### 504327461 04/19/2017

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MICHAEL S. KENT	03/28/2017
MARK D. ALLENDORF	03/23/2017
VITALIE STAVILA	03/23/2017

### **RECEIVING PARTY DATA**

Name:	Sandia Corporation	
Street Address:	P.O. Box 5800, MS-0161	
Internal Address:	Legal Technology Transfer Center	
City:	Albuquerque	
State/Country:	NEW MEXICO	
Postal Code:	87185	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15452487

### **CORRESPONDENCE DATA**

**Fax Number:** (505)844-9955

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (925)294-3143

**Email:** jlnguye@sandia.gov

Correspondent Name: SANDIA NATIONAL LABORATORIES

Address Line 1:7011 EAST AVENUEAddress Line 2:PO BOX 969, MS 9031

Address Line 4: LIVERMORE, CALIFORNIA 94551-9031

ATTORNEY DOCKET NUMBER:	SD-13824.1/S144613	
NAME OF SUBMITTER:	MADELYNNE J. FARBER	
SIGNATURE:	/Madelynne J. Farber/	
DATE SIGNED:	04/19/2017	

## **Total Attachments: 6**

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PATENT 504327461 REEL: 042055 FRAME: 0884

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## <u>ASSIGNMENT</u>

WHEREAS, Michael S. Kent of Albuquerque, NM, Mark D. Allendorf of Pleasanton, CA, and Vitalie Stavila of Pleasanton, CA, has(have) made an invention relating to CONVERSION OF LIGNIN INTO A WATER-SOLUBLE POLYACID USING A MOF CATALYST, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 15/452, 487 for United States patent, filed on March 7, 2017 and identified as DOE Docket No: S-144613 duly executed by said inventor(s) and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) assigned to Sandia Corporation, its successors and assigns, all of Inventor(s) rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, title to the above-identified invention vests in the Government of the United States, as represented by the U.S. Department of Energy, as governed by 42 U.S.C. 5908; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents, to the extent that Inventor(s) right, title, and interest in and to said invention has(have) not already been sold, assigned, or transferred to Sandia Corporation by Inventor(s) in consideration of Inventor(s) employment by Sandia Corporation.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

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Signed at Albuquerque, New Mexico. Mar 28, 2017

Date STATE OF NEW MEXICO ) ss: COUNTY OF BERNALILLO On the above dates, personally appeared before me, Diana Cates Schanning, Notary Public. Michael S. Kent, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same. OFFICIAL SEAL Diana Schanning Approved and consented by: SANDIA CORPORATION Kerry Kampschmidt Sr. Managing IP Counsel Legal Technology Transfer Center STATE OF NEW MEXICO 1 88: COUNTY OF BERNALILLO On the above date, personally appeared before me, Diana Cates Schanning, Notary Public, Kerry Kampschmidt, to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

> PATENT REEL: 042055 FRAME: 0887

OFFICIAL SEAL Diana Schanning

NOTARY PUBLIC

My Commission Expires

Notary Public

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WHEREAS, Michael S. Kent of Albuquerque, NM, Mark D. Allendorf of Pleasanton, CA, and Vitalie Stavila of Pleasanton, CA, has(have) made an invention relating to CONVERSION OF LIGNIN INTO A WATER-SOLUBLE POLYACID USING A MOF CATALYST, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 15/452, 487 for United States patent, filed on March 7, 2017 and identified as DOE Docket No: S-144613 duly executed by said inventor(s) and

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Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

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Signed at Livermore, California. A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. STATE OF CALIFORNIA ) ss: COUNTY OF ALAMEDA Subscribed and sworn to (or affirmed) before me on this 232d day of March, 2017, by Mark D. Allendorf, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me. My commission expires: 7/17/2020 Approved and consented by: SANDIA CORPORATION

Madelynne J. Farber

Senior Patent & Licensing Attorney Legal Technology Transfer Center

Date: 4 APRIL 2017

### **ASSIGNMENT**

WHEREAS, Michael S. Kent of Albuquerque, NM, Mark D. Allendorf of Pleasanton, CA, and Vitalie Stavila of Pleasanton, CA, has(have) made an invention relating to CONVERSION OF LIGNIN INTO A WATER-SOLUBLE POLYACID USING A MOF CATALYST, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in Application Serial No. 15/452, 487 for United States patent, filed on March 7, 2017 and identified as DOE Docket No: S-144613 duly executed by said inventor(s) and

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Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

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Signed at Livermore, California.	
Vitalie Stavila	03/23/2017 Date
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.	
STATE OF CALIFORNIA ) ) ss: COUNTY OF ALAMEDA )	
Subscribed and sworn to (or affirmed) before me on the Vitalie Stavila, proved to me on the basis of satisfact before me.	is 23 <sup>2d</sup> day of March, 20 <u>17</u> , by tory evidence to be the person(s) who appeared
Notary Public signature  My commission expires: 7   17   2020	JASMIN NGUYEN Notary Public - California Alameda County Commission # 2160124 My Comm. Expires Jul 17, 2020
Approved and consented by:	
Date: 16 APRIL 2017	SANDIA CORPORATION  Madelynne J. Farber Senior Patent & Licensing Attorney Legal Technology Transfer Center

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