# 504324275 04/17/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4370959

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
SANDRA MAY BERNADETTE HOLMES	10/14/2015
CRAIG GUILIFORD	10/14/2015
STEVEN MORRIS	10/15/2015
CHRISTOPHER PAUL HANCOCK	10/14/2015

# **RECEIVING PARTY DATA**

Name:	CREO MEDICAL LIMITED	
Street Address:	RIVERSIDE COURT, BEAUFORT PARK	
City:	CHEPSTOW, GWENT	
State/Country: UNITED KINGDOM		
Postal Code:	NP16 5UH	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15518725

# **CORRESPONDENCE DATA**

**Fax Number:** (202)857-6395

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: PATENTDOCKET@ARENTFOX.COM

Correspondent Name: ARENT FOX LLP
Address Line 1: 1717 K STREET NW

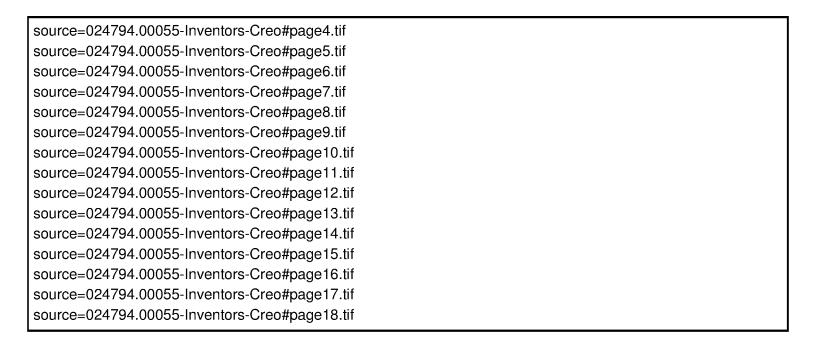
Address Line 4: WASHINGTON, D.C. 20006

ATTORNEY DOCKET NUMBER:	024794.00055
NAME OF SUBMITTER:	BRANDON KISTLER
SIGNATURE:	/BRANDON KISTLER/
DATE SIGNED:	04/17/2017

## **Total Attachments: 18**

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PATENT 504324275 REEL: 042030 FRAME: 0079



#### ASSIGNMENT OF INVENTION & RELATED INTELLECTUAL PROPERTY RIGHTS

#### **PARTIES**

- (1) SANDRA MAY BERNADETTE HOLMES of 21 Jackdaw Close, Stevenage, Hertfordshire, SG2 9DA (the Inventor); and
- (2) CREO MEDICAL LIMITED incorporated and registered in England & Wales with company number 04658880 whose registered office is at Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH (the Assignee).

#### BACKGROUND

- (A) The Inventor is one of the inventors of the Invention for which the Patent Applications have been or will be filed.
- (B) The Inventor and the Assignee believe that, by virtue of an agreement entered into by the Inventor and the Assignee before the making of the Invention, the Assignee was, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventor to the Invention.
- (C) In case the Assignee for any reason was not, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventor to the Invention, and in order to provide documentary evidence that the Assignee is the owner of both the legal title and the beneficial interest in the Patent Applications and in any contributions of the Inventor to the Invention, including any further contributions that the Inventor may make to the development or improvement of the Invention, the Inventor has agreed to execute this Assignment to assign to the Assignee all his rights, title and interest in, to and arising from the Invention and the Patent Applications on the terms set out in this Assignment.

## AGREED TERMS

# 1. INTERPRETATION

1.1 The definitions and rules of interpretation in this clause apply in this Assignment.

**Future Applications** means any future applications claiming priority from the Priority Applications and all matter contained in such future applications.

Invention means the invention or inventions entitled SURGICAL SNARE

1

**Patent Applications** means the Priority Applications and the Future Applications and any and all:

- international, national and regional phase applications of all such applications; and
- (b) divisions, continuations and continuations-in-part of all such applications; and
- (c) patents that may be granted pursuant to any of the aforesaid applications for their full period, including any re-issues, reexaminations, renewals, extensions and Supplementary Protection Certificates.

**Priority Applications** means the priority patent applications, short particulars of which are set out in Part 1 of the Schedule.

1.2 A person includes a natural person, corporate or unincorporated body (whether or not having separate legal personality) and that person's legal and personal representatives, successors and permitted assigns.

#### 2. ASSIGNMENT

In consideration of the sum of £1 (sufficiency and receipt of which the Inventor expressly acknowledge), the Inventor hereby assigns absolutely to the Assignee all her right, title and interest in Canada, the United States and all other countries of the world in, to and arising from the Invention and, with effect from immediately prior to the filing of each, the Patent Applications including (but not limited to):

- (a) in respect of the Invention and any invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) in respect of each and any Patent Application:
  - (i) the right to claim priority from and to prosecute and obtain grant of patents; and
  - (ii) the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications;

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- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

#### 3. FURTHER ASSURANCE

The Inventor shall, at the Assignee's cost, perform all further acts and things, and execute all further documents, required by law or which the Assignee requests to vest in the Assignee the full benefit of the right, title and interest assigned to the Assignee under this Assignment, including (but not limited to):

- (a) documents required to be signed by or on behalf of the Inventor in the course of any and all Canadian or United States or any other applications which relate to the Invention or to any inventions that shall be disclosed in any of the Patent Applications;
- registration of the Assignee as applicant for, or proprietor of, the Patent Applications; and
- (c) assisting the Assignee in obtaining, defending and enforcing any of the Patent Applications, and assisting with any other proceedings which may be brought by or against the Assignee by any third party or in the resolution of any question concerning the Invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

# 4. POWER OF ATTORNEY

The Inventor and the Assignee grant the firm of Mewburn Ellis LLP the power to insert in Part 2 of the Schedule to this Assignment any further identification of any existing or future patent applications in Canada or the United States or any other countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other patent office for the recordal of this Assignment. It is agreed that Mewburn Ellis LLP has represented only the Assignee and will continue to represent only the Assignee with respect to this Assignment.

## 5. ISSUE OF PATENTS

The Inventor requests the US Commissioner of Patents and the relevant authorities in all countries, regions and territories of the world to issue any patents granted for the Invention or pursuant to any of the Patent Applications

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in the name of the Assignee (either alone or jointly with any co-applicant) in accordance with this Assignment.

#### 6. WAIVER

No failure or delay by a party to exercise any right or remedy provided under this Assignment or by law shall constitute a waiver of that or any other right or remedy, nor shall it preclude or restrict the further exercise of that or any other right or remedy. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that or any other right or remedy.

#### 7. COUNTERPARTS

This Assignment may be executed in any number of counterparts, each of which when executed shall constitute an original of this Assignment, but all the counterparts shall together constitute the same agreement.

#### 8. GOVERNING LAW AND JURISDICTION

This Assignment shall be governed by and construed in accordance with the law of England and Wales. The parties agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this Assignment.

**Schedule: The Patent Applications** 

# Part 1: Priority Applications

Country	Application No.	Application Date	Title
UK	1418368.5	16 October 2014	SURGICAL SNARE

# Part 2: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis LLP
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Executed by SANDRA MAY
BERNADETTE HOLMES in the
and a second second

SIGNATURE OF INVENTOR

SIGNATURE OF WITNESS

NAME: MALLOLM WHITE

ADDRESS: THE ADOLLES, THE GROVE, COLEFORD, RADSTOCK, BAB SLW OCCUPATION: ENGINEER

DATE: 14th Oct 2015

Executed by CREO MEDICAL

LIMITED acting by

.C.B.R.IS. HAVOCA a director, in

the presence of:

SIGNATURE OF DIRECTOR

SIGNATURE OF WITNESS

NAME: MALCOLM WHITE

ADDRESS: THE ARCHES, THE GROVE, COLEFORD, RADSTOCK, BAS SLW

OCCUPATION: ENGINGER DATE: 14th OCT 2015

Assignment of invention and UK 1418368.4 RAJ/BP6962989 & Cold Snare

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#### ASSIGNMENT OF INVENTION & RELATED INTELLECTUAL PROPERTY RIGHTS

#### **PARTIES**

(1) **CRAIG GUILIFORD** of c/o Creo Medical Limited, Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH

**STEVEN MORRIS** of c/o Creo Medical Limited, Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH

**CHRISTOPHER PAUL HANCOCK** of 37 Napier Road, Bath, BA1 4LN, United Kingdom (the **Inventors**); and

(2) **CREO MEDICAL LIMITED** incorporated and registered in England with company number 04658880 whose registered office is at Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH (the **Employer**).

## **BACKGROUND**

- (A) Each Inventor is an inventor of the Invention for which the Patents Applications have been or will be filed. The contributions of the Inventors to the Invention were made in the course of the duties of each Inventor as an employee of the Employer, and either the circumstances were such that an invention might reasonably have been expected to result from the carrying out of those duties, or the nature of those duties was such that the Inventor had a special obligation to further the interests of the Employer's undertaking.
- (B) The Inventors and the Employer believe that, either by operation of law or by virtue of an agreement entered into by each of the Inventors and the Employer before the making of the Invention, the Employer was, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventors to the Invention.
- (C) In case the Employer for any reason was not, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventors to the Invention, and in order to provide documentary evidence that the Employer is the owner of both the legal title and the beneficial interest in the Patent Applications and in any contributions of the Inventors to the Invention, including any further contributions that the Inventors may make to the development or improvement of the Invention, each Inventor has agreed to execute this Assignment to assign to the Employer all his rights, title and interest in, to and arising from the Invention and the Patent Applications on the terms set out in this Assignment.

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#### **AGREED TERMS**

#### 1. INTERPRETATION

1.1 The definitions and rules of interpretation in this clause apply in this Assignment.

**Future Applications** means any future applications claiming priority from the Priority Applications and all matter contained in such future applications.

**Invention** means the invention or inventions entitled SURGICAL SNARE.

**Patent Applications** means the Priority Applications and the Future Applications and any and all:

- (a) international, national and regional phase applications of all such applications; and
- (b) divisions, continuations and continuations-in-part of all such applications; and
- (c) patents that may be granted pursuant to any of the aforesaid applications for their full period, including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates.

**Priority Applications** means the priority patent applications, short particulars of which are set out in Part 1 of the Schedule.

1.2 A **person** includes a natural person, corporate or unincorporated body (whether or not having separate legal personality) and that person's legal and personal representatives, successors and permitted assigns.

## 2. ASSIGNMENT

In consideration of the sum of £1 (sufficiency and receipt of which the Inventors expressly acknowledge), each of the Inventors hereby assign absolutely to the Employer all their right, title and interest in Canada, the United States and all other countries of the world in, to and arising from the Invention and, with effect from immediately prior to the filing of each, the Patent Applications including (but not limited to):

- (a) in respect of the Invention and any invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) in respect of each and any Patent Application:

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- (i) the right to claim priority from and to prosecute and obtain grant of patents; and
- (ii) the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications;
- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

#### 3. FURTHER ASSURANCE

Each Inventor shall, at the Employer's cost, perform all further acts and things, and execute all further documents, required by law or which the Employer requests to vest in the Employer the full benefit of the right, title and interest assigned to the Employer under this Assignment, including (but not limited to):

- (a) documents required to be signed by or on behalf of the Inventors in the course of any and all Canadian or United States or any other applications which relate to the Invention or to any inventions that shall be disclosed in any of the Patent Applications;
- (b) registration of the Employer as applicant for, or proprietor of, the Patent Applications; and
- (c) assisting the Employer in obtaining, defending and enforcing any of the Patent Applications, and assisting with any other proceedings which may be brought by or against the Employer by any third party or in the resolution of any question concerning the Invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

### 4. POWER OF ATTORNEY

The Inventors and the Employer grant the firm of Mewburn Ellis LLP the power to insert in Part 2 of the Schedule to this Assignment any further identification of any existing or future patent applications in Canada or the

United States or any other countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other patent office for the recordal of this Assignment. It is agreed that Mewburn Ellis LLP has represented only the Employer and will continue to represent only the Employer with respect to this Assignment.

#### 5. ISSUE OF PATENTS

The Inventors request the US Commissioner of Patents and the relevant authorities in all countries, regions and territories of the world to issue any patents granted for the Invention or pursuant to any of the Patent Applications in the name of the Employer (either alone or jointly with any co-applicant) in accordance with this Assignment.

#### 6. WAIVER

No failure or delay by a party to exercise any right or remedy provided under this Assignment or by law shall constitute a waiver of that or any other right or remedy, nor shall it preclude or restrict the further exercise of that or any other right or remedy. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that or any other right or remedy.

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This Assignment may be executed in any number of counterparts, each of which when executed shall constitute an original of this Assignment, but all the counterparts shall together constitute the same agreement.

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**Schedule: The Patent Applications** 

# **Part 1: Priority Applications**

ADDRESS: OCCUPATION:

DATE:

Country	Application No.	Application Date	Title
UK	1418368.5	16 October 2014	SURGICAL SNARE

# Part 2: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis LLP

Executed by Continuous in the presence of the	 F WITNESS Kathryn Hogg 81 Tyning Rd,	Sid Sid Winsley, Wilts.	GNATURE OF INVENTO	)R
Executed by Something presence of the presence			 GNATURE OF INVENTO	)R

# Assignment of invention and UK1418368.5 RAJ/BP6962989 & Surgical Snare

Executed by CHRISTOPHER PAUL HANCOCK in the presence of:	SIGNATURE OF INVENTOR
SIGNATURE OF WITNESS NAME: ADDRESS: OCCUPATION: DATE:	
Executed by CREO MEDICAL LIMITED acting by, a director, in the presence of:	SIGNATURE OF DIRECTOR
SIGNATURE OF WITNESS NAME: ADDRESS: OCCUPATION:	

DATE:

# ASSIGNMENT OF INVENTION & RELATED INTELLECTUAL PROPERTY RIGHTS

## **PARTIES**

(1) CRAIG GUILIFORD of c/o Creo Medical Limited, Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH

STEVEN MORRIS of c/o Creo Medical Limited, Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH

CHRISTOPHER PAUL HANCOCK of 37 Napier Road, Bath, BA1 4LN, United Kingdom (the Inventors); and

(2) CREO MEDICAL LIMITED incorporated and registered in England with company number 04658880 whose registered office is at Riverside Court, Beaufort Park, Chepstow, Gwent, NP16 5UH (the Employer).

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- (B) The Inventors and the Employer believe that, either by operation of law or by virtue of an agreement entered into by each of the Inventors and the Employer before the making of the Invention, the Employer was, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventors to the Invention.
- (C) In case the Employer for any reason was not, at the time the Invention was made, entitled to the whole of the property in the contributions of the Inventors to the Invention, and in order to provide documentary evidence that the Employer is the owner of both the legal title and the beneficial interest in the Patent Applications and in any contributions of the Inventors to the Invention, including any further contributions that the Inventors may make to the development or improvement of the Invention, each Inventor has agreed to execute this Assignment to assign to the Employer all his rights, title and interest in, to and arising from the Invention and the Patent Applications on the terms set out in this Assignment.

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#### AGREED TERMS

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Invention means the invention or inventions entitled SURGICAL SNARE,

Patent Applications means the Priority Applications and the Future Applications and any and all:

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Priority Applications means the priority patent applications, short particulars of which are set out in Part 1 of the Schedule.

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- (a) in respect of the Invention and any invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) in respect of each and any Patent Application:

2

- the right to claim priority from and to prosecute and obtain grant of patents; and
- the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications;
- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

## 3. FURTHER ASSURANCE

Each Inventor shall, at the Employer's cost, perform all further acts and things, and execute all further documents, required by law or which the Employer requests to vest in the Employer the full benefit of the right, title and interest assigned to the Employer under this Assignment, including (but not limited to):

- (a) documents required to be signed by or on behalf of the Inventors in the course of any and all Canadian or United States or any other applications which relate to the Invention or to any inventions that shall be disclosed in any of the Patent Applications;
- (b) registration of the Employer as applicant for, or proprietor of, the Patent Applications; and
- (c) assisting the Employer in obtaining, defending and enforcing any of the Patent Applications, and assisting with any other proceedings which may be brought by or against the Employer by any third party or in the resolution of any question concerning the Invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

## 4. POWER OF ATTORNEY

The Inventors and the Employer grant the firm of Mewburn Ellis LLP the power to insert in Part 2 of the Schedule to this Assignment any further identification of any existing or future patent applications in Canada or the

United States or any other countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of the United States Patent Office or the Canadian Patent Office or any other patent office for the recordal of this Assignment. It is agreed that Mewburn Ellis LLP has represented only the Employer and will continue to represent only the Employer with respect to this Assignment.

#### 5. ISSUE OF PATENTS

The Inventors request the US Commissioner of Patents and the relevant authorities in all countries, regions and territories of the world to issue any patents granted for the Invention or pursuant to any of the Patent Applications in the name of the Employer (either alone or jointly with any co-applicant) in accordance with this Assignment.

#### 6. WAIVER

No failure or delay by a party to exercise any right or remedy provided under this Assignment or by law shall constitute a waiver of that or any other right or remedy, nor shall it preclude or restrict the further exercise of that or any other right or remedy. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that or any other right or remedy.

#### 7. COUNTERPARTS

This Assignment may be executed in any number of counterparts, each of which when executed shall constitute an original of this Assignment, but all the counterparts shall together constitute the same agreement.

# 8. GOVERNING LAW AND JURISDICTION

This Assignment shall be governed by and construed in accordance with the law of England and Wales. The parties agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this Assignment.

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Schedule: The Patent Applications

# Part 1: Priority Applications

***************************************	Country	Application No.	Application Date	Title
***************************************	UK	1418368.5	16 October 2014	SURGICAL SNARE

Part 2: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis
				LLP
: 				

Executed by CRAIG GUILIFORD in the presence of:	SIGNATURE OF INVENTOR
SIGNATURE OF WITNESS NAME: ADDRESS: OCCUPATION: DATE:	
Executed by STEVEN MORRIS in the presence of:	SIGNATURE OF INVENTOR
SIGNATURE OF WITNESS  NAME: TOTAL AND RESERVE STATES  ADDRESS: THE STATES AND RESERVE STATES AND RESERVE STATES AND RESERVE AN	LITTERTON, CATH, GAZ 8000

OCCUPATION: BUSINESS SURGET PROMISES

DATE: IS CASCASCIO ROLS

# Assignment of invention and UK1418368.5 RAJ/BP6962989 & Surgical Snare

Executed by CHRISTOPHER PAUL HANCOCK in the presence

of:

SIGNATURE OF INVENTOR

SIGNATURE OF WITNESS

NAME: MALLOLM WHITE

ADDRESS: THE ARCHES, THE GROVE, COLEFORD, PADSTOCK, BAS SLW

DATE: 14 HD OUL 2015

Executed by CREO MEDICAL

LIMITED acting by

SHAIS HAWSEL, a director, in

the presence of:

SIGNATURE OF DIRECTOR

SIGNATURE OF WITNESS

NAME: MALCOLM WHITE

DATE: 144 OJ 2015

ADDRESS: THE ARCHES, THE GROVE, COLEFORD, RADSTOCK, BAS SLW

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**PATENT** REEL: 042030 FRAME: 0098

RECORDED: 04/17/2017