

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT4420298

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	YAOJIN PENG	04/18/2017
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	SYNGENTA PARTICIPATIONS AG	
<b>Street Address:</b>	SCHWARZWALDALLEE 215	
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<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	4058	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	15443069
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<b>ATTORNEY DOCKET NUMBER:</b>	81281-US-PX-ORG-NAT-1	
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<b>SIGNATURE:</b>	/sandra c stufano/	
<b>DATE SIGNED:</b>	05/18/2017	
<b>Total Attachments: 5</b> source=81281#page1.tif source=81281#page2.tif source=81281#page3.tif source=81281#page4.tif source=81281#page5.tif		

**ASSIGNMENT –  
PRIORITY / WORLDWIDE / PATENT COOPERATION TREATY (PCT) COUNTRIES**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged,

**Yaojin PENG**  
**550 Wimbledon Avenue**  
**Salinas, CA 93906**

has sold and assigned, and by this document hereby sells and assigns, unto

**SYNGENTA PARTICIPATIONS AG, Schwarzwaldallee 215, 4058, Basel,  
Switzerland**

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries and including members of the Patent Cooperation Treaty (PCT) in and to

(1) all inventions and discoveries described in Syngenta Patent Family 81281

including those described in Lettuce Variety Holbrook, and including, but not limited to, the following filings:

Country / Application Number / Filing Date  
US / 15/443,069 / February 27, 2017

(2) said invention and provisional and/or priority application and in and to any and all subsequent patent applications which claim the benefit of priority from said provisional and/or priority application including regular utility, continuations, and continuations-in-part, or divisions thereof;

(3) said invention in and to any said patent application for United States Letters Patent(s) and foreign countries relating to all patent application(s) filed in respect of the invention, including all divisional, renewal, substitute, reissues, continuation, non-provisional(s), International Patent Application(s);

(4) all national phase patent applications of said International Patent Application(s);

(5) all continuations (including continuation-in-parts, Convention applications, divisions of all of said patent application(s) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ~~((2)-(5))~~ hereinafter referred to collectively as "patent applications");

(6) all patents that are granted on any of said patent applications;

(7) all registrations and confirmations of and importation certificates based on one or more of said patents;

(8) all substitutes, reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues, reexaminations, and extensions of Letters Patent granted for said inventions or upon said applications and on said patents;

(9) every priority right and right to claim priority that is or may be predicated upon or arise from said inventions, said patent applications and said patents;

said Assignee being hereby authorized to file patent applications in any or all countries to any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under any international patent treaty (e.g. Patent Cooperation Treaty, Paris Convention, etc.) or otherwise; all Patent Offices (U.S. and foreign) being hereby authorized to issue or transfer all said patents to said Assignee in accordance herewith;

this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will to all acts reasonably serving to ensure that the said inventions, patent applications and patents shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, declarations, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may embody said inventions, and to testify in any proceedings relating to said inventions, patent applications, and/or patents.

The undersigned hereby grant(s) an authorized representative of Assignee the power to insert in this Assignment any further identification that may be necessary or desirable to comply with the rules of any Patent and Trademark Office for recordation of this Assignment.

Signature

Yaojin Peng

Date:

4/18/2017

State of: \_\_\_\_\_

County of: \_\_\_\_\_

I, \_\_\_\_\_, a Notary Public for said County and State, do hereby certify that **Yaojin Peng** personally appeared before me this day, and acknowledge the due execution of the foregoing instrument.

Witness my hand and official seal this \_\_\_\_\_ day of \_\_\_\_\_, 2017.

(Official Seal)

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

CIVIL CODE § 1183

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Santa Clara

On Apr. 18, 2017 before me, Elizabeth F Carr, Notary Public

Date

Here Insert Name and Title of the Officer

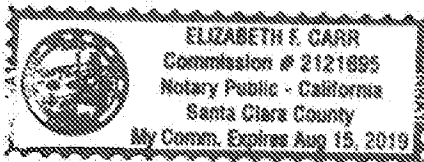
personally appeared Yaojin Peng

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature

Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

☐ Corporate Officer -- Title(s): \_\_\_\_\_

☐ Partner -- ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

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☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Applicant's signature / Applicants' signatures

SYNGENTA PARTICIPATIONS AG

Signature:

Printed Name:

Title:

Date:

Karen A. Magri  
Karen A. Magri  
Patent Attorney  
May 18, 2017

SYNGENTA PARTICIPATIONS AG

Signature:

Printed Name:

Title:

Date:

Sandy Stufano  
Sandy Stufano  
Patent Paralegal  
May 18, 2017