

## PATENT ASSIGNMENT COVER SHEET

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT              |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                  |
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| <b>Name</b>   | <b>Execution Date</b>       |
| THOMAS DIETERLE   | 01/28/2015                  |
| <b>RECEIVING PARTY DATA</b>   |                             |
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| <b>City:</b>  | BASEL                       |
| <b>State/Country:</b>   | SWITZERLAND                 |
| <b>Postal Code:</b>   | 4070                        |
| <b>PROPERTY NUMBERS Total: 1</b>  |                             |
| <b>Property Type</b>  | <b>Number</b>               |
| Application Number:   | 15581587                    |
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| <b>ATTORNEY DOCKET NUMBER:</b>  | P32252-US-CPS               |
| <b>NAME OF SUBMITTER:</b>   | KENNETH J. WAITE            |
| <b>SIGNATURE:</b>   | /Kenneth J. Waite/          |
| <b>DATE SIGNED:</b>   | 05/05/2017                  |
| <b>Total Attachments: 2</b>   |                             |
| source=Assignment Inventor-FHLR EP#page1.tif  |                             |
| source=Assignment Inventor-FHLR EP#page2.tif  |                             |

**ASSIGNMENT AGREEMENT**

I/We, the undersigned

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**Employed by:**

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

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hereinafter CO-INVENTOR(S)

of the invention entitled:

**Biomarker for risk prediction of hospitalization**

for which (a) priority application(s) was (were) filed with the

**European Patent Office**

as outlined below:

**Case No.**  
P32252-EP

**Country**  
Europe

**Filing Date**  
29 Oct 2014

**Serial No.**  
14190836.8

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Erzburg, 28-01-2015  
Place, Date

Thomas Dieterle  
Thomas Dieterle

**ASSIGNEE**

F. Hoffmann-La Roche AG

Basel 09 FEB 2015  
Place, Date

Antonio Natoli Susanne Amann  
Antonio Natoli Holder of procuration  
Susanne Amann Mandatory