

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4399612

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
YU-CHU CHEN	01/20/2017
CHING-TAN YU	01/20/2017
PIN-CHU CHEN	01/20/2017
SHENG-HUA YANG	01/20/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	EXCELLENCE OPTOELECTRONICS INC.
<b>Street Address:</b>	NO.2,KEDONG 1ST RD., CHUNAN TOWN
<b>City:</b>	MIAOLI COUNTY
<b>State/Country:</b>	TAIWAN
<b>Postal Code:</b>	35053
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15587418
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	+886.921.582.847
<b>Email:</b>	Jenny.Chen@EastwindConsult.com
<b>Correspondent Name:</b>	JENNY CHEN
<b>Address Line 1:</b>	7F., NO.1, ALLEY 30, LANE 358, RUEIGUANG
<b>Address Line 4:</b>	TAIPEI, TAIWAN 114
<b>ATTORNEY DOCKET NUMBER:</b>	EOI-1US
<b>NAME OF SUBMITTER:</b>	JENNY CHEN
<b>SIGNATURE:</b>	/Jenny Chen/
<b>DATE SIGNED:</b>	05/05/2017
<b>Total Attachments: 6</b>	
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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Yu-Chu CHEN

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 2017/01/20

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Excellence Optoelectronics Inc.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

No. 2, Kedong 1st Road Chunan Town,

City: Miaoli County

State: Taiwan

Country: Taiwan R.O.C. Zip: 35053

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

*Application filed concurrently herewith.*

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Jenny Chen

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

7F., No.1, Alley 30, Lane 358, Rueiguang Road, Neihu District

City: Taipei

State: Taiwan

Zip: 114

Phone Number: \_\_\_\_\_

Docket Number: +886.921.582.847

Email Address: Jenny.Chen@EastwindConsult.com

**6. Total number of applications and patents involved:** 1

**7. Total fee** (37 CFR 1.21(h) & 3.41) \$ 40

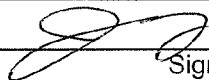
- Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

May 5, 2017  
Date

Jenny Chen

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Additional name(s) of conveying party(ies)

Name of conveying party(ies)

1. Ching-Tan YU
2. Pin-Chu CHEN
3. Sheng-Hua YANG

**ASSIGNMENT**

WHEREAS, we, the undersigned inventors of residences as listed, have invented certain new and useful improvements as below entitled, for which applications for United States and other countries' Letters Patent are made, said applications having been designated as set forth below and filed on or about the date set forth below in the United States; and

WHEREAS, **Excellence Optoelectronics Inc.** (hereinafter referred to as "Assignee"),

A **Taiwan** corporation

with an address of:

**No. 2, Kedong 1st Road, Chunan Town, Miaoli County, Hsinchu Science Park,  
Taiwan, R.O.C. Postal Code : 35053**

desires to acquire the entire right, title and interest in and to the inventions, and in and to the said applications and any Letters Patent(s) that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to said inventions and in and to said applications and all patents which may be granted therefore, and all provisionals, divisions, reissues, continuations, continuations-in-part and extensions thereof; and we authorize and request the Commissioner of Patents and Trademarks to issue all patents for said inventions, or patents resulting therefrom, insofar as our interest is concerned, to Assignee.

We also assign to Assignee, all right, title and interest in and to the inventions disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said inventions in its own name throughout the world, including all rights to publish cautionary notices, reserving ownership of said inventions and all rights to register said inventions in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other paper in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all provisional, divisional, reissue, continuation, continuation-in-part and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said inventions in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Invention Name: **Light Emitting Devices**

Dates Filed:

Serial Numbers:

We hereby grant Jenny Chen, Registration No. 44,604, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The undersigned further declares that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true to the best of his/her own knowledge; and further that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of United States Code and that such willful and false statements may jeopardize the validity of the applications or any patent issued thereon.

**Signature of Inventor:** *Yu-Chu Chen*

**Inventor Name:** Yu-Chu CHEN

**Residence:** Yunlin

**Citizenship:** Taiwan

**Date:** 2017. 1. 20

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**Signature of Inventor:** *Ching-Tan Yu*

**Inventor Name:** Ching-Tan YU

**Residence:** Hsinchu

**Citizenship:** Taiwan

**Date:** 2017. 1. 20

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**Signature of Inventor:** *Pin-Chu Chen*

**Inventor Name:** Pin-Chu CHEN

**Residence:** Miaoli

**Citizenship:** Taiwan

**Date:** 2017 0120

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**Signature of Inventor:**



**Inventor Name:** Sheng-Hua YANG

**Residence:** Taichung

**Citizenship:** Taiwan

**Date:** 2019.1.20

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