U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 07/05) United States Patent and Trademark Office OMB No. 0651-0027 (exp. 6/30/2008) RECORDATION FORM COVER SHEET S-141,796 CAR **PATENTS ONLY** To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(les) 1. Name of conveying party(les) Name: United States Department of Energy Brookhaven Science Associates, LLC Internal Address: \_\_ Additional name(s) of conveying party(les) attached? \_\_\_Yes 🗹 No Street Address: 1000 Independence Ave., S.W 3. Nature of conveyance/Execution Date(s): Execution Date(s) January 10, 2017 Assignment Merger City: Washington Change of Name Security Agreement Joint Research Agreement State: D.C. Government Interest Assignment Country: USA Zip: 20585 Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Tes Ves Vo Other This document is being filed together with a new application. 4. Application or patent number(s): B. Patent No.(s) A. Patent Application No.(s) Serial No. 15/269,202 Additional numbers attached? Yes ✓ No 6. Total number of applications and patents 5. Name and address to whom correspondence concerning document should be mailed: Involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$\_\_\_ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government Interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_\_ \_\_\_\_Zlp:60439 State: |L Expiration Date Phone Number: 630-252-2164 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name \_\_\_\_ Email Address: Confirmatory License@actence.doe.gov 9. Signature: Date Signature

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Timothy Harney #38,174

Name of Person Signing

**PATENT** 

Total number of pages including cover

sheet, attachments, and documents:

REEL: 042115 FRAME: 0275

DOE Docket No.: S-141,796

## CONFIRMATORY LICENSE

Application for:

Lithographic Patterning

Inventor(s):

Black et al.

Serial No.:

15/269,202

Filing Date (U.S.):

September 19, 2016

Contract No.:

DE-SC0012704

Contractor:

Brookhaven Science Associates, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract. A copy of the provisions of the above-numbered contract (executed on January 5, 2015) governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for on behalf of the United States the subject invention throughout the world. This license applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this document does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 10th day of January 2017.

BROOKHAVEN SCIENCE ASSOCIATES, LLC/

BROOKHAVEN NATIONAL LABORATORY

Ву\_\_\_\_

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