504328899 04/19/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4375585

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PETER ALFONS SCHMITT	03/29/2017
JONAH SAMUEL MYERBERG	03/28/2017
RICARDO FULOP	03/28/2017
MICHAEL ANDREW GIBSON	03/28/2017
MATTHEW DAVID VERMINSKI	03/27/2017
RICHARD REMO FONTANA	03/28/2017
CHRISTOPHER ALLAN SCHUH	04/06/2017
YET-MING CHIANG	04/06/2017
ANASTASIOS JOHN HART	04/06/2017

RECEIVING PARTY DATA

Name:	DESKTOP METAL, INC.	
Street Address:	63 3RD AVENUE	
City:	BURLINGTON	
State/Country:	MASSACHUSETTS	
Postal Code:	01803	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15469371

CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER: DESK-0013-P08

NAME OF SUBMITTER: ROBERT A. MAZZARESE

PATENT 504328899 REEL: 042285 FRAME: 0004

SIGNATURE: /Robert Mazzarese/ **DATE SIGNED:** 04/19/2017 **Total Attachments: 36** source=DESK-0013-P08 20170419 ExecutedDeclAssg Schmitt#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schmitt#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schmitt#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schmitt#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Myerberg#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Myerberg#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Myerberg#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Myerberg#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fulop#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fulop#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fulop#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fulop#page4.tif source=DESK-0013-P08_20170419_ExecutedDeclAssg_Gibson#page1.tif source=DESK-0013-P08_20170419_ExecutedDeclAssg_Gibson#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Gibson#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Gibson#page4.tif source=DESK-0013-P08_20170419_ExecutedDeclAssg_Verminski#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Verminski#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Verminski#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Verminski#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fontana#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fontana#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fontana#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Fontana#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schuh#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schuh#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schuh#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Schuh#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Chiang#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Chiang#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Chiang#page3.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Chiang#page4.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Hart#page1.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Hart#page2.tif source=DESK-0013-P08 20170419 ExecutedDeclAssg Hart#page3.tif

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Page 1 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

DECLARATION

As a below named and undersigned inventor, I hereby declare that:

- (a) This declaration is directed to United States application or PCT international application number 15/469,371 filed on March 24, 2017.
 - (b) The above-identified application was made or authorized to be made by me.
- (c) I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims, and I acknowledge the duty to disclose all information which is material to the patentability of this application as defined in 37 C.F.R. § 1.56 (attached hereto).

Page 2 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

ASSIGNMENT

WHEREAS, the undersigned inventor ("Inventor") has developed certain inventions ("Inventions") described in the above U.S. patent application, and has full right to convey his or her entire interest, both legal and equitable, in and to said Inventions free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, Desktop Metal, Inc. ("ASSIGNEE"), an entity organized and existing under the laws of the state of Delaware, and having a place of business at 63 3rd Avenue, Burlington, MA, 01803, is desirous of acquiring the entire right, title, and interest in and to the Inventions and any and all patents to be obtained therefore;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the Inventor, the Inventor hereby sells, assigns and transfers unto the ASSIGNEE, its successors and assigns, his or her entire right, title and interest in and to the Inventions as described in the above application and all applications resulting therefrom, including any and all conversions, divisions, continuations, continuations-in-part, substitute applications, and reissues or extensions thereof; and all resulting patents in any jurisdiction worldwide; along with all rights of priority and rights to sue for past infringement;

AND the Inventor hereby authorizes and requests the issuing authority to issue any and all patents issuing from any of the forgoing to the ASSIGNEE or its successors and assigns;

AND the Inventor agrees that ASSIGNEE's counsel, Strategic Patents, P.C. having Patent Office Customer Number 43520, shall hereinafter act on behalf of the ASSIGNEE with respect to the Inventions;

AND the Inventor further agrees, without any further payment or compensation by the ASSIGNEE or its successors and assigns, to communicate to the ASSIGNEE, its representatives or agents or its successors and assigns, any facts relating to the Inventions including evidence for interference purposes or for other legal proceedings whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to generally do everything possible to aid the ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for the Inventions in this or any foreign country.

Serial No.: 15/469,371 Filing Date: March 24, 2017

Page 3 of 4 Combined Declaration and Assignment

I accept and agree to the terms of the ASSIGNMENT above.

I hereby acknowledge that any willful false statement made in the DECLARATION above is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Full Legal Name of inventor: Peter Alfons Schmitt

Residence: Brookline, MA

Signature:

Date: 3/29/2017

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469.371 Combined Declaration and Assignment

Serial No.: 15/469,371 Filing Date: March 24, 2017

§ 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the (a) most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
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 - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, the applicant, an assignee, or anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Page 1 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

DECLARATION

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Page 2 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

ASSIGNMENT

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NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the Inventor, the Inventor hereby sells, assigns and transfers unto the ASSIGNEE, its successors and assigns, his or her entire right, title and interest in and to the Inventions as described in the above application and all applications resulting therefrom, including any and all conversions, divisions, continuations, continuations-in-part, substitute applications, and reissues or extensions thereof; and all resulting patents in any jurisdiction worldwide; along with all rights of priority and rights to sue for past infringement;

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AND the Inventor agrees that ASSIGNEE's counsel, Strategic Patents, P.C. having Patent Office Customer Number 43520, shall hereinafter act on behalf of the ASSIGNEE with respect to the Inventions;

AND the Inventor further agrees, without any further payment or compensation by the ASSIGNEE or its successors and assigns, to communicate to the ASSIGNEE, its representatives or agents or its successors and assigns, any facts relating to the Inventions including evidence for interference purposes or for other legal proceedings whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to generally do everything possible to aid the ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for the Inventions in this or any foreign country.

Page 3 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

I accept and agree to the terms of the ASSIGNMENT above.

I hereby acknowledge that any willful false statement made in the DECLARATION above is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Full Legal Name of inventor: Jonah Samuel Myerberg

Residence: Lexington, MA

Signature:

Jonah Samuel Myerborg

PATENT

REEL: 042285 FRAME: 0012

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Filing Date: March 24, 2017

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Page 1 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

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Page 2 of 4 Combined Declaration and Assignment

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 3 of 4 Combined Declaration and Assignment

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Full Legal Name of inventor: Ricardo Fulop

Residence: Lexington, MA

Ricardo Fulor

Signature:

Date:

PATENT

REEL: 042285 FRAME: 0016

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Serial No.: 15/469,371 Filing Date: March 24, 2017

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Full Legal Name of inventor: Michael Andrew Gibson

Residence: Boston, MA

Signature: Min 4

Michael Andrew Gibson

Page 4 of 4 Combined Declaration and Assignment

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Page 1 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

DECLARATION

As a below named and undersigned inventor, I hereby declare that:

- (a) This declaration is directed to United States application or PCT international application number 15/469,371 filed on March 24, 2017.
 - (b) The above-identified application was made or authorized to be made by me.
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Attorney Docket No.: DESK-0013-P08 Page 2 of 4
Serial No.: 15/469.371 Combined Declaration and Assignment

Filing Date: March 24, 2017

ASSIGNMENT

WHEREAS, the undersigned inventor ("Inventor") has developed certain inventions ("Inventions") described in the above U.S. patent application, and has full right to convey his or her entire interest, both legal and equitable, in and to said Inventions free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, Desktop Metal, Inc. ("ASSIGNEE"), an entity organized and existing under the laws of the state of Delaware, and having a place of business at 63 3rd Avenue, Burlington, MA, 01803, is desirous of acquiring the entire right, title, and interest in and to the Inventions and any and all patents to be obtained therefore;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the Inventor, the Inventor hereby sells, assigns and transfers unto the ASSIGNEE, its successors and assigns, his or her entire right, title and interest in and to the Inventions as described in the above application and all applications resulting therefrom, including any and all conversions, divisions, continuations, continuations-in-part, substitute applications, and reissues or extensions thereof; and all resulting patents in any jurisdiction worldwide; along with all rights of priority and rights to sue for past infringement;

AND the Inventor hereby authorizes and requests the issuing authority to issue any and all patents issuing from any of the forgoing to the ASSIGNEE or its successors and assigns;

AND the Inventor agrees that ASSIGNEE's counsel, Strategic Patents, P.C. having Patent Office Customer Number 43520, shall hereinafter act on behalf of the ASSIGNEE with respect to the Inventions;

AND the Inventor further agrees, without any further payment or compensation by the ASSIGNEE or its successors and assigns, to communicate to the ASSIGNEE, its representatives or agents or its successors and assigns, any facts relating to the Inventions including evidence for interference purposes or for other legal proceedings whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to generally do everything possible to aid the ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for the Inventions in this or any foreign country.

Matthew David Verminski

Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 3 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Serial No.: 15/469,371 Filing Date: March 24, 2017

§ 1.56 Duty to disclose information material to patentability.

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 1 of 4 Combined Declaration and Assignment

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: <u>FABRICATING MULTI-PART ASSEMBLIES</u>

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Attorney Docket No.: DESK-0013-P08 Page 2 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Filing Date: March 24, 2017

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 3 of 4 Combined Declaration and Assignment

I accept and agree to the terms of the ASSIGNMENT above.

I hereby acknowledge that any willful false statement made in the DECLARATION above is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Date: 3/28/2017

Full Legal Name of inventor: Richard Remo Fontana

Residence: Cape Elizabeth, ME

Richard Remo Fontana

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Serial No.: 15/469,371 Filing Date: March 24, 2017

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 1 of 4 Combined Declaration and Assignment

United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 2 of 4 Combined Declaration and Assignment

ASSIGNMENT

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Christopher Allan Schuh

Serial No.: 15/469,371 Filing Date: March 24, 2017

Signature:

Page 3 of 4 Combined Declaration and Assignment

I accept and agree to the terms of the ASSIGNMENT above. I hereby acknowledge that any willful false statement made in the DECLARATION above is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Full Legal Name of inventor: Christopher Allan Schuh Residence: Wayland, MA Date: Apr 6, 2017

Attorney Docket No.: DESK-0013-P08 Page 4 of 4
Serial No.: 15/469,371 Combined Declaration and Assignment

Serial No.: 15/469,371 Filing Date: March 24, 2017

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Page 1 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

Serial No.: 15/469,371 Filing Date: March 24, 2017

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United States Patent Application COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: FABRICATING MULTI-PART ASSEMBLIES

DECLARATION

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Page 2 of 4 Combined Declaration and Assignment

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 3 of 4 Combined Declaration and Assignment

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Full Legal Name of inventor: Yet-Ming Chiang

Residence: Weston, MA

Signature:

Date:

Page 4 of 4 Combined Declaration and Assignment

Attorney Docket No.: DESK-0013-P08

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Serial No.: 15/469,371 Filing Date: March 24, 2017 Page 1 of 4 Combined Declaration and Assignment

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Filing Date: March 24, 2017

ASSIGNMENT

WHEREAS, the undersigned inventor ("Inventor") has developed certain inventions ("Inventions") described in the above U.S. patent application, and has full right to convey his or her entire interest, both legal and equitable, in and to said Inventions free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, Desktop Metal, Inc. ("ASSIGNEE"), an entity organized and existing under the laws of the state of Delaware, and having a place of business at 63 3rd Avenue, Burlington, MA, 01803, is desirous of acquiring the entire right, title, and interest in and to the Inventions and any and all patents to be obtained therefore;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the Inventor, the Inventor hereby sells, assigns and transfers unto the ASSIGNEE, its successors and assigns, his or her entire right, title and interest in and to the Inventions as described in the above application and all applications resulting therefrom, including any and all conversions, divisions, continuations, continuations-in-part, substitute applications, and reissues or extensions thereof; and all resulting patents in any jurisdiction worldwide; along with all rights of priority and rights to sue for past infringement;

AND the Inventor hereby authorizes and requests the issuing authority to issue any and all patents issuing from any of the forgoing to the ASSIGNEE or its successors and assigns;

AND the Inventor agrees that ASSIGNEE's counsel, Strategic Patents, P.C. having Patent Office Customer Number 43520, shall hereinafter act on behalf of the ASSIGNEE with respect to the Inventions;

AND the Inventor further agrees, without any further payment or compensation by the ASSIGNEE or its successors and assigns, to communicate to the ASSIGNEE, its representatives or agents or its successors and assigns, any facts relating to the Inventions including evidence for interference purposes or for other legal proceedings whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to generally do everything possible to aid the ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for the Inventions in this or any foreign country.

The Inventor hereby authorizes the attorneys and agents associated with Patent Office Customer Number 43520 to insert hereon any further information necessary or desirable for recordation of this document.

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I accept and agree to the terms of the ASSIGNMENT above.

I hereby acknowledge that any willful false statement made in the DECLARATION above is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Full Legal Name of inventor: Anastasios John Hart

Amastasios John Hart

Residence: Waban, MA

Signature:

Date: 4.6.7

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§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (I) Prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (e) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, the applicant, an assignee, or anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

PATENT REEL: 042285 FRAME: 0041

RECORDED: 04/19/2017