any writing or do any act conflicting with this Assignment, and, without further compensation, sign all documents and do such additional acts as Assignee deems necessary or desirable to: perfect Assignee's enjoyment of the Rights; conduct proceedings regarding the Rights, including any litigation or interference proceedings; or perfect or defend title to the Rights. Assignor requests the Commissioner of Patents to issue

any Patent of the United States that may be issued on the Invention(s) to Assignee. This Assignment may be executed in counterparts.

For Inceptus Medical, LLC:

Date: 6/24/2014

Signature

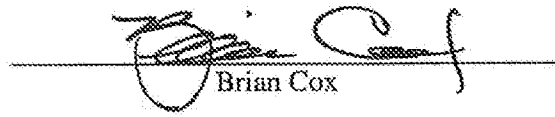

Authorized Signer

PAUL LUSOCK

Authorized Signer - Printed Name

VICE PRESIDENT

Authorized Signer - Title


Brian Cox

Date: _____

United States of America)

State of _____) ss.:

County of _____)

On this _____ day of _____, _____, before me personally came Brian Cox, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Schedule A

Application No.	Filing Date	Patent No.	Issue Date	Title
14/232,238	01-10-2014			PERCUTANEOUSLY IMPLANTABLE ARTIFICIAL HEART VALVE SYSTEM AND ASSOCIATED METHODS AND DEVICES
PCT/US12/51502	08-17-2012			EXPANDABLE OCCLUSION DEVICE AND METHODS
61/525,680	08-19-2011			HEART DEFECT OCCLUSION DEVICES AND METHOD
14/239,129	02-14-2014			EXPANDABLE OCCLUSION DEVICE AND METHODS
PCT/US12/67479	11-30-2012			EMBOLIC PROTECTION DEVICE AND METHODS OF USE
61/566,531	12-02-2011			EMBOLIC PROTECTION DEVICES AND METHOD
61/646,833	05-14-2012			EMBOLIC PROTECTION DEVICES AND METHOD
PCT/US13/20381	01-04-2013			EXPANDABLE OCCLUSION DEVICES AND METHODS OF USE
61/583,993	01-06-2012			DEVICES AND METHOD FOR OCCLUSION OF THE LEFT ATRIAL APPENDAGE
PCT/US13/37484	04-19-2013			EXPANDABLE OCCLUSION DEVICES AND METHODS OF USE
61/636,392	04-20-2012			DEVICES AND METHODS FOR VASCULAR OCCLUSION
61/638,340	04-25-2012			EMBOLIC PROTECTION APPARATUS AND ASSOCIATED METHODS AND SYSTEMS
PCT/US14/29210	03-14-2014			MULTILAYERED EXPANDABLE BRAIDED DEVICES AND

				METHODS OF USE
Application No.	Filing Date	Patent No.	Issue Date	Title
PCT/US13/61470	09-24-2013			DEVICE AND METHOD FOR TREATING VASCULAR OCCLUSION
PCT/US13/71101	11-20-2013			METHODS AND APPARATUS FOR TREATING EMBOLISM
61/705,129	09-24-2012			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION
61/728,775	11-20-2012			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION
61/750,277	01-08-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (v.2)
61/845,796	07-12-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.3)
61/864,356	08-09-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.4)
61/893,859	10-21-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.5)
61/949,953	03-07-2014			METHODS AND APPARATUS FOR TREATING EMBOLISM

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

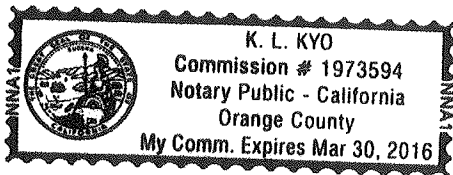
State of California

County of Orange

On 6/11/2014 before me, K.L. Kyo

personally appeared Paul Lubock

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: [Handwritten Signature]

Place Notary Seal Above

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment By Inventor

Document Date: N/A Number of Pages: 3

Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer(s)

Signer's Name:

Corporate Officer - Title(s):

- Individual
Partner - Limited General
Attorney in Fact
Trustee
Guardian or Conservator
Other:

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer Is Representing:

Signer's Name:

Corporate Officer - Title(s):

- Individual
Partner - Limited General
Attorney in Fact
Trustee
Guardian or Conservator
Other:

RIGHT THUMBPRINT OF SIGNER
Top of thumb here

Signer Is Representing:

ASSIGNMENT BY INVENTOR

This Assignment is by the following individual (the "Assignor"):

- Paul Lubock having a mailing address of 11 Santa Lucia, Monarch Beach, California 92629.

The Assignor invented one or more certain inventions (the "Invention(s)") described in the applications for Letters Patent of the United States as listed on Schedule A attached hereto (the "Applications").

Inceptus Medical, LLC, a Limited Liability Company of California having its principal place of business at 8 Argonaut, Suite 100, Aliso Viejo, California 92656 (the "Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Applications, and in and to any patents (the "Patents") that may be granted for the Invention(s) in the United States and/or in any foreign countries.

For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Applications, and any Patents; any divisions, continuations, and continuations-in-part of the Applications and any other application claiming priority rights from the Applications; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Applications (collectively, the "Rights"). Assignor warrants that Assignor owns the Rights, and that the Rights are unencumbered. Assignor also agrees to not sign any writing or do any act conflicting with this Assignment, and, without further compensation, sign all documents and do such additional acts as Assignee deems necessary or desirable to: perfect Assignee's enjoyment of the Rights; conduct proceedings regarding the Rights, including any litigation or interference proceedings; or perfect or defend title to the Rights. Assignor requests the Commissioner of Patents to issue

any Patent of the United States that may be issued on the Invention(s) to Assignee. This Assignment may be executed in counterparts.

For Inceptus Medical, LLC:

Date: 6/24/2014

Signature



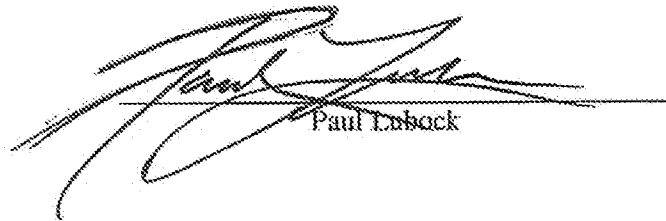
Authorized Signer

PAUL LUBOCK

Authorized Signer – Printed Name

VICE PRESIDENT

Authorized Signer – Title


Paul Lubock

Date: _____

United States of America)
State of _____) ss.:
County of _____)

On this _____ day of _____, _____, before me personally came Paul Lubock, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Schedule A

Application No.	Filing Date	Patent No.	Issue Date	Title
14/232,238	01-10-2014			PERCUTANEOUSLY IMPLANTABLE ARTIFICIAL HEART VALVE SYSTEM AND ASSOCIATED METHODS AND DEVICES
PCT/US12/51502	08-17-2012			EXPANDABLE OCCLUSION DEVICE AND METHODS
61/525,680	08-19-2011			HEART DEFECT OCCLUSION DEVICES AND METHOD
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61/566,531	12-02-2011			EMBOLIC PROTECTION DEVICES AND METHOD
61/646,833	05-14-2012			EMBOLIC PROTECTION DEVICES AND METHOD
PCT/US13/20381	01-04-2013			EXPANDABLE OCCLUSION DEVICES AND METHODS OF USE
61/583,993	01-06-2012			DEVICES AND METHOD FOR OCCLUSION OF THE LEFT ATRIAL APPENDAGE
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61/845,796	07-12-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.3)
61/864,356	08-09-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.4)
61/893,859	10-21-2013			DEVICES AND METHODS FOR TREATMENT OF VASCULAR OCCLUSION (V.5)
61/949,953	03-07-2014			METHODS AND APPARATUS FOR TREATING EMBOLISM

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

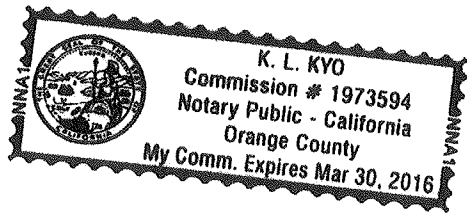
CIVIL CODE § 1189

State of California

County of Orange

On 6/11/2014 before me, K.L. KYO

personally appeared Robert Frank Roscnbluth



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: [Handwritten Signature]

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Title or Type of Document: Assignment By Inventor

Document Date: N/A Number of Pages: 3

Signer(s) Other Than Named Above:

Capacity(ies) Claimed by Signer(s)

Signer's Name:

Corporate Officer - Title(s):

Individual

Partner - Limited General

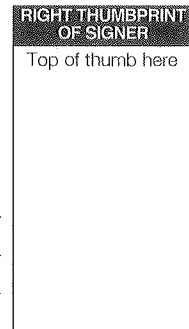
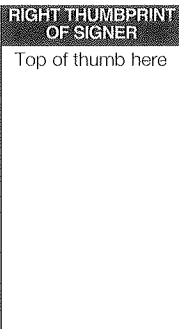
Attorney in Fact

Trustee

Guardian or Conservator

Other:

Signer Is Representing:



ASSIGNMENT BY INVENTOR

This Assignment is by the following individual (the "Assignor"):

- Robert Rosenbluth having a mailing address of 24161 Cherry Hills Place, Laguna Niguel, California 92677.

The Assignor invented one or more certain inventions (the "Invention(s)") described in the applications for Letters Patent of the United States as listed on Schedule A attached hereto (the "Applications").

Inceptus Medical, LLC, a Limited Liability Company of California having its principal place of business at 8 Argonaut, Suite 100, Aliso Viejo, California, 92656 (the "Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Applications, and in and to any patents (the "Patents") that may be granted for the Invention(s) in the United States and/or in any foreign countries.


For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Applications, and any Patents; any divisions, continuations, and continuations-in-part of the Applications and any other application claiming priority rights from the Applications; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Applications (collectively, the "Rights"). Assignor warrants that Assignor owns the Rights, and that the Rights are unencumbered. Assignor also agrees to not sign any writing or do any act conflicting with this Assignment, and, without further compensation, sign all documents and do such additional acts as Assignee deems necessary or desirable to: perfect Assignee's enjoyment of the Rights; conduct proceedings regarding the Rights, including any litigation or interference proceedings; or perfect or defend title to the Rights. Assignor requests the Commissioner of Patents to issue

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For Inceptus Medical, LLC:

Date: 6/24/2014

Signature



Authorized Signer

PAUL LUBOCK
Authorized Signer – Printed Name

VICE PRESIDENT
Authorized Signer – Title



Robert Rosenbluth

Date: _____

United States of America)
State of _____) ss.:
County of _____)

On this _____ day of _____, _____, before me personally came Robert Rosenbluth, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Schedule A

Application No.	Filing Date	Patent No.	Issue Date	Title
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