# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4329497

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST (REVOLVING LOAN)

## **CONVEYING PARTY DATA**

Name	Execution Date
INVUITY, INC.	03/10/2017

## **RECEIVING PARTY DATA**

Name:	MIDCAP FINANCIAL TRUST, AS AGENT
Street Address:	7255 WOODMONT AVENUE, SUITE 200
Internal Address:	C/O MIDCAP FINANCIAL SERVICES, LLC, AS SERVICER
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20814

## **PROPERTY NUMBERS Total: 74**

Property Type	Number
Patent Number:	9510737
Patent Number:	9504373
Patent Number:	9468366
Patent Number:	9429746
Patent Number:	9308054
Patent Number:	9282878
Patent Number:	9271710
Patent Number:	9271709
Patent Number:	9241617
Patent Number:	9229165
Patent Number:	9220401
Patent Number:	9173648
Patent Number:	9072455
Patent Number:	9072452
Patent Number:	9060707
Patent Number:	9055935
Patent Number:	9044161
Patent Number:	9022929
Patent Number:	9011323

PATENT REEL: 042052 FRAME: 0834

504282816

Property Type	Number
Patent Number:	9005115
Patent Number:	8936551
Patent Number:	8920316
Patent Number:	8899809
Patent Number:	8876709
Patent Number:	8870761
Patent Number:	8864662
Patent Number:	8795162
Patent Number:	8708896
Patent Number:	8684577
Patent Number:	8596847
Patent Number:	8594472
Patent Number:	8568304
Patent Number:	8409088
Patent Number:	8317693
Patent Number:	8292805
Patent Number:	8285093
Patent Number:	8162824
Patent Number:	8132949
Patent Number:	8088066
Patent Number:	8047987
Patent Number:	7901353
Patent Number:	7686492
Patent Number:	7510524
Patent Number:	7474820
Patent Number:	9574742
Application Number:	15136267
Application Number:	15159723
Application Number:	15220180
Application Number:	14939825
Application Number:	15080350
Application Number:	15046337
Application Number:	14962942
Application Number:	14957452
Application Number:	14980191
Application Number:	14859747
Application Number:	14948048
Application Number:	14821516

Property Type	Number
Application Number:	14817966
Application Number:	14872482
Application Number:	14719201
Application Number:	14607501
Application Number:	14694895
Application Number:	14668545
Application Number:	14635996
Application Number:	14585403
Application Number:	14487645
Application Number:	14541043
Application Number:	14264406
Application Number:	14035583
Application Number:	14175875
Application Number:	14060848
Application Number:	14057933
Application Number:	13900032
Application Number:	13619574

#### **CORRESPONDENCE DATA**

**Fax Number:** (703)610-6200

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 703-610-6100

Email: BOXIP@HOGANLOVELLS.COM

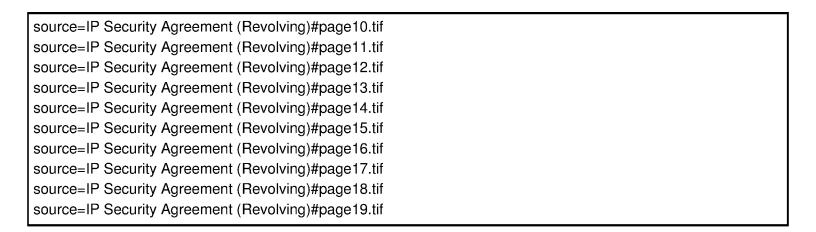
Correspondent Name: VALERIE BRENNAN, HOGAN LOVELLS US LLP
Address Line 1: 7930 JONES BRANCH DRIVE, 9TH FLOOR
Address Line 2: ATTN: BOX INTELLECTUAL PROPERTY

Address Line 4: MCLEAN, VIRGINIA 22102

NAME OF SUBMITTER:	VALERIE BRENNAN
SIGNATURE:	/vb/
DATE SIGNED:	03/21/2017

### **Total Attachments: 19**

source=IP Security Agreement (Revolving)#page1.tif source=IP Security Agreement (Revolving)#page2.tif source=IP Security Agreement (Revolving)#page3.tif source=IP Security Agreement (Revolving)#page4.tif source=IP Security Agreement (Revolving)#page5.tif source=IP Security Agreement (Revolving)#page6.tif source=IP Security Agreement (Revolving)#page7.tif source=IP Security Agreement (Revolving)#page8.tif source=IP Security Agreement (Revolving)#page9.tif



#### INTELLECTUAL PROPERTY SECURITY AGREEMENT (REVOLVING LOAN)

This Intellectual Property Security Agreement (Revolving Loan) is entered into as of the 10th day of March, 2017 by and among **MIDCAP FINANCIAL TRUST**, a Delaware statutory trust ("<u>Agent</u>") and **INVUITY, INC.**, a Delaware corporation (together with any other Person that joins this agreement as a Grantor, each a "Grantor" and collectively, the "Grantors").

#### **RECITALS**

- A. The Lenders have agreed to make certain advances of money and to extend certain financial accommodation to the Grantors (the "Credit Extensions") in the amounts and manner set forth in that certain Credit and Security Agreement (Revolving Loan), by and between Agent, the Lenders and the Grantors dated as of the date hereof (as the same may be amended, modified or supplemented from time to time, the "Credit Agreement"; capitalized terms used herein are used as defined in the Credit Agreement). The Lenders are willing to make the Credit Extensions to the Grantors, but only upon the condition, among others, that the Grantors shall grant to Agent, for the ratable benefit of the Lenders, a security interest in certain Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of the Grantors under the Credit Agreement.
- B. Pursuant to the terms of the Credit Agreement, each Grantor has granted to Agent, for the ratable benefit of the Lenders, a security interest in all of such Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.
- NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Credit Agreement, each Grantor hereby represents, warrants, covenants and agrees as follows:

#### <u>AGREEMENT</u>

To secure its obligations under the Credit Agreement, each Grantor grants and pledges to Agent, for the ratable benefit of the Lenders, a security interest in all of such Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:

- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on <a href="Exhibit A">Exhibit A</a> attached hereto (collectively, the "Copyrights");
- (b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;
- (c) Any and all design rights that may be available to such Grantor now or hereafter existing, created, acquired or held;
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on <a href="Exhibit B">Exhibit B</a> attached hereto (collectively, the "Patents");

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- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of such Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");
- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

This security interest is granted in conjunction with the security interest granted to Agent, for the ratable benefit of the Lenders, under the Credit Agreement. The rights and remedies of Agent with respect to the security interest granted hereby are in addition to those set forth in the Credit Agreement and the other Financing Documents, and those which are now or hereafter available to Agent as a matter of law or equity. Each right, power and remedy of Agent provided for herein or in the Credit Agreement or any of the Financing Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Agent of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Credit Agreement or any of the other Financing Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Agent, of any or all other rights, powers or remedies.

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above. INVUITY, INC. **GRANTORS:** Name: James H. Mackaness Title: Chief Financial Officer Address: Invuity, Inc. 444 DeHaro Street, Suite 100 San Francisco, CA 94107 Attn: Chief Financial Officer

Attn: Facsimile:

E-Mail: JMackaness@invuity.com

AGENT:

## MIDCAP FINANCIAL TRUST

By: Apollo Capital Management, L.P.,

its investment manager

By: Apollo Capital Management GP, LLC,

its general partner

Title: Authorized Signatory

## EXHIBIT A

Copyrights

DescriptionRegistration/Registration/ApplicationApplicationApplicationNumberDate

None.

# EXHIBIT B

Patents

<u>Description</u>	Registration/	Registration
	Application	Application
	Number	Date

See attached.

INVUITY, INC. Blade insert illuminator	INVUITY, INC.   Illuminated suction apparatus	INVUITY, INC.   Illuminated telescoping cannula	INVUITY, INC. Method and apparatus for soft tissue retraction	INVUITY, INC. Blade insert illuminator	INVUITY, INC. Illuminated suction apparatus	INVUITY, INC. Retractor illumination system	INVUITY, INC.   Blade insert illuminator	INVUITY, INC.   Illuminated suction apparatus	INVUITY, INC. Optical waveguide sheath	INVUITY, INC.   Illuminated and modular soft tissue retractor	INVUITY, INC.   Film illumination system	INVUITY, INC.   Micro-optic adapters and tips for surgical illumination fibers	INVUITY, INC.   Blade insert illuminator	INVUITY, INC. Retractor illumination system	INVUITY, INC. Retractor illumination system	INVUITY, INC.   Cyclo olefin polymer and copolymer medical devices	INVUITY, INC.   Illuminated suction apparatus	INVUITY, INC.   Micro-optic adapters and tips for surgical illumination fibers	INVUITY, INC. Blade insert illuminator	INVUITY, INC.   Illuminated cannula	INVUITY, INC.   Illuminated suction apparatus	Borrower that is Owner of IP
																						tifier of IP
Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Patent	Type of IP (e.g., patent, TM, ©, mask work)
US13222376	US14039823	US12188055	US13253785	US14550753	US14308252	US13681233	US13300325	US14057947	US13429700	US14500605	US14068971	US14063910	US14673577	US13454825	US14068695	US13026910	US14732617	US14958716	US14068571	US14229528	US15061990	Application number (Patenth/Serial Number (TM)
2011-08-31	2013-09-27	2008-08-07	2011-10-05	2014-11-21	2014-06-18	2012-11-19	2011-11-18	2013-10-18	2012-03-26	2014-09-29	2013-10-31	2013-10-25	2015-03-30	2012-04-24	2013-10-31	2011-02-14	2015-06-05	2015-12-03	2013-10-31	2014-03-28	2016-03-04	Application Date (TM)
US8920316B2	US8936551B2	US9005115B2	US9011323B2	US9022929B2	US9044161B2	US9055935B2	US9060707B2	US9072452B2	US9072455B2	US9173648B2	US9220401B2	US9229165B2	US9241617B2	US9271709B2	US9271710B2	US9282878B2	US9308054B2	US9429746B2	US9468366B2	US9504373B2	US9510737B2	Publication Number (Patent)/ Reg Number (TM)
2014-12-30	2015-01-20	2015-04-14	2015-04-21	2015-05-05	2015-06-02	2015-06-16	2015-06-23	2015-07-07	2015-07-07	2015-11-03	2015-12-29	2016-01-05	2016-01-26	2016-03-01	2016-03-01	2016-03-15	2016-04-12	2016-08-30	2016-10-18	2016-11-29	2016-12-06	Publication Date (TM)
																						Live/Dead (TM)

		Pending -	2016-04-22	US15136267	Patent	SURGICAL INSTRUMENT COMPATIBLE WITH	INVUITY, INC.
		Patented - No. 9574742	2014-10-30	US14528988	Patent	Illuminated clip and methods of use	INVUITY, INC.
	2009-01-06	US7474820B2	2007-04-26	US11796498	Patent	Micro-optic adapters and tips for surgical illumination fibers	INVUITY, INC.
	2009-03-31	US7510524B2	2006-04-03	US11397446	Patent	Optical waveguide sheath	INVUITY, INC.
	2010-03-30	US7686492B2	2007-06-12	US11818090	Patent	Film illumination system	INVUITY, INC.
	2011-03-08	US7901353B2	2007-03-06	US11715247	Patent	Illuminated cannula	INVUITY, INC.
	2011-11-01	US8047987B2	2007-05-23	US11805682	Patent	Blade insert illuminator	INVUITY, INC.
	2012-01-03	US8088066B2	2007-10-24	US11923483	Patent	Blade insert illuminator	INVUITY, INC.
	2012-03-13	US8132949B2	2010-03-30	US12750581	Patent	Film illumination system	INVUITY, INC.
	2012-04-24	US8162824B2	2009-03-27	US12412764	Patent	Optical waveguide sheath	INVUITY, INC.
	2012-10-09	US8285093B2	2009-01-06	US12349358	Patent	Micro-optic adapters and tips for surgical illumination fibers	INVUITY, INC.
	2012-10-23	US8292805B2	2009-11-10	US12616095	Patent	Illuminated suction apparatus	INVUITY, INC.
	2012-11-27	US8317693B2	2008-08-13	US12191164	Patent	Cyclo olefin polymer and copolymer medical devices	INVUITY, INC.
	2013-04-02	US8409088B2	2007-01-17	US11654874	Patent	Retractor illumination system	INVUITY, INC.
	2013-10-29	US8568304B2	2011-12-16	US13328773	Patent	Illuminated suction apparatus	INVUITY, INC.
	2013-11-26	US8594472B2	2012-09-06	US13605891	Patent	Micro-optic adapters and tips for surgical illumination fibers	INVUITY, INC.
	2013-12-03	US8596847B2	2012-02-03	US13366140	Patent	Film illumination system	INVUITY, INC.
	2014-04-01	US8684577B2	2006-05-12	US11432898	Patent	Body cavity illumination system	INVUITY, INC.
	2014-04-29	US8708896B2	2011-02-01	US13019198	Patent	Illuminated cannula	INVUITY, INC.
	2014-08-05	US8795162B2	2012-12-12	US13712029	Patent	Illuminated suction apparatus	INVUITY, INC.
	2014-10-21	US8864662B2	2012-10-25	US13660679	Patent	Cyclo olefin polymer and copolymer medical devices	INVUITY, INC.
	2014-10-28	US8870761B2	2012-12-12	US13712020	Patent	Illuminated suction apparatus	INVUITY, INC.
	2014-11-04	US8876709B2	2012-09-21	US13624622	Patent	Illuminated and modular soft tissue retractor	INVUITY, INC.
DA	2014-12-02	US8899809B2	2013-01-24	US13749485	Patent	Illuminated clip and methods of use	INVUITY, INC.
Live/Dead (TNI)	Publication Date (TM)	Publication Number (Patent)/ Reg Number (TM)	Application Date (TM)	Application number (Patent)/Serial Number (TM)	Type of IP (e.g., patent, TM, O, mask work)	Name / Identifier of IP	Borrower that is Owner of IP

INVUITY, INC. DEVICES	INVUITY, INC. MALLE	INVUITY, INC. THERM		INVUITY, INC. MULTIS	OPERA:	Borrower that is Owner of IP
CYCLO OLEFIN POLYMER AND COPOLYMER MEDICAL DEVICES	MALLEABLE WAVEGUIDE	THERMALLY CONTROLLED ILLUMINATION DEVICES	MICRO-OPTIC ADAPTERS AND TIPS FOR SURGICAL	MULTISPECTRAL LIGHT SOURCE	OPERATING ROOM EQUIPMENT	Name / Identifier of IP
Patent	Patent	Patent	Patent	Patent		Type of IP (e.g., patent, TM, ©, mask work)
US15046337	US15080350	US14939825	US15220180	US15159723		Application number (Patent)/Serial Number (TM)
2016-02-17	2016-03-24	2015-11-12	2016-07-26	2016-05-19		Application Date (TM)
Pending - Final Office Action issued January 3,	Pending - Published under No. US 2016-0287348 on October 6, 2016	Pending - Published under No. US 2016-0313499 on October 27, 2016	Pending - Non-Final Office Action issued November 15, 2016; Published under No. US 2016-0334616 on November 17, 2016	Pending - Published under No. 2016-0338795 on 11-24-2016	Published under No. US 2017-0035404 on 02-09-2017	Publication Number (Patent)/ Reg Number (TM)
						Publication Date (TM)
						Live/Dead (TM)

Patent US14962942		201 Pub und 201 201 201 00 J 201 Pen Pen Pub und 201 On J 201 Pen Pub und 201 On J Pen Pub
Type of IP (e.g., patent TM, C, massk work)  Application number (Patent)/Serial Number (TM)	e of IP Application patent number (Patent)/Serial Ork) Number (TM)	e of IP Application patent number (Patent)/Serial Ork) Number (TM)
	-	-

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP	
MEDICAL DEVICE FEATURING CLADDED WAVEGUIDE	ILLUMINATED AND MODULAR SOFT TISSUE	ILLUMINATED ELECTROSURGICAL SYSTEM AND METHOD OF USE	MODULAR SURGICAL INSTRUMENT	Name / Identifier of IP	
Patent	Patent	Patent	Patent	Type of IP (e.g., patent, TM, O, mask work)	
US14719201	US14872482	US14817966	US14821516	Application number (Patent)/Serial Number (TM)	
2015-05-21	2015-10-01	2015-08-04	2015-08-07	Application Date (TM)	
Pending - Non-Final Office Action issued on January 13, 2017;	Allowed - Issue Fee Paid February 22, 2017; Published under No. US 2016-0022372 on January 28, 2016	Pending - Published under No. US 2016-0045247 on February 18, 2016	Pending - Published under No. US 2016-0058494 on March 3, 2016	(Patent)/ Reg Number (Patent)/ Reg Number (TM) Office Action issued on December 30, 2016; Published under No. US 2016-0074126 on March 17, 2016	T. 111 .:
				Publication Live/Dead Date (TM) (TM) PATENT	

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP
METHOD AND APPARATUS FOR SOFT TISSUE	METHODS FOR LOCALIZING AND TREATING A PARATHYROID ADENOMA	DROP IN SURGICAL ILLUMINATOR	Name / Identifier of IP
Patent	Patent	Patent	Type of IP (e.g., patent, TM, ©, mask work)
US14668545	US14694895	US14607501	Application number (Patent)/Serial Number (TM)
2015-03-25	2015-04-23	2015-01-28	Application Date (TM)
Pending - Final Office Action issued on November 3, 2016; Published under No. US 2015-0196196 on July 16, 2015;	Pending - Non-Final Office Action issued on November 4, 2016; Published under No. US 2015-0305623 on October 29, 2015;	Pending - Final Office Action issued on December 15, 2016; Published under No. US 2016-0008088 on January 14, 2016;	Publication Number (Patent)/ Reg Number (TM) Published under No. US 2016-0015467 on January 21, 2016;
			Publication Live/Dead Date (TM) (TM) PATENT

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP
RETRACTOR ILLUMINATION SYSTEM	THERMALLY CONTROLLED ILLUMINATION DEVICES	BLADE INSERT ILLUMINATOR	ILLUMINATED TELESCOPING CANNULA	Name / Identifier of IP
Patent	Patent	Patent	Patent	Type of IP (e.g., patent, TM, Ø, mask work)
US14541043	US14487645	US14585403	US14635996	Application number (Patent)/Serial Number (TM)
2014-11-13	2014-09-16	2014-12-30	2015-03-02	Application Date (TM)
Pending - Non-final Office Action issued November 30, 2016; Published under No. US 2015-0073225 on March 12,	Pending - Final Office Action issued on December 1, 2016; Published under No. US 2015-0080666 on March 19, 2015;	Pending - Final Office Action issued December 23, 2016; Published under No. US 2015-0119649 on April 30, 2015;	Pending - Published under No. US 2015-0173596 on June 25, 2015	Publication Number (Patent)/ Reg Number (TM)
			DA	Publication Live/Dead Date (TM) (TM)

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP
METHODS AND APPARATUS FOR SIMULTANEOUS RETRACTION AND DISTRACTION OF BONE AND SOFT TISSUE	BODY CAVITY ILLUMINATION SYSTEM	METHODS AND APPARATUS FOR CONTROLLING OPTICAL PROPERTIES OF LIGHT	METHODS AND APPARATUS FOR RETRACTING TISSUE	Name / Identifier of IP
Patent	Patent	Patent	Patent	Type of IP (e.g., patent, TM, Ø, mask work)
US14060848	US14175875	US14035583	US14264406	Application number (Patent)/Serial Number (TM)
2013-10-23	2014-02-07	2013-09-24	2014-04-29	Application Date (TM)
Pending - Published under No. US 2014-0121467 on May 1, 2014;	Pending - Non-Final Office Action issued February 9, 2017; Published under No. US 2014-0153278 on June 5, 2014;	Pending - Non-Final Office Action issued on December 1, 2016; Published under No. US 2014-0221763 on August 7, 2014;	Pending - Published under No. US 2014-0323811 on October 30, 2014	Publication Number (Patent)/ Reg Number (TM)
				Publication Date (TM)
			P	Live/Dead (TW)

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP
ILLUMINATED SUCTION APPARATUS	ACTUATABLE RETRACTOR	ILLUMINATED SUCTION APPARATUS	Name / Identifier of IP
Patent	Patent	Patent	Type of IP (e.g., patent, TM, ©, mask work)
US13619574	US13900032	US14057933	Application number (Patent)/Serial Number (TM)
2012-09-14	2013-05-22	2013-10-18	Application Date (TM)
Pending - Published under No. US 2013-0012784 on January 10, 2013;	Pending - Published under No. US 2013-0317312 on November 28, 2013;	Pending - Non-Final Office Action issued December 1, 2016; Published under No. US 2014-0046141 on February 13, 2014;	Publication Number (Patent)/ Reg Number (TM)
			Publication Live/Dead Date (TM) (TM)

## EXHIBIT C

Trademarks

DescriptionRegistration/Registration/ApplicationApplicationApplicationNumberDate

See attached.

INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	INVUITY, INC.	Borrower that is Owner of IP
SPOTLIGHT SURGICAL	SPOTLIGHT SURGICAL	INVUITY	BRITEFIELD	BRITEPOINT	INVUITY	EIGER	EIGERTECH	EIGERTEK	EIKON	EIGR	EIGR	INTELLIGENT PHOTONICS	PHOTONBLADE	PHOTONSABER	PHOTONGUIDE	EIKON	EIBERG	BREITEN	EIVECTOR	EIKA	EIPEX	PHOTONVUE	HIDDEN SCAR	Name / Identifier of IP
Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Trademark	Type of IP (e.g., patent, TM, O, mask work)
77148005	77148008	77292075	77292086	77928099	77976634	85333802	85333808	85333812	85868655	85333805	85378444	86465024	87017629	87017716	87017744	87021314	87021324	87104163	87104182	87104229	87104246	87296753	87049538	Application number A (Patent)/Serial I Number (TM)
			3603538		3604233							5138026				5100136	5100137	5100493	5100494	5100495	5100496		5121421	Application Number Application (Patent)/ Reg Date (TM) Number (TM)
DEAD	DEAD	DEAD	DEAD	DEAD	LIVE	DEAD	DEAD	DEAD	DEAD	DEAD	DEAD	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	LIVE	ation Live/Dead (TM)

INVUITY, INC.   SPOTLIGHT SURGICAL	INVUITY, INC.	Borrower that is Owner of IP
SPC	SPC	וו
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IT SUR	SPOTLIGHT SURGICAL	
GICAL	GICAL	Name
		Name / Identifier of IP
		lifier of
		7
Trademark	Trademark	Ty Ty
mark	mark	Type of IP (e.g., patent, TM, ©, mask work)
771	771	Application number (Patent)/Seri Number (TN
77147635	77147642	<b>)</b>
		Application Date (TM)
		ation (TM)
		Publi Niii (Pater Niii
		Publication Number (Patent)/ Reg Number (TM)
		Publ Date
		Publication Date (TM)
DEAD	DEAD	
D	D	Live/Dead (TM)

## EXHIBIT D

Mask Works

DescriptionRegistration/Registration/ApplicationApplicationNumberDate

None.

Midcap / Invuity / IP Security Agreement (Revolving) 036639/000044

**RECORDED: 03/21/2017**