# 504372340 05/17/2017

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4419034

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
C. FREDERICK M. HUNTLEY	04/06/2017
ERIK WAYNE KATAISTO	04/06/2017
HELGE ALFRED REISCH	04/11/2017
ARCHANA SHARMA	04/04/2017

### **RECEIVING PARTY DATA**

Name:	RHODES TECHNOLOGIES
Street Address:	498 WASHINGTON STREET
City:	COVENTRY
State/Country:	RHODE ISLAND
Postal Code:	02816

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	15507453
Application Number:	15446197

## **CORRESPONDENCE DATA**

**Fax Number:** (212)698-3599

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 212-698-3500

**Email:** patents@dechert.com

Correspondent Name: GEORGE A. SENICH, ESQ.

Address Line 1: DECHERT LLP

Address Line 2: 1095 AVENUE OF THE AMERICAS
Address Line 4: NEW YORK, NEW YORK 10036

ATTORNEY DOCKET NUMBER:	379612-478US AND 478C1
NAME OF SUBMITTER:	GEORGE A. SENICH
SIGNATURE:	/George A. Senich/
<b>DATE SIGNED:</b> 05/17/2017	

**Total Attachments: 6** 

PATENT REEL: 042415 FRAME: 0038

504372340

PATENT REEL: 042415 FRAME: 0039

#### ASSIGNMENT

WHEREAS, we, the undersigned,

C. Frederick M. HUNTLEY, resident of East Greenwich, RI; Erik Wayne KATAISTO, resident of Coventry, RI; Helge Alfred REISCH, resident of Westerly, RI; and Archana SHARMA, resident of East Greenwich, RI

have invented certain new and useful improvements in "ACETATE SALT OF BUPRENORPHINE AND METHODS FOR PREPARING BUPRENORPHINE" and have executed an application for a patent of the United States, filed as U.S. Patent Application No. 15/507,453, filed on February 28, 2017, a national phase application under 35 U.S.C. § 371 of International Patent Application No. PCT/IB2016/051332, filed on March 9, 2016, and

WHEREAS, RHODES TECHNOLOGIES (hereinafter termed "Assignee"), an corporation having a place of business at 498 Washington Street, Coventry, RI 02816, desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by us (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by us to have been received in full from said Assignee:

- I. We do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise: (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. We hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by us shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions: provided, however, that the expense incurred by us in providing such cooperation shall be paid for by said Assignee.
- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon us, our respective heirs, legal representatives and assigns.
- 4. We hereby warrant and represent that we have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, we have executed and delivered this instrument to said Assignee.

Application No.: 15/507,453 Page 1 of 3 23312130.1 BUSINESS PPLP Ref. 14-PS-0018US02

Date 4/6/2017	By: C. Greek ride M. Offully
State of Rhode Island ) SS.	
satisfactory evidence to be the person whose name is subset	2017, before me, Michele M Jenks  M. Huntley, who proved to me on the basis of ribed to the within instrument, and acknowledged to me that he executed are on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws paragraph is true and correct.	of the State of <u>RADUL IS AND</u> that the foregoing
WITNESS my hand and official seal.	
Signature Wille Motary Public) Wals	MICHELE M. JENKS Notary Public Sta(Seal Rhode Island Notary ID # 38734 My Commission Expires Mar 7, 2018
Date 4/6/2017	By: Erik Wayne KATAISTO
County of <u>Kent</u> )ss.	
the same in his authorized capacity, and that by his signatur person acted, executed the instrument.	2017, before me. Milele M. Jenks Karrisho who proved to me on the basis of bed to the within instrument, and acknowledged to me that he executed e on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws a paragraph is true and correct.	of the State of <u>Rhode Sland</u> that the foregoing
WITMESS my hand and official seal.	***
Signature Mill My July (Notary Public)	MICHELE M. JENKS Notary Public State of (8660)e Island Notary 10 # 38734
U	My Commission Expires Mar 7, 2018

Application No.: 15/507,453

Page 2 of 3

23312130,1 BUSINESS PPLP Ref. 14-PS-0018US02

Date W-APT-2017 By: Helge Alfred REISCH	<u> </u>
satisfactory evidence to be the person whose hame is subscribed to the within instrument, and acknowledged the same in his authorized capacity, and that by his signature on the instrument, the person or the entity up person acted, executed the instrument.	on behalf of which the
I certify under PENALTY OF PERIURY under the laws of the State of MANACHUSELL'S paragraph is true and correct.	that the foregoing
WITNESS my hand and official seal.	
Signature Warry Public (Notary Public)  MARILYN NOLAN Notary Public (Seal)  COMMONWEALTH OF MASSACHUSETTS My Commission Expires June 25, 2021	
DateBy:Archana SHARMA	
County of	
On thisday of, in the year 2017, before me,, who prove satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged the same in his authorized capacity, and that by his signature on the instrument, the person or the entity upoperson acted, executed the instrument.	to me that he executed
1 certify under PENALTY OF PERJURY under the laws of the State of	that the foregoing
WITNESS my hand and official seal.	
Signature(Seal) (Notary Public)	

Application No.: 15/507,453

Page 3 of 3

23312130 1 BUSINESS PPLP Ref.: 14-PS-0018US02

#### ASSIGNMENT

WHEREAS, we, the undersigned,

C. Frederick M. HUNTLEY, resident of East Greenwich, RI; Erik Wayne KATAISTO, resident of Coventry, RI; Helge Alfred REISCH, resident of Westerly, RI; and Archana SHARMA, resident of East Greenwich, RI

have invented certain new and useful improvements in "ACETATE SALT OF BUPRENORPHINE AND METHODS FOR PREPARING BUPRENORPHINE" and have executed an application for a patent of the United States, filed as U.S. Patent Application No. <u>15/507,453</u>, filed on February 28, 2017, a national phase application under 35 U.S.C. § 371 of International Patent Application No. <u>PCT/IB2016/051332</u>, filed on <u>March 9, 2016</u>, and

WHEREAS, <u>RHODES TECHNOLOGIES</u> (hereinafter termed "Assignee"), an corporation having a place of business at <u>498 Washington Street, Coventry, RI 02816</u>, desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by us (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by us to have been received in full from said Assignee:

- 1. We do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. We hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by us shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by us in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon us, our respective heirs, legal representatives and assigns.
- 4. We hereby warrant and represent that we have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, we have executed and delivered this instrument to said Assignee.

Application No.: 15/507,453 Page 1 of 3 23312130.1.BUSINESS PPLP Ref.: 14-PS-0018US02

Date	By:
	C. Frederick M. Holville I
County of	
) SS. State of)	
On this day of, in the year Notary Public, personally appeared satisfactory evidence to be the person whose name is subscritte same in his authorized capacity, and that by his signatu person acted, executed the instrument.	2017, before me,, who proved to me on the basis of ibed to the within instrument, and acknowledged to me that he executed re on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws paragraph is true and correct.	of the State of that the foregoing
WITNESS my hand and official seal.	
Signature(Notary Public)	(Seal)
(Notary Public)	· ,
Date	By:Erik Wayne KATAISTO
County of,)	
) SS. State of	
	2017, before me,, who proved to me on the basis of ibed to the within instrument, and acknowledged to me that he executed
satisfactory evidence to be the person whose name is subscrithe same in his authorized capacity, and that by his signature person acted, executed the instrument.	re on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws paragraph is true and correct.	of the State of that the foregoing
WITNESS my hand and official seal.	
Signature(Notary Public)	(Seal)

23312130.1.BUSINESS PPLP Ref.: 14-PS-0018US02

Application No.: 15/507,453

Date	By: Helge Alfred REISCH
	Heige Ain eu Reisch
County of	
On this day of, in the year Notary Public, personally appeared satisfactory evidence to be the person whose name is subscribed the same in his authorized capacity, and that by his signature person acted, executed the instrument.	, who proved to me on the basis of ribed to the within instrument, and acknowledged to me that he executed are on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws paragraph is true and correct.	s of the State of that the foregoing
WITNESS my hand and official seal.	
Signature	(Seal)
Signature(Notary Public)	(304.)
Date 04-04-2017	By: Archana SHARMA
County of Wan Buren ) State of Michigan ) On this 4th day of ADRIL in the year	
satisfactory evidence to be the person whose name is subscr	2017, before me, Archana Harya, , who proved to me on the basis of ribed to the within instrument, and acknowledged to me that he executed are on the instrument, the person or the entity upon behalf of which the
I certify under PENALTY OF PERJURY under the laws paragraph is true and correct.	s of the State of Michigan that the foregoing
WITNESS my hand and official seal.  Signature Outhout Plnal Laco (Notary Public)	COURTNEY RENAE WAECHTER NOTARY PUBLIC - MICHIGAN Van Buren County My Commission Expires May 1, 2022

Application No.: 15/507,453

Page 3 of 3

23312130.1.BUSINESS PPLP Ref.: 14-PS-0018US02