## 504337294 04/25/2017

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4383982

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

#### **CONVEYING PARTY DATA**

Name	Execution Date
JDS UNIPHASE CORPORATION	07/31/2015

#### **RECEIVING PARTY DATA**

Name:	LUMENTUM OPERATIONS LLC	
Street Address:	400 NORTH MCCARTHY BLVD.	
City:	MILPITAS	
State/Country:	CALIFORNIA	
Postal Code:	95035	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15495265

#### **CORRESPONDENCE DATA**

#### Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: hhodul@harrityllp.com

Correspondent Name: HARRITY & HARRITY, LLP
Address Line 1: 13550 RANDOM HILLS ROAD

Address Line 2: SUITE 600

Address Line 4: FAIRFAX, VIRGINIA 22030

ATTORNEY DOCKET NUMBER:	0100-0055C1
NAME OF SUBMITTER:	PAUL M. GURZO
SIGNATURE: /PAUL M. GURZO, Reg. No. 67,478/	
DATE SIGNED:	04/25/2017

### **Total Attachments: 3**

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PATENT 504337294 REEL: 042140 FRAME: 0554

#### JDSU PATENT ASSIGNMENT AGREEMENT A

This JDSU Patent Assignment Agreement A (the "JDSU Patent Assignment Agreement") is effective as of July 31, 2015 ("Effective Date"), between JDS Uniphase Corporation ("Assignor"), a corporation incorporated under the laws of Delaware, with an office at 430 North McCarthy Blvd., Milpitas, California, 95035, and Lumentum Operations LLC ("Assignee"), a limited liability company organized under the laws of Delaware, with an office at 400 North McCarthy Blvd., Milpitas, California, 95035.

WHEREAS, pursuant to the Intellectual Property Matters Agreement dated as of July 31, 2015 between Assignor and Assignee (the "Intellectual Property Matters Agreement"), Assignor agreed to assign or cause to be assigned to Assignee all of Assignor's right, title and interest in and to the Transferred Patents.

Now, Therefore, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged, it is hereby agreed by and between the Parties as follows:

Capitalized terms used in this JDSU Patent Assignment Agreement which are not otherwise defined herein shall have the meanings set forth in the Intellectual Property Matters Agreement.

- 1. Assignor hereby grants, conveys and assigns to Assignee, by execution hereof, the Patents listed on SCHEDULE A hereto (the "Assigned Patents").
- 2. Assignor further grants, conveys and assigns to Assignee all its right, title and interest in and to any and all proceeds, causes of action and rights of recovery for past and future infringement or misappropriation of any of the Assigned Patents.
- 3. Assignor further grants, conveys and assigns to Assignee all its right, title and interest in and to any and all rights of Assignor to obtain reissues, re-examinations, continuations, continuations-in-part, divisions, extensions or other legal protections arising solely from the Assigned Patents that are or may be secured in any relevant jurisdiction anywhere in the world, including but not limited to the United States, its territories and possessions, now or hereinafter in effect.
- 4. The Assigned Patents are conveyed subject to any and all licenses, permissions, consents or other rights that may have been granted by Assignor or its predecessors-in-interest with respect thereto prior to the Effective Date.
- 5. This JDSU Patent Assignment Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the undersigned has caused this JDSU Patent Assignment Agreement to be duly executed and delivered as of the Effective Date.

JDS Uniphase Corporation

By: Steibert Sphank,
Title: General Counsel and Secretary

LUMENTUM OPERATIONS LLC

Name: Alan Lowe

Title: Chief Executive Officer

[SIGNATURE PAGE TO JDSU PATENT ASSIGNMENT AGREEMENT A]

**PATENT REEL: 042140 FRAME: 0556** 

# ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California County of Santa Clara

On July 27, 2015 before me, Candy A. Cervantez, notary public, personally appeared Kevin Siebert and Alan Lowe, who proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature 170 1100 Colya 77 (Seal)



PATENT REEL: 042140 FRAME: 0557

**RECORDED: 04/25/2017**