EPAS ID: PAT4408951

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CHRISTOPHER DIORIO	01/16/2015
JOHN LOKHNAUTH	01/16/2015
CHANG LEE	12/12/2014

## **RECEIVING PARTY DATA**

Name:	CAPSUGEL BELGIUM NV
Street Address:	RIJKSWEG 11
City:	BORNEM
State/Country:	BELGIUM
Postal Code:	2880

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
PCT Number:	EP1577428

## **CORRESPONDENCE DATA**

**Fax Number:** (503)595-5301

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Correspondent Name: LISA M. CALDWELL, KLARQUIST SPARKMAN LLP

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ATTORNEY DOCKET NUMBER: 9156-94088-03

NAME OF SUBMITTER: LISA M. CALDWELL

SIGNATURE: /Lisa M. Caldwell/

DATE SIGNED: 05/11/2017

### **Total Attachments: 4**

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PATENT REEL: 042338 FRAME: 0678

#### ASSIGNMENT

WHEREAS, we, Christopher Diorio, of Campbell Hall, New York, and John Lokhnauth, of Fair Lawn, New Jersey, and Chang Lee of Bethesda. Maryland, have invented a certain invention, entitled LIPID MULTIPARTICULATE FORMULATIONS, which invention is at least partially disclosed in U.S. Provisional Patent Application No. 62/087,766, filed on December 4, 2014, the invention and application collectively referred to herein as "the Invention"; and

WHEREAS, Capsugel Belgium NV, having a place of business at Rijksweg 11, 2880 Bornem, Belgium, desires to acquire an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, Christopher Diorio, John Lokhnauth, and Chang Lee hereby sell, assign, and transfer to Capsugel Belgium NV, the full and exclusive right, title, and interest in and to the Invention, patent application, and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; the Invention, patent application, and letters patent in this or any foreign country, and all corresponding non-provisional applications, divisions, continuations, continuations-in-part, reissues, and extensions thereof, to be held and enjoyed by Capsugel Belgium NV, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted by the United States or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right so to do, and agree that we will communicate to Capsugel Belgium NV, or its successors and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and do everything possible to aid Capsugel Belgium NV, its successors, and assigns to obtain and enforce proper patent protection for the Invention in the United States or any foreign country.

We confirm that, at the time the invention was made, we were under an obligation to assign the Invention to Capsugel Belgium NV.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

Executed at the place and date opposite our respective signatures below.
1 Sugardia Sillan
Christopher Diogo
At New York
(City and State)
At New York (City and State) on this 16 day of January, 2015.
STATE OF NEW YORK )
) SS.
STATE OF New York )  SS.  COUNTY OF New York )
This by day of January, 2015, before me personally came the above-named
Christopher Diorio, who executed the foregoing instrument in my presence, and who acknowledged to me that this instrument was executed by his own free will for the purpose set
forth therein.

Notary Public For

My commission expires: Colf

SHARADA SINGH
Notary Public, State of New York
No. 01Si5067754
Qualified in New York County
Commission Expires October 21, 2018
[SEAL]

John Lokhnauth

At New York

(City and State)
on this 16 day of January, 2015.

STATE OF New York
) ss.

COUNTY OF New York
)

This \( \begin{align\*} \text{day of } \) \( \) \( \) \( \) anial \( \) \( \) \( \) before me personally came the above-named John Lokhnauth, who executed the foregoing instrument in my presence, and who acknowledged to me that this instrument was executed by his own free will for the purpose set forth therein.

SHARADA SINGH
Notary Public, State of New York
No. 01SI5067754
Qualified in New York County
Commission Expires October 21, 2018

Notary Public For

My commission expires: 2018

[SEAL]

At Mariahum, N		Chang Lee
(City and State) on this /2 day of	,	dimental.
STATE OF NJ	) ) ss. )	

This 12 day of December , 2014, before me personally came the above-named Chang Lee, who executed the foregoing instrument in my presence, and who acknowledged to me that this instrument was executed by his own free will for the purpose set forth therein.

> Notary Public For My commission expires:

[SEAL]

CHRISTINA MUSCIANESI

ID # 2423923 NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires Aug. 13, 2017