

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4376888

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALEXANDER V. MAYWEG	08/02/2013
HANS P. MAERKI	08/02/2013
KURT AMREIN	08/02/2013
JOHANNES AEBI	08/02/2013
BENOIT HORNSPERGER	08/02/2013
BERND KUHN	08/02/2013
RAINER E. MARTIN	08/02/2013
RECEIVING PARTY DATA	
Name:	F. Hoffmann-La Roche AG
Street Address:	Grenzacherstrasse 124
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Postal Code:	4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14947768
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NAME OF SUBMITTER:	BRIAN L. BUCKWALTER
SIGNATURE:	/Brian L. Buckwalter/
DATE SIGNED:	04/17/2017

Total Attachments: 3

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ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Employed by:

Alexander V. Mayweg, chemist, a German citizen
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F. Hoffmann-La Roche AG

Rainer E. Martin, chemist, an Austrian citizen
Vogesenstrasse 47, CH-4056 Basel, Switzerland

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that we are the joint inventor(s) together with

Inventor(s)

Employed by:

Xuefei Tan
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Mingwei Zhou
Wenming Chen

Roche R&D Center (China) Ltd.
Roche R&D Center (China) Ltd.
Roche R&D Center (China) Ltd.
Roche R&D Center (China) Ltd.
Shanghai Foreign Service Co., Ltd.

hereinafter CO-INVENTOR(S)

of the invention entitled:

New 3,4-dihydro-2H-isoquinoline-1-one and 2,3-dihydro-isoindol-1-one compounds

for which (a) priority application(s) was (were) filed on behalf of

Applicant

F. Hoffmann-La Roche AG

with the

Patent Office

State Intellectual Property Office of the
People's Republic of China

as outlined below:

Case No.
31603

Country
PCT

Filing Date
May 27, 2013

Serial No.
PCT/CN2013/076285

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

The assignment of the rights of the CO-INVENTOR(S) to the Applicant is subject to separate assignment agreement(s). ASSIGNOR(S) explicitly approve(s) that assignment(s).

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated, April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel, Switzerland, August 2, 2013
Place, Date

Alexander V. Mayweg
Alexander V. Mayweg

Basel, Switzerland, August 2, 2013
Place, Date

Hans P. Maerki
Hans P. Maerki

Basel, Switzerland, August 2, 2013
Place, Date

Kurt Amrein
Kurt Amrein

Basel, Switzerland, August 2, 2013
Place, Date

Johannes Aebi
Johannes Aebi

Basel, Switzerland, August 2, 2013
Place, Date

Benoit Hornsperger
Benoit Hornsperger

Basel, Switzerland, August 2, 2013
Place, Date

Bernd Kuhn
Bernd Kuhn

Basel, Switzerland, August 2, 2013
Place, Date

Rainer E. Martin
Rainer E. Martin

ASSIGNEE

F. Hoffmann-La Roche AG

Basel, Switzerland, August 19, 2013
Place, Date

Antonio Natoli Denise Streb
Holder of procuration Mandatory
TO BE NOTARIZED

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signatures of

Mister **Alexander V. Mayweg**, German citizen, residing in Basel (Switzerland),

Mister **Hans P. Maerki**, citizen of Schlieren ZH, residing in Basel (Switzerland),

Mister **Kurt Amrein**, citizen of Luzern, residing in Itingen (Switzerland),

Mister **Johannes Aebi**, citizen of Murten FR (Switzerland), residing in Binningen (Switzerland),

Mister **Benoit Hornsperger**, French citizen, residing in Altkirch (France),

Mister **Bernd Kuhn**, citizen of Merenschwand AG (Switzerland), residing in Reinach/BL (Switzerland), and

Mister **Rainer E. Martin**, Austrian citizen, residing in Basel (Switzerland).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signatures.

He also legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister **Antonio Natoli**, citizen of and residing in Basel (Switzerland), and

Miss **Denise Streb**, citizen of Basel (Switzerland), residing in Gretzenbach (Switzerland),

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 28th (twenty-eighth) day of August 2013 (two thousand and thirteen).

BV 748/20..