

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4409321

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	NEIL S. FORBES	05/10/2016
	NELE VAN DESSEL	05/10/2016
RECEIVING PARTY DATA		
Name:	UNIVERSITY OF MASSACHUSETTS	
Street Address:	OFFICE OF GENERAL COUNSEL	
Internal Address:	ONE BEACON STREET, 31ST FLOOR	
City:	BOSTON	
State/Country:	MASSACHUSETTS	
Postal Code:	02108	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	15503380	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	request@slwip.com	
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.	
Address Line 1:	P.O. BOX 2938	
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402	
ATTORNEY DOCKET NUMBER:	3724.011US1	
NAME OF SUBMITTER:	KASIE A. BOSMA	
SIGNATURE:	/Kasie A. Bosma/	
DATE SIGNED:	05/11/2017	
Total Attachments: 4		
source=3724011US1Assn#page1.tif		
source=3724011US1Assn#page2.tif		
source=3724011US1Assn#page3.tif		
source=3724011US1Assn#page4.tif		

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 3724.011US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Neil S. Forbes, Nele Van Dessel

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: May 10, 2016, May 10, 2016

2. Name and address of receiving party(ies):

Name: University of Massachusetts

Street Address: Office of General Counsel
One Beacon Street, 31st Floor

City: Boston State: MA Zip: 02108

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 15/503,380

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monique M. Perdok

Address:

Swegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

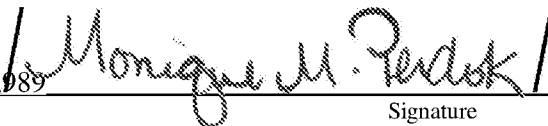
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Monique M. Perdok/Reg. No. 42,989

Name of Person Signing



Signature

May 9, 2017

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 042340 FRAME: 0444

DECLARATION AND ASSIGNMENT

Title of Invention: TARGETING EPIGENETIC REGULATORS USING A BACTERIAL DELIVERY SYSTEM

As a below named inventor, I hereby declare that:

This declaration is directed to:

PCT application number PCT/US2015/044831

The above-identified application was made or authorized to be made by me, and I have reviewed and understand the contents of the application, including the claims.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

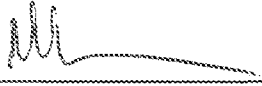
Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");

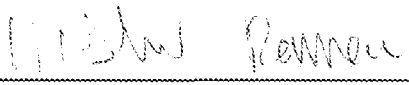
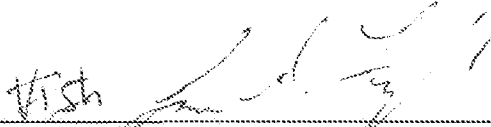
Whereas, University of Massachusetts, having a business address at Office of General Counsel, 225 Franklin Street, 12th Floor, Boston, MA 02110 (herein referred to as "ASSIGNEE"), desires to attain or has attained, and each undersigned inventor desires to grant or has granted to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;

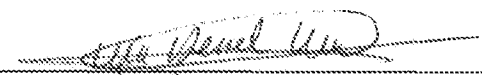
Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I, one of the undersigned inventors (herein referred to "ASSIGNOR"), hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in any and all countries and jurisdictions, including all divisions, continuations, continuations-in-part, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents, and further including any right to sue for past infringement. In addition, I hereby authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and I agree to execute any and all documents, oaths and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, and assigns.

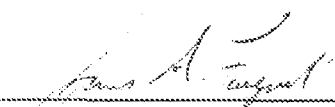

I also hereby grant ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Declaration and Assignment any further identification of the APPLICATION (including, but not limited to, patent Application Number and filing date).

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Signature  Date: 5/10/16
Neil S. Forbes

Witness:  Witness: 
Printed Name: Vishnu Raman Printed Name: James Fargnoli
Date: 5/10/16 Date: 05-10-16

Signature  Date: 5-10-2016
Nele Van Dessel

Witness:  Witness: 
Printed Name: James Fagnon Printed Name: ANRITA BASU
Date: 05-10-2016 Date: 05-10-2016