## 504352709 05/04/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

Version v1.1 EPAS ID: PAT4399398

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CALVIN B. HARLEY	03/05/2009
LAURENCE ELIAS	03/06/2008
JENNIFER SMITH	03/12/2009
MARK J. RATAIN	02/25/2009
FABIO BENEDETTI	03/04/2009

#### **RECEIVING PARTY DATA**

Name:	GERON CORPORATION	
Street Address:	149 COMMONWEALTH DRIVE	
City:	MENLO PARK	
State/Country:	CALIFORNIA	
Postal Code:	94025	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15445428

### **CORRESPONDENCE DATA**

**Fax Number:** (650)327-3231

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 650-327-3400 **Email:** perez@bozpat.com

Correspondent Name: BOZICEVIC, FIELD & FRANCIS LLP Address Line 1: 201 REDWOOD SHORES PARKWAY

Address Line 2: SUITE 200

Address Line 4: REDWOOD CITY, CALIFORNIA 94065

ATTORNEY DOCKET NUMBER:	GERN-175USCON
NAME OF SUBMITTER:	GLENN J. FOULDS
SIGNATURE:	/GLENN J. FOULDS, REG. NO. 64,714/
DATE SIGNED:	05/04/2017

Total Attachments: 4

PATENT REEL: 042246 FRAME: 0773

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> PATENT REEL: 042246 FRAME: 0774

### Assignment

THIS ASSIGNMENT, by <u>Calvin B. Harley. Laurence Elias, Jennifer Smith, Mark J.</u>

<u>Ratain, and Fabio Benedetti</u> (hereinafter referred to as the Assignors), witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions set forth in a provisional application for Letters Patent of the United States entitled <u>Method for Identification of Sensitivity of a Patient to Telomerase Inhibition Therapy</u> having provisional Application No. <u>61/106,491</u> with a filing date of <u>October 17, 2008</u>;

WHEREAS, <u>GERON CORPORATION</u>, a corporation duly organized under and pursuant to the laws of <u>Delaware</u>, and having its principal place of business at <u>230 Constitution</u> <u>Drive, Menlo Park, California 94025</u> (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said patent application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, his entire right, title and interest in and to the above-mentioned inventions, patent application, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the lawful owners of the entire right, title and interest in and to the said inventions and the patent application above-mentioned,

Page 1 of 4

and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, advise that any proceeding in connection with said inventions, or said application, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and benefit of the said assignee, it successors, legal representatives and assigns.

Date: <u>2009</u>, 14AR - 05

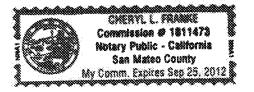
Calvin B. Harley

STATE OF CALIFORNIA COUNTY OF San Mateo

On 3/5/09, before me, Cheryl L. Franke, personally appeared Calvin B. Harley, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Page 2 of 4

Date: 3/6/04 Attorney Docket No. 175/001X
Laufrence Elias
STATE OF CALIFORNIA COUNTY OF San Mateo On 3/6/09, before me, Cheryl L. Franke, personally appeared Laurence Elias, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WINESS my hand and official seal.
CHENYL L. PRAINSE Commission # 1811473 Notary Public - California Salt Mateu County My Comm. Expires Sep 25, 2012
*****************
Date: 3/12/09 Jennifer Smith
STATE OF CALIFORNIA COUNTY OF San Mateo
On 3/12/08, before me, Cheryl L. Franke, personally appeared Jennifer Smith, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf or which the person acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
CHERYLL FRANKE Commission # 1811473 Notary Public - Celifornia Sen Mateo County Ny Comm. Expires Sep 25, 2018

Attorney Docket No. 175/001X

Date: 2/25/09

Mark J. Ratain

NOTARY BLOCK

Official Seal Carla L Bradshaw Notary Public State of Illinois My Commission Expires 01/11/2012

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Date: 04/10/09

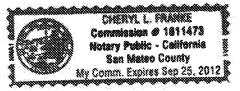
Fabio Benedetti

STATE OF CALIFORNIA COUNTY OF San Mateo

On 3/4/09, before me, Cheryl L. Franke, personally appeared Fabio Benedetti, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Page 4 of 4

PATENT REEL: 042246 FRAME: 0778

**RECORDED: 05/04/2017**