

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4372853

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SOON YOUNG HYUN	02/24/2017
JUNE ROH	02/27/2017
KYUNG JIN KIM	02/24/2017
JA RAM KIM	02/24/2017
JAE HONG LEE	02/14/2017
RECEIVING PARTY DATA	
Name:	LG INNOTEK CO., LTD.
Street Address:	SEOUL SQUARE, 541
Internal Address:	NAMDAEMUNNO 5-GA, JUNG-GU
City:	SEOUL
State/Country:	REPUBLIC OF KOREA
Postal Code:	100-714
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15407944
CORRESPONDENCE DATA	
Fax Number:	(352)372-5800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	352-375-8100
Email:	JL@SLEPATENTS.COM
Correspondent Name:	JEFF LLOYD
Address Line 1:	PO BOX 142950
Address Line 4:	GAINESVILLE, FLORIDA 32614-2950
ATTORNEY DOCKET NUMBER:	SUN.LGI.515D1
NAME OF SUBMITTER:	JEFF LLOYD
SIGNATURE:	/JEFF LLOYD/
DATE SIGNED:	04/18/2017
This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 9

source=Exec-Dec-Assgn#page1.tif

source=Exec-Dec-Assgn#page2.tif

source=Exec-Dec-Assgn#page3.tif

source=Exec-Dec-Assgn#page4.tif

source=Exec-Dec-Assgn#page5.tif

source=Exec-Dec-Assgn#page6.tif

source=Exec-Dec-Assgn#page7.tif

source=Exec-Dec-Assgn#page8.tif

source=Exec-Dec-Assgn#page9.tif

COMBINED DECLARATION (37 C.F.R. § 1.63) AND ASSIGNMENT

As a below-named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Insert Title:

OPTICAL MEMBER AND DISPLAY DEVICE INCLUDING THE SAME

the specification for which

- is attached hereto.
- was filed January 17, 2017, Serial No. 15/407944.

The above-identified application was made or authorized to be made by me.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified above.

WHEREAS,

Insert Assignee(s)

LG INNOTEK CO., LTD.,

Name/Address:

Seoul Square, 541, Nandaemunno 5-ga, Jung-gu, Seoul, 100-714, Republic of Korea

(hereinafter ASSIGNEE), is desirous of acquiring the entire right, title, and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in view of valuable consideration, receipt of which is hereby acknowledged, I/we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto said ASSIGNEE, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

I/we hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns.

FURTHER, I/we agree that we will communicate to said ASSIGNEE, or its representatives, any facts known to me respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE; make all rightful oaths; and generally do everything possible to aid the said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

In witness whereof, executed by the undersigned on the date opposite the undersigned name,

Legal Name
of inventor

Soon Young HYUN

Date:

Inventor's
Signature

1. Additional inventors are being named on the 1 supplemental sheet(s) attached hereto.

SUPPLEMENTAL SHEET FOR DECLARATION AND ASSIGNMENT

ADDITIONAL INVENTOR(S)
Supplemental Sheet Page 1 of 1

LEGAL NAME OF JOINT INVENTOR, IF ANY

Legal Name
of inventor

June ROH

Date

February 27, 2017

Inventor's
Signature



LEGAL NAME OF JOINT INVENTOR, IF ANY

Legal Name
of inventor

Kyung Jin KIM

Date

Inventor's
Signature

LEGAL NAME OF JOINT INVENTOR, IF ANY

Legal Name
of inventor

Ja Ram KIM

Date

Inventor's
Signature

LEGAL NAME OF JOINT INVENTOR, IF ANY

Legal Name
of inventor

Jae Hong LEE

Date

Inventor's
Signature

LEGAL NAME OF JOINT INVENTOR, IF ANY

Legal Name
of inventor

Date

Inventor's
Signature

**SUPPLEMENTAL SHEET FOR
DECLARATION AND ASSIGNMENT**

ADDITIONAL INVENTOR(S)
Supplemental Sheet Page 1 of 1

LEGAL NAME OF JOINT INVENTOR, IF ANY:

Legal Name of inventor June ROH Date: _____
Inventor's Signature _____

LEGAL NAME OF JOINT INVENTOR, IF ANY:

Legal Name of inventor Kyung Jin KIM Date: _____
Inventor's Signature _____

LEGAL NAME OF JOINT INVENTOR, IF ANY:

Legal Name of inventor Ja Ram KIM Date: 2017.2.24
Inventor's Signature 김재홍

LEGAL NAME OF JOINT INVENTOR, IF ANY:

Legal Name of inventor Jae Hong LEE Date: 2017.02.24
Inventor's Signature 이재홍

LEGAL NAME OF JOINT INVENTOR, IF ANY:

Legal Name of inventor _____ Date: _____
Inventor's Signature _____

Doc code: Oath
Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)
Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of invention	OPTICAL MEMBER AND DISPLAY DEVICE INCLUDING THE SAME		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>15/407944</u> filed on <u>2017-01-17</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Kyung Jin KIM			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Seoul		KR	
Mailing Address (except for a deceased or legally incapacitated inventor):			
Seoul Square, 541, Namdaemunno 5-ga, Jung-gu			
City	State	Zip	Country
Seoul		100-714	KR
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input checked="" type="checkbox"/> Assignee,			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.48 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
- Inventor is under legal incapacity,
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Gyung Rae KIM** Date (Optional): 2017.02.24

Signature: 

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

LG INNOTEK CO., LTD.

Applicant Name:

Title of Person Executing This Substitute Statement: **General Manager**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Seoul** State _____ Country **KR**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

LG INNOTEK CO., LTD.
 Seoul Square, 541, Namdaemunno 5-ga, Jung-gu

City **Seoul** State _____ Zip **100-714** Country **KR**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Doc code: Oath
 Document Description: Oath or declaration filed

PTO/AI/02 (07-13)
 Approved for use through 01/31/2014. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	OPTICAL MEMBER AND DISPLAY DEVICE INCLUDING THE SAME		
This statement is directed to:			
<input type="checkbox"/> The attached application, OR <input checked="" type="checkbox"/> United States application or PCT international application number <u>15/407944</u> filed on <u>2017-01-17</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Soon Young HYUN			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Seoul		KR	
Mailing Address (except for a deceased or legally incapacitated inventor):			
Seoul Square, 541, Namdaemunno 5-ga, Jung-gu			
City	State	Zip	Country
Seoul		100-714	KR
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only), <input checked="" type="checkbox"/> Assignee, <input type="checkbox"/> Person to whom the inventor is under an obligation to assign, <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
- Inventor is under legal incapacity,
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

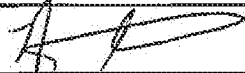
- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Gyung Rae KIM** Date (Optional): 2017.02.24

Signature: 

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

LG INNOTEK CO., LTD.

Applicant Name:

Title of Person Executing This Substitute Statement: **General Manager**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Seoul** State _____ Country **KR**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

LG INNOTEK CO., LTD.
 Seoul Square, 541, Namdaemunno 5-ga, Jung-gu

City **Seoul** State _____ Zip **100-714** Country **KR**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2908. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.