504342245 04/27/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4388933

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JIE LIU	03/03/2017

RECEIVING PARTY DATA

Name:	LIVZON MABPHARM INC.
Street Address:	NO. 38 CHUANGYE NORTH ROAD
City:	JINWAN ZHUHAI, GUANGDONG
State/Country:	CHINA
Postal Code:	519090

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15328462

CORRESPONDENCE DATA

Fax Number: (626)577-8800

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

 Phone:
 626-795-9900

 Email:
 pto@lrrc.com

Correspondent Name: LEWIS ROCA ROTHGERBER CHRISTIE LLP

Address Line 1: P.O. BOX 29001

Address Line 4: GLENDALE, CALIFORNIA 91209-9001

ATTORNEY DOCKET NUMBER:	134072 PCH/CLM	
NAME OF SUBMITTER:	CHRISTINA L. MALINOSKY	
SIGNATURE:	/Christina L. Malinosky/	
DATE SIGNED: 04/26/2017		
	This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 2

source=134072_DecAssign#page1.tif source=134072_DecAssign#page2.tif

PATENT 504342245 REEL: 042169 FRAME: 0934

INVENTOR'S DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

PATENT

Title of Invention:

ANTI-PD-1 ANTIBODY AND USE THEREOF

Docket No.:

- 37

3

-32

134072

Application No.

INVENTOR'S DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application unless the following is checked:

X United States Application or PCT International Application Number PCT/CN2015/088384 filed on August 28, 2015.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

(1) Jie Liu

HEREBY SELL(S), ASSIGN(S) AND TRANSFER(S) TO

(2) LIVZON MABPHARM INC.

having a place of business at

(3) No. 38 Chuangye North Road, Jinwan Zhuhai, Guangdong 519090 China

(hereinafter called "ASSIGNEE") the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

(4) ANTI-PD-1 ANTIBODY AND USE THEREOF

which application was executed on even date herewith or was

1 of 2

INVENTOR'S DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

Docket No.: 134072 Application No.:	
(a) executed on (5a): ; (b) filed on (5b): Jan. 23, 2017 , Application No.: 15/328,462 ;	(LEWIS ROCA ROTHGERBER CHRISTIE LLP, P.O. Box 29001, Glendale, CA 91209-9001) is hereby authorized to insert in (b) the specified data, when known.
substitutes, renewals or continuations of said	nd any and all extensions, divisions, reissues, application and patents, and the right to all for the protection of industrial property and
It is hereby authorized and requested that the C Letters Patent, when granted, to said ASSIGNE designee.	commissioner of Patents issue any and all of said EE, its assigns or its successors in interest or its
expense of said ASSIGNEE, the undersigned renewal, and reissue patent applications; exe	at, when requested, without charge to but at the will execute all divisional, continuing, substitute, cute all rightful other papers; and generally do all consider desirable for aiding in securing and n.
Jie Liu	Date
Legal Name of Inventor	Date '
Signature	
Signaturė	
WITNESSES:	
DARA/ DAS1458715 1.*.01/17/17 5:00 PM	

2 of 2

PATENT REEL: 042169 FRAME: 0936

RECORDED: 04/27/2017