

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT4393898

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
GURDYAL S. BESRA	01/10/2012
LIAM COX	02/05/2012
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE UNIVERSITY OF BIRMINGHAM
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<b>City:</b>	BIRMINGHAM
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	B15 2TT
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15153425
<b>CORRESPONDENCE DATA</b>	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	31265/6095B
<b>NAME OF SUBMITTER:</b>	DAVID A. GASS
<b>SIGNATURE:</b>	/David A. Gass #38,153/
<b>DATE SIGNED:</b>	05/02/2017
<b>Total Attachments: 4</b>	
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### CONFIRMATORY ASSIGNMENT

This Confirmatory Assignment is made this 10<sup>th</sup> day of Jan 2012, between:

GURDYAL S. BESRA of 48 Heaton Road, Solihull, West Midlands, B91 2DZ (hereinafter referred to as the Inventor), and **THE UNIVERSITY OF BIRMINGHAM** of Edgbaston, Birmingham, B15 2TT (hereinafter referred to as the University).

#### WHEREAS

- A) The Inventor is a joint inventor of an invention entitled "INKT cell modulators and methods of using the same" (hereinafter referred to as the Invention), which is now the subject of United States patent application US61/565,287 filed on 30<sup>th</sup> November 2011 (hereinafter referred to as the Application).
- B) The Inventor was employed by the University at the time of making the Invention, and the Inventor hereby confirms that the Invention was made in the course of the normal duties of, or the course of duties specifically assigned to, the Inventor relating to that employment.

NOW THIS ASSIGNMENT Witnesses and it is hereby agreed between the parties as follows that:

1. the Effective Date means 30<sup>th</sup> November 2011;
2. For the avoidance of doubt, in consideration of the sum of One Pound Sterling (£1) now paid to the Inventor by the University (receipt of which is hereby acknowledged by the Inventor) the Inventor hereby assigns to the University as of the Effective Date any rights or ownership which he may have in the Application and the Invention referred to above, including all rights arising therefrom, including the right to file further applications in any country in respect of the Invention, and including the right to bring proceedings for any previous infringement of the Application;
3. the University is the owner of any and all design documents in respect of which the Inventor is the author in connection with the Invention;
4. the Inventor will, at the expense of the University (or the nominee of the University), give any consent or permission and execute any documents and do all such acts as may be necessary or desirable to enable the University to enjoy the full benefit of the Invention and the Application, including (if required to do so by the University) making any application for patent, registered design or other form of intellectual or industrial property protection in the United Kingdom or elsewhere throughout the world in respect of the Invention (a "New Application") as the University may in its sole discretion think fit whether in the name of the University, either solely or jointly with another or others, or in the name of any other person or persons including the Inventor, whom the University may in its sole discretion think fit;
5. in the case where a New Application is made in name of the Inventor, either solely or jointly with any person or persons, the Inventor will at the request and expense of the University (or the nominee of the University), execute and do all such documents and acts as may be necessary or desirable to vest the New Application that may have been granted pursuant thereto in the University absolutely or in another or other whom the University in its sole discretion may think fit; and
6. the Inventor will not at any time disclose, without the consent of the University, any confidential information whatsoever concerning the Invention to any person who is not authorised by the University to receive the same.


Signed.....

GURDYAL S. BESRA

Date.....

10/1/2012

In the presence of:

Witness.......... Date.....10/01/12.....

Witness name.....ALBEL SINGH.....

Signed for and on behalf of The University of Birmingham

Signed.......... Date.....12/1/12.....

Position.....**Dr J Wilkie**  
**Director of Research**  
**& Commercial Services**  
**Finance Office**  
**University Of Birmingham**

## CONFIRMATORY ASSIGNMENT

This Confirmatory Assignment is made this 5<sup>TH</sup> day of FEBRUARY 2012, between:

LIAM COX of 23 Cartway, Bridgnorth, Shropshire, WV16 4BG (hereinafter referred to as the Inventor), and THE UNIVERSITY OF BIRMINGHAM of Edgbaston, Birmingham, B15 2TT (hereinafter referred to as the University).

### WHEREAS

- A) The Inventor is an inventor of an invention entitled "INKT cell modulators and methods of using the same" (hereinafter referred to as the Invention), which is now the subject of British patent application US61/565,287 filed on 30<sup>th</sup> November 2011 (hereinafter referred to as the Application).
- B) The Inventor was employed by the University at the time of making the Invention, and the Inventor hereby confirms that the Invention was made in the course of the normal duties of, or the course of duties specifically assigned to, the Inventor relating to that employment.

NOW THIS ASSIGNMENT Witnesses and it is hereby agreed between the parties as follows that:

1. the Effective Date means 30<sup>th</sup> November 2011;
2. For the avoidance of doubt, in consideration of the sum of One Pound Sterling (£1) now paid to the Inventor by the University (receipt of which is hereby acknowledged by the Inventor) the Inventor hereby assigns to the University as of the Effective Date any rights or ownership which he may have in the Application and the Invention referred to above, including all rights arising therefrom, including the right to file further applications in any country in respect of the Invention, and including the right to bring proceedings for any previous infringement of the Application;
3. the University is the owner of any and all design documents in respect of which the Inventor is the author in connection with the Invention;
4. the Inventor will, at the expense of the University (or the nominee of the University), give any consent or permission and execute any documents and do all such acts as may be necessary or desirable to enable the University to enjoy the full benefit of the Invention and the Application, including (if required to do so by the University) making any application for patent, registered design or other form of intellectual or industrial property protection in the United Kingdom or elsewhere throughout the world in respect of the Invention (a "New Application") as the University may in its sole discretion think fit whether in the name of the University, either solely or jointly with another or others, or in the name of any other person or persons including the Inventor, whom the University may in its sole discretion think fit;
5. in the case where a New Application is made in name of the Inventor, either solely or jointly with any person or persons, the Inventor will at the request and expense of the University (or the nominee of the University), execute and do all such documents and acts as may be necessary or desirable to vest the New Application that may have been granted pursuant thereto in the University absolutely or in another or other whom the University in its sole discretion may think fit; and
6. the Inventor will not at any time disclose, without the consent of the University, any confidential information whatsoever concerning the Invention to any person who is not authorised by the University to receive the same.

Signed..... LCox ..... Date..... 25/1/2012 .....  
LIAM COX

In the presence of:

Witness.....*Melanie Sutton*..... Date.....*25/1/12*.....

Witness name.....*Dr M BRITTON*.....

Signed for and on behalf of The University of Birmingham

Signed.....*S. Wilde*..... Date.....*5/2/12*.....

Position.....**Dr J Wilde**  
**Director of Research**  
**& Commercial Services**  
**Finance Office**  
**University Of Birmingham**