#### 504363187 05/11/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4409879

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MARK DARIS	04/17/2017
JENNITTE L STEVENS	04/19/2017
CHI-MING K LI	04/17/2017
HUANYING GE	04/18/2017

#### **RECEIVING PARTY DATA**

Name:	AMGEN INC.
Street Address:	ONE AMGEN CENTER DRIVE
City:	THOUSAND OAKS
State/Country:	CALIFORNIA
Postal Code:	91320-1799

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15519820

### **CORRESPONDENCE DATA**

Fax Number: (805)499-8011

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

805-447-1000 Phone:

patentops@amgen.com Email:

**Correspondent Name:** AMGEN INC.

Address Line 1: ONE AMGEN CENTER DRIVE

Address Line 2: PATENT OPERATIONS, M/S 28-2-C

Address Line 4: THOUSAND OAKS, CALIFORNIA 91320-1799

ATTORNEY DOCKET NUMBER:	A-1917-US-PCT
NAME OF SUBMITTER:	NOLA DIAMOND
SIGNATURE:	/Nola Diamond/
DATE SIGNED:	05/11/2017

**Total Attachments: 14** 

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> **PATENT** REEL: 042345 FRAME: 0341 504363187

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PATENT REEL: 042345 FRAME: 0342

### ASSIGNMENT

Whereas, I/we, Mark DARIS, 1210 Rotella Street, Newbury Park, CA 91320 USA

Jennitte LeAnn STEVENS, 126 Siesta Avenue, Thousand Oaks, CA 91360 USA

Chi-Ming Kevin LI, 3001 Avenida de los Arboles, Thousand Oaks, CA 91362 USA

Huanying GE, 104 Dumbledore Court, Cary, NC 27519 USA

(hereinafter referred to as ASSIGNOR), have made an invention which is the subject of an application for Letters Patent of the United States; and/or is the subject of a provisional application; and/or is the subject of an international application; and/or is the subject of other patent application(s) in other jurisdictions worldwide ("Application") entitled

### which is found in:

- US Application Serial No. <u>15/519,820</u>, filed <u>April 17, 2017</u>, which is the national stage application under 35 USC §371 of International Application No. <u>PCT/US2015/055549</u>, having an international filing date of <u>October 14, 2015</u>, which claims the benefit of U.S. Provisional Application No. <u>62,064,335</u>, filed <u>October 15, 2014</u>.
- ASSIGNOR hereby authorizes and requests ASSIGNEE or its legal representative to insert herein above the application number and filing date of said Application when known.

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799 wishes to acquire the entire right, title, and interest in all inventions disclosed in such Application;

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto AMGEN INC., its successors and assigns (collectively "ASSIGNEE") ASSIGNOR'S entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, continuation-in-part, substitute, reissue, and/or reexamination applications based on the above identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by ASSIGNEE for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, and any extensions thereof, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made.

Further, ASSIGNOR hereby sells, assigns, transfers, and sets over unto ASSIGNEE ASSIGNOR'S entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited

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by ASSIGNOR or at ASSIGNOR'S direction or deposited on ASSIGNOR'S behalf by ASSIGNEE, its affiliates, employees, or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, ASSIGNOR'S unreserved and irrevocable consent and authorization to ASSIGNEE to refer to the deposited microorganism(s) or other biological material(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any foreign Patent Office authority to issue any such Letters Patent which may be granted on the Application to ASSIGNEE as assignee of the entire right, title and interest therein and thereto.

For ASSIGNOR and for ASSIGNOR'S legal representatives, ASSIGNOR covenants and agrees with ASSIGNEE that ASSIGNOR has not granted to any others any license to make, use or sell any of such inventions, that ASSIGNOR'S right, title and interest in such inventions has not been encumbered, that ASSIGNOR has good right and title to sell and assign the same, and that ASSIGNOR will not execute any instrument in conflict herewith.

For ASSIGNOR and for ASSIGNOR'S heirs, successors and legal representatives, ASSIGNOR further covenants and agrees with ASSIGNEE that upon request ASSIGNOR and ASSIGNOR'S heirs, successors and legal representatives will: (i) execute continuing, divisional, substitute, reexamination, and/or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to ASSIGNEE any facts known to ASSIGNOR or ASSIGNOR'S heirs, successors and legal representatives relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for ASSIGNEE, may be necessary or desirable to secure the grant of Letters Patent to ASSIGNEE or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have such inventions, or any of them, patented,

with specifications and claims in such form as shall be approved by counsel for ASSIGNEE and to vest and confirm in ASSIGNEE or its nominees the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid at the expense of ASSIGNEE.

# DECLARATION OF ACCEPTANCE

On behalf of Assignee,	Stuart L. Watt
	Name
	Vice President, Law and Intellectual Property Officer
	Title
hereby confirms Assignee's acceptance.	» §
May 4, 2017	Sheart L. Walt
Date /	Signature

In Witness Whereof I have executed th	ecuted this assignment on 20		20
	-	(Month, Day)	(Year)
Mark DARIS			
(Name of Inventor)		(Signature of Inve	ntor)
A notary public or other officer completing t signed the document to which this certificate document.			
State of California			
County of Ventura			
On	before me,		
Notary Public, personally appeared			
	Mark DARIS		
	to be the person( the within instru- she/they execute capacity(ies), an the instrument th	e on the basis of satisfacts) whose name(s) is/are ment and acknowledged d the same in his/her/their sine person(s), or the entition(s) acted, executed the	subscribed to to me that he eir authorize gnature(s) o y upon behal
	7.	PENALTY OF PERJUI ate of California that the and correct.	
	WITNESS my h	and and official seal.	
Place Notary Seal Above	Signature:		

In Witness Whereof I have executed th	is assignment on		20 .
	<del>-</del>	(Month, Day)	(Year)
Jennitte L. STEVENS			
(Name of Inventor)		(Signature of Inver	ntor)
A notary public or other officer completing the signed the document to which this certificate document.			
State of California			
County of Ventura			
On	before me,		
Notary Public, personally appeared			
	Jennitte L. STEVEN	<u>S</u>	
	to be the person( the within instruct she/they executed capacity(ies), and the instrument the	e on the basis of satisfacts) whose name(s) is/are nent and acknowledged to the same in his/her/their side person(s), or the entity on(s) acted, executed the	subscribed to to me that he/ eir authorized gnature(s) on upon behalf
		PENALTY OF PERJUR te of California that t and correct.	
	WITNESS my ha	and and official seal.	
Place Notary Scal Above	Signature:		

In Witness Whereof I have executed this assignment on		20 .	
	2	(Month, Day)	(Year)
Chi-Ming K, LI			
(Name of Inventor)		(Signature of Inver	ntor)
A notary public or other officer completing signed the document to which this certificated document.			
State of California  County of Ventura			
On	before me,		······································
Notary Public, personally appeared			
	Chi-Ming K. LI		
	to be the person(s) the within instrum she/they executed capacity(ies), and the instrument the	e on the basis of satisfacts) whose name(s) is/are nent and acknowledged the same in his/her/their site person(s), or the entition(s) acted, executed the	subscribed to to me that he eir authorized gnature(s) or y upon behal
		ENALTY OF PERJUITE of California that the and correct.	
		nd and official seal.	
Place Notary Seal Above			

In Witness Whereof I have executed th	is assignment on	Abril (Month, Day)	8 20 <u>17</u> .
Huanying GE (Name of Inventor)		(Signature of In	ventor
Lounty, North Caro	lina		
I certify that the following person(s) pe acknowledging to me that he or she sign			each
	duanying GE ame(s) of principal(s)	)	
Date: 4/18/2017	1 0	\$ZZ	
(Official Season	Notary's Prima	Howe of Notary  Town  ted or Typed Nam  on expires: 62	

#### ASSIGNMENT

Whereas, I/we, Mark DARIS, 1210 Rotella Street, Newbury Park, CA 91320 USA

Jennitte LeAnn STEVENS, 126 Siesta Avenue, Thousand Oaks, CA 91360 USA

Chi-Ming Kevin LI, 3001 Avenida de los Arboles, Thousand Oaks, CA 91362 USA

Huanying GE, 104 Dumbledore Court, Cary, NC 27519 USA

(hereinafter referred to as ASSIGNOR), have made an invention which is the subject of an application for Letters Patent of the United States; and/or is the subject of a provisional application; and/or is the subject of an international application; and/or is the subject of other patent application(s) in other jurisdictions worldwide ("Application") entitled

### which is found in:

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Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto AMGEN INC., its successors and assigns (collectively "ASSIGNEE") ASSIGNOR'S entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, continuation-in-part, substitute, reissue, and/or reexamination applications based on the above identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by ASSIGNEE for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, and any extensions thereof, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made.

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PATENT REEL: 042345 FRAME: 0350

by ASSIGNOR or at ASSIGNOR'S direction or deposited on ASSIGNOR'S behalf by ASSIGNEE, its affiliates, employees, or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, ASSIGNOR'S unreserved and irrevocable consent and authorization to ASSIGNEE to refer to the deposited microorganism(s) or other biological material(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any foreign Patent Office authority to issue any such Letters Patent which may be granted on the Application to ASSIGNEE as assignee of the entire right, title and interest therein and thereto.

For ASSIGNOR and for ASSIGNOR'S legal representatives, ASSIGNOR covenants and agrees with ASSIGNEE that ASSIGNOR has not granted to any others any license to make, use or sell any of such inventions, that ASSIGNOR'S right, title and interest in such inventions has not been encumbered, that ASSIGNOR has good right and title to sell and assign the same, and that ASSIGNOR will not execute any instrument in conflict herewith.

For ASSIGNOR and for ASSIGNOR'S heirs, successors and legal representatives, ASSIGNOR further covenants and agrees with ASSIGNEE that upon request ASSIGNOR and ASSIGNOR'S heirs, successors and legal representatives will: (i) execute continuing, divisional, substitute, reexamination, and/or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to ASSIGNEE any facts known to ASSIGNOR or ASSIGNOR'S heirs, successors and legal representatives relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for ASSIGNEE, may be necessary or desirable to secure the grant of Letters Patent to ASSIGNEE or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have such inventions, or any of them, patented,

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On behalf of Assignee,	Stuart L. Watt
	Name
	Vice President, Law and Intellectual Property Officer
	Title
hereby confirms Assignee's acceptance.	Steartevart
Date /	Signature

In Witness Whereof I have executed this assignment on	4/17/1	20_17
	(Month, Day)	(Year)
	· ·	
Mark DARIS	April 1	***************************************
(Name of Inventor) (Signature of Inventor)		

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

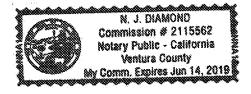
County of Ventura

TIDE TILLINGLOOD

before me. W. J. Diamond

Notary Public, personally appeared

#### Mark DARIS



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature:

Place Notary Scal Above

In Witness Whereof I have executed th	is assignment on Avi 19 20 17. (Month, Day) (Year)		
Jennitte L. STEVENS	AA-		
(Name of Inventor)	(Signature of Inventor)		
	his certificate verifies only the identity of the individual who is attached, and not the truthfulness, accuracy, or validity of that		
State of California			
County of <u>Ventura</u>			
TIDE, PILLINGLE nO	before me, M. J. Diamond		
Notary Public, personally appeared			
	Jennitte L. STEVENS		
N. J. DIAMOND Commission # 2115582 Notary Public - California Ventura County My Comm. Expires Jun 14, 2019	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.		
I certify under PENALTY OF PERJURY und laws of the State of California that the for paragraph is true and correct.			
	WITNESS my hand and official seal.		
Place Notary Seal Above	Signature:		

In Witness Whereof I have executed this assignment on		4/17	20 🗥 .	
		(Month, Day)	(Year)	
Chi-Ming K. LI				
(Name of Inventor) (Signature of Inventor			entor)	
A notary public or other officer completing the signed the document to which this certificate in document.				
State of California				
County of Ventura				
1 TIDE, Milagla	pefore me,	Enomine ting		
Notary Public, personally appeared				
	Chi-Ming K. LI			
N. J. DIAMOND Commission # 2115562 Notary Public - California Ventura County My Comm. Expires Jun 14, 2019	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) of the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.			
	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.			
	WITNESS my hand and official seal.			
Place Notary Seal Above	Signature:	many silly for		

In Witness Whereof I have executed this assignment on(Month, Da			20 .	
	(1)	Month, Day)	(Year)	
Huanying GE				
(Name of Inventor)	(Sig	gnature of Invento	or)	
County, North	Carolina			
I certify that the following person(	s) personally appeared before me	e this day, eac	h	
acknowledging to me that he or she	e signed the foregoing document	t:		
	Huanying GE			
	Name(s) of principal(s)			
Date:				
(Official Seal)	Official Signature of	Notary		
			, Notary Publi	
	Notary's Printed or '.	Typed Name	*	
	My commission expi	ires:		