## 504327817 04/19/2017

# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name        | Execution Date |
|-------------|----------------|
| ARUNA ZHAMU | 04/19/2017     |

## **RECEIVING PARTY DATA**

| Name:           | NANOTEK INSTRUMENTS, INC. |
|-----------------|---------------------------|
| Street Address: | 1240 MCCOOK AVE           |
| City:           | DAYTON                    |
| State/Country:  | ОНЮ                       |
| Postal Code:    | 45404                     |

## **PROPERTY NUMBERS Total: 21**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15440151 |
| Application Number: | 15442278 |
| Application Number: | 15442803 |
| Application Number: | 15442807 |
| Application Number: | 15449976 |
| Application Number: | 15450447 |
| Application Number: | 15454053 |
| Application Number: | 15463531 |
| Application Number: | 15463543 |
| Application Number: | 15463555 |
| Application Number: | 15466286 |
| Application Number: | 15468080 |
| Application Number: | 15470408 |
| Application Number: | 15475451 |
| Application Number: | 15475454 |
| Application Number: | 15483342 |
| Application Number: | 15483347 |
| Application Number: | 15483348 |
| Application Number: | 15484546 |
| Application Number: | 15485934 |
|                     |          |

PATENT REEL: 042060 FRAME: 0165

504327817

| Property Type       | Number   |  |
|---------------------|----------|--|
| Application Number: | 15478125 |  |

#### **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: CLAIRE RUTISER
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Address Line 2: NANOTEK INSTRUMENTS
Address Line 4: DAYTON, OHIO 45404

| NAME OF SUBMITTER:                            | CLAIRE A. RUTISER  |
|---|--|
| SIGNATURE: /Claire A. Rutiser Reg. No. 75734/ |  |
| DATE SIGNED:                                  | 04/19/2017   |
|   | This document serves as an Oath/Declaration (37 CFR 1.63). |

#### **Total Attachments: 5**

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**ASSIGNMENT** 

This Assignment Agreement is made and entered by and between Dr. Aruna Zhamu a

citizen of <u>USA</u>, residing at <u>2749 Pekin Rd</u>, <u>Springboro</u>, <u>OH 45066</u> (the "Assignor") and Nanotek

Instruments, Inc., an Ohio corporation whose address is 1240 McCook Avenue, Dayton, OH

45404 (the "Assignee").

WHEREAS, Assignor is an inventor or co-inventor of certain new and useful inventions

related to new materials, nano-scaled graphene plates, processes, energy technologies, and/or other

technologies as more fully described herein (the "Inventions") and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to the

Inventions.

NOW, THEREFORE, the parties agree as follows:

1. The term "Inventions" shall mean (1) the patents and patent applications listed in

Exhibit "A" attached hereto, any application claiming priority therefrom, any non-provisionals,

continuations, or divisions thereof, the inventions disclosed therein, any improvements thereon, and

any patent or patents that may be issued or reissued thereon; (2) all know- how, trade secrets,

discoveries, concepts, ideas, and technologies related to the same; (3) any and all copyrights,

copyright registrations and copyrightable subject matter related to the same; and (4) any

trademarks related to such patents and patent applications.

2. In consideration of the sum of one dollar (\$1.00) and other good and valuable

consideration, the receipt of which is acknowledged, the Assignor hereby assigns, transfers and

conveys to Assignee all of Assignor's right, title and interest in and to (a) the Inventions, (b) any

U.S. or foreign Patent which may issue from the Inventions, and (c) all divisions, continuations,

reissues, re-examinations and extensions of the patents and applications listed on Exhibit A.

3. Assignor authorizes and requests the Commissioner of Patents and Trademarks to issue

any patent which may issue from the Inventions to said Assignee, it successor and assigns; and authorizes

said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries

in connection with the Inventions hereby transferred, and to secure in its own name the patent or patents

issued thereon.

4. Assignor further covenants and agrees that, upon request, Assignor will promptly

provide Assignee with all pertinent facts and documents relating to said Inventions, as may be known

and accessible to Assignor, and Assignee will testify as to the same in any interference, litigation or

proceeding related thereto and will do all acts including promptly execute and deliver to said Assignee

Dr. Aruna Zhamu 04/12/2017 page 1 of 5

or its legal representatives any and all papers, instruments or affidavits that Assignee, is successors or assigns may consider necessary or desirable to apply for, obtain, maintain, issue, transfer and enforce said Inventions or any United States or foreign patent or application filed in connection with said Inventions.

In Witness Whereof, the undersigned has executed this document as of the 19th day of April, 2017.

#### **INVENTOR**

| Alas  |                                 |                  |
|---|---------------------------------|------------------|
|   | _(Signature)                    |                  |
| Dr. Aruna Zhamu                             | _(Print Name)                   |                  |
|   |                                 |                  |
| State of Ohio )                             |                                 |                  |
| County of Montgomery                        |                                 |                  |
| Before me personally appeared said          | Dr. Aruna Zhamu                 | and acknowledged |
| the foregoing instrument to be his free act | and deed, this 19th day of Apri | 1,2017           |

Mn for R Smallut bot Notary Public

Jennifer R. Smallwood NOTARY PUBLIC STATE OF OHIO My commission expires June 29, 2019

Dr. Aruna Zhamu 04/12/2017 page 2 of 5

**Exhibit A - Assigned Patents and Patent Applications** 

| Inventors   | Patent  | Patent<br>Number | Application<br>Number | Filing Date |
|---|---|------------------|-----------------------|-------------|
| Aruna Zhamu and Bor Z. Jang                             | Flexible and Shape-Conformal Rope-Shape<br>Supercapacitors  |                  | 15/398416             | 1/4/2017    |
| Aruna Zhamu and Bor<br>Z. Jang                          | Process for Flexible and Shape-Conformal Rope-<br>Shape Supercapacitors   |                  | 15/398421             | 1/4/2017    |
| Aruna Zhamu, Yanbo<br>Wang, Lucy Fu, and<br>Bor Z. Jang | Process for producing highly oriented graphene films  |                  | 15/408045             | 1/17/2017   |
| Aruna Zhamu and Bor Z. Jang                             | Graphene-Enabled Metal Fluoride and Metal<br>Chloride Cathode Active Materials for Lithium<br>Batteries             |                  | 15/412852             | 1/23/2017   |
| Aruna Zhamu and Bor Z. Jang                             | Graphene Foam-Protected Metal Fluoride and Metal<br>Chloride Cathode Active Materials for Lithium<br>Batteries      |                  | 15/416850             | 1/26/2017   |
| Aruna Zhamu and Bor Z. Jang                             | Exfoliated Graphite Worm-Protected Metal Fluoride and Metal Chloride Cathode Active Materials for Lithium Batteries |                  | 15/419454             | 1/30/2017   |
| Qing Fang, Aruna<br>Zhamu and Bor Z.<br>Jang            | Process For Silicon Nanowire-graphene Hybrid Mat  |                  | 15/427863             | 2/8/2017    |
| Aruna Zhamu and Bor<br>Z. Jang                          | Alkali Metal-Sulfur Secondary Battery Containing a Nano Sulfur-Loaded Cathode and Manufacturing Method              |                  | 15/431231             | 2/13/2017   |
| Aruna Zhamu and Bor Z. Jang                             | Aluminum Secondary Battery Having a High-<br>Capacity and High Energy Cathode and<br>Manufacturing Method           |                  | 15/431250             | 2/13/2017   |
| Aruna Zhamu and Bor<br>Z. Jang                          | Method of Manufacturing a Lithium Secondary Battery Having a Protected High-Capacity Anode Active Material          |                  | 15/434632             | 2/16/2017   |

Dr. Aruna Zhamu 04/12/2017 page 3 of 5

| Aruna Zhamu and Bor Z. Jang  | Aluminum Secondary Battery Having an Exfoliated Graphite-Based High-Capacity Cathode and Manufacturing Method    | 15/434913 | 2/16/2017 |
|--|--|-----------|-----------|
| Baofei Pan, Hui He,<br>Aruna Zhamu and Bor<br>Z. Jang                  | Lithium Secondary Batteries Containing Protected Particles of Anode Active Materials and Method of Manufacturing | 15/436964 | 2/20/2017 |
| Baofei Pan, Hui He,<br>Aruna Zhamu, Bor Z.<br>Jang                     | Alkali Metal-Sulfur Secondary Battery Containing a Protected Sulfur Cathode and Manufacturing Method             | 15/440151 | 2/23/2017 |
| Baofei Pan, Hui He,<br>Aruna Zhamu, Bor Z.<br>Jang                     | Polymer Binder for Lithium Battery and Method of Manufacturing   | 15/442278 | 2/24/2017 |
| Baofei Pan, Hui He,<br>Aruna Zhamu, Bor Z.<br>Jang                     | Lithium Battery Cathode and Method of Manufacturing  | 15/442803 | 2/27/2017 |
| Baofei Pan, Hui He,<br>Aruna Zhamu, Bor Z.<br>Jang                     | Cathode Active Material Layer for Lithium Secondary Battery and Method of Manufacturing                          | 15/442807 | 2/27/2017 |
| Yu-Sheng Su, Aruna<br>Zhamu, Hui He,<br>Baofei Pan, and Bor Z.<br>Jang | Aluminum Secondary Battery Having a High-Capacity and High-Rate Capable Cathode and Manufacturing Method         | 15/449976 | 3/5/2017  |
| Yu-Sheng Su, Aruna<br>Zhamu, Hui He,<br>Baofei Pan, and Bor Z.<br>Jang | Aluminum Secondary Battery Cathode Having<br>Oriented Graphene   | 15/450447 | 3/6/2017  |
| Yu-Sheng Su, Aruna<br>Zhamu, Hui He,<br>Baofei Pan, and Bor Z.<br>Jang | Graphitic Carbon-Based Cathode for Aluminum<br>Secondary Battery and Manufacturing Method                        | 15/454053 | 3/9/2017  |
| Aruna Zhamu and Bor<br>Z. Jang   | Flexible Asymmetric Electrochemical Cells Using Nano Graphene Platelet as an Electrode Material                  | 15/463531 | 3/20/2017 |
| Aruna Zhamu and Bor<br>Z. Jang   | Multivalent Metal Ion Battery and Manufacturing Method   | 15/463543 | 3/20/2017 |
| Aruna Zhamu and Bor<br>Z. Jang   | Multivalent Metal Ion Battery Having a Cathode of Recompressed Graphite Worms and Manufacturing Method           | 15/463555 | 3/20/2017 |

Dr. Aruna Zhamu 04/12/2017 page 4 of 5

| Aruna Zhamu and Bor<br>Z. Jang   | Multivalent Metal Ion Battery Having a Cathode<br>Layer of Protected Graphitic Carbon and<br>Manufacturing Method              | 15/466286 | 3/22/2017 |
|--|--|-----------|-----------|
| Baofei Pan, Hui He,<br>Yu-Sheng Su, Aruna<br>Zhamu, and Bor Z.<br>Jang | Non-flammable Quasi-Solid Electrolyte and Lithium Secondary Batteries Containing Same  | 15/468080 | 3/23/2017 |
| Baofei Pan, Hui He,<br>Yu-Sheng Su, Aruna<br>Zhamu, and Bor Z.<br>Jang | Lithium Secondary Battery Containing Non-<br>flammable Electrolyte and Manufacturing Method                                    | 15/470408 | 3/27/2017 |
| Yi-jun Lin, Aruna<br>Zhamu, and Bor Z.<br>Jang                         | Direct Microwave Production of Graphene  | 15/475451 | 3/31/2017 |
| Aruna Zhamu, and<br>Bor Z. Jang  | Process for Fabric of Continuous Graphitic Fiber<br>Yarns  | 15/475454 | 3/31/2017 |
| Aruna Zhamu, and<br>Bor Z. Jang  | Encapsulated Anode Active Material Particles, Lithium Secondary Batteries Containing Same, and Method of Manufacturing         | 15/478125 | 4/3/2017  |
| Aruna Zhamu, and<br>Bor Z. Jang  | Encapsulated Cathode Active Material Particles,<br>Lithium Secondary Batteries Containing Same, and<br>Method of Manufacturing | 15/483342 | 4/10/2017 |
| Aruna Zhamu, and<br>Bor Z. Jang  | Alkali Metal-Sulfur Secondary Battery Containing a<br>Polymer-Encapsulated Sulfur Cathode and<br>Manufacturing Method          | 15/483347 | 4/10/2017 |
| Aruna Zhamu, and<br>Bor Z. Jang  | Lithium Metal Secondary Battery Containing an<br>Anode-Protecting Polymer Layer and Manufacturing<br>Method                    | 15/483348 | 4/10/2017 |
| Aruna Zhamu, and<br>Bor Z. Jang  | Eco-friendly Production of Graphene  | 15/484546 | 4/11/2017 |
| Baofei Pan, Hui He,<br>Aruna Zhamu, and<br>Bor Z. Jang                 | Lithium Anode-Protecting Polymer Layer for a<br>Lithium Metal Secondary Battery and Manufacturing<br>Method                    | 15/485934 | 4/12/2017 |

Dr. Aruna Zhamu 04/12/2017 page 5 of 5

PATENT REEL: 042060 FRAME: 0171

**RECORDED: 04/19/2017**