504377605 05/22/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4424300

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|--------------------|----------------|
| TAKAYUKI SAWA | 04/12/2017 |
| HIDEKI KITADA | 04/12/2017 |
| YASUHIKO URA | 04/12/2017 |
| TAKEHIRO NISHIMURA | 04/17/2017 |
| KEIICHIRO KAMURA | 04/17/2017 |
| YOSHI SATO | 04/17/2017 |

RECEIVING PARTY DATA

| Name: | SUMITOMO ELECTRIC INDUSTRIES, LTD. |
|-----------------|---|
| Street Address: | 5-33, KITAHAMA 4-CHOME, CHUO-KU |
| City: | OSAKA-SHI, OSAKA |
| State/Country: | JAPAN |
| Postal Code: | 541-0041 |
| Name: | KAWASAKI JUKOGYO KABUSHIKI KAISHA |
| Street Address: | 1-1, HIGASHIKAWASAKI-CHO 3-CHOME, CHUO-KU |
| City: | KOBE-SHI, HYOGO |
| State/Country: | JAPAN |
| Postal Code: | 650-8670 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 15528551 |

CORRESPONDENCE DATA

Fax Number: (202)204-0289

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-842-8800

Email: dcpatentfiling@dbr.com

Correspondent Name: DRINKER BIDDLE & REATH (DC)

Address Line 1: 1500 K STREET, N.W.

Address Line 2: SUITE 1100

Address Line 4: WASHINGTON, D.C. 20005-1209

504377605 REEL: 042451 FRAME: 0014

| ATTORNEY DOCKET NUMBER: | 201917-0264-00-US | |
|-------------------------|--|--|
| NAME OF SUBMITTER: | PETER J. SISTARE | |
| SIGNATURE: | /Peter J. Sistare/ | |
| DATE SIGNED: | 05/22/2017 | |
| | This document serves as an Oath/Declaration (37 CFR 1.63). | |

Total Attachments: 6

source=201917_0264_declaration_assignment#page1.tif source=201917_0264_declaration_assignment#page2.tif source=201917_0264_declaration_assignment#page3.tif source=201917_0264_declaration_assignment#page4.tif source=201917_0264_declaration_assignment#page5.tif source=201917_0264_declaration_assignment#page6.tif

PATENT REEL: 042451 FRAME: 0015

SOLE/JOINT INVENTION (U.S. Rights Only) Page 1 of 4

COMBINED DECLARATION AND ASSIGNMENT

WHEREAS I/WE, the below-named inventor(s) – hereinafter referred to as Assignor(s) – have made an invention entitled:

Air Spring and Bogie

for which I/WE are filing an application for United States Letters Patent, the application being the one identified in the Declaration set forth below; and

WHEREAS, (1) Sumitomo Electric Industries, Ltd. and (2) KAWASAKI JUKOGYO KABUSHIKI KAISHA, a corporation of Japan, whose post office address is (1) 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan and (2) 1-1, Higashikawasaki-cho 3-chome, Chuo-ku, Kobeshi, Hyogo 650-8670, Japan (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to issue upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisionals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and I/WE hereby authorize and request the United States Patent and Trademark Office to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, and all rights to claim priority on the basis of such applications, make all rightful oaths or the like, and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s) in the appropriate space below.

SOLE/JOINT INVENTION (U.S. Rights Only) Page 2 of 4

| 出願データシート(連邦規則法典第37編規則1.76)を用いた 実用又は意匠出願のための、連邦規則法典第37編規則1.63の 宣言書として、下記に記名され、署名のある各発明者は、次 のように宣言する。 | AS A DECLARATION UNDER 37 C.F.R. § 1.63, for a utility or design application using an Application Data Sheet (37 C.F.R. § 1.76), each below-named inventor for which a signature has been provided hereby declares that: |
|--|---|
| この宣言書は次に向けられたものである (次の2つの ボックスのうち1つにチェックを入れてください): | This Declaration is directed to (please check one of the following two boxes): |
| 添付された出願、又は 上願番号 である米国出願若しくは PCT 国際出願。 | The attached application, or United States application or PCT international application number PCT/JP2016/080698 filed on October 17, 2016 |
| 上記の出願は、私によってなされたか、又は私によってなされるよう権限を与えられたものである。 私は、この出願で請求されている発明の最初の発明者又は最初の共同発明者であると信じている。 私は、特許請求の範囲を含むこの出願の内容を検討し、且つ理解した。 私は、連邦規則法典第37編規則1.56に規定されているように、特許性について私が知っているすべての重要な情報を庁に開示する義務があることを知っている。 私は、この宣言書においてなされたいかなる故意による虚偽の供述は、合衆国法典第18編第1001条の下、罰金若しくは5年以下の懲役又はその両方に処される事をここに認める。 | The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I have reviewed and understand the contents of the application, including the claims. I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. |
| | |
| 注記:もし前もって提出されていないのであれば、発明主体全員の 名前を挙げた出願データシート(PTO/AIA/14 又はそれに相当する もの)をこの用紙に添付しなければならない。 | Note: An application data sheet (PTO/AIA/14 or equivalent) naming the entire inventive entity must accompany this form if not submitted previously. |
| STATEMENT OF ACCURATE TRANSLATION UNDER | 37 C.F.R. § 1.69(b): |
| To the best of my knowledge, the English-language translation | |
| Alexander of the second of the | |
| Signature: | |
| Masahiro Noda | |

SOLE/JOINT INVENTION (U.S. Rights Only) Page 3 of 4

| 唯一または第一発明者の法律上の氏名 | LEGAL NAME OF SOLE/FIRST ASSIGNOR/INVENTOR |
|-------------------------------|--|
| 氏名: | Print Name: Takayuki SAWA |
| 日付: | |
| 署名: | |
| 第二発明者の法律上の氏名 | |
| | LEGAL NAME OF SECOND ASSIGNOR/INVENTOR |
| 氏名: | Print Name: <u>Hideki KITADA</u> |
| 日付: | |
| 署名: | Signature: Hideki Kitada |
| 第三発明者の法律上の氏名 | LEGAL NAME OF THIRD ASSIGNOR/INVENTOR |
| | |
| 氏名: | Print Name: Yasuhiko URA |
| 日付: | Date: <u>Aprīl</u> 12. 2017 |
| 署名: | Signature: Yasuhika Dra |
| 第四発明者の法律上の氏名 | LEGAL NAME OF FOURTH ASSIGNOR/INVENTOR |
| 氏名: | |
| 日付: | |
| 署名: | |
| 第五発明者の法律上の氏名 | |
| | LEGAL NAME OF FIFTH ASSIGNOR/INVENTOR |
| 氏名: | — Print Name: <u>Keiichiro KAMURA</u> |
| 日付: | |
| 署名: | Date:Signature: |
| 第六発明者の法律上の氏名 | |
| | LEGAL NAME OF SIXTH ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Yoshi SATO |
| 日付: | Date: |
| 署名: | Signature: |
| 発明者のリストは添付のページに続く: 🏻 はい 🔲 いいえ | Name(s) of additional Assignor(s)/Inventor(s) attached: 🔲 Yes 🛛 No |

DC01/3306284.1

PATENT REEL: 042451 FRAME: 0018

SOLE/JOINT INVENTION (U.S. Rights Only) Page 1 of 4

COMBINED DECLARATION AND ASSIGNMENT

WHEREAS I/WE, the below-named inventor(s) – hereinafter referred to as Assignor(s) – have made an invention entitled:

Air Spring and Bogie

for which I/WE are filing an application for United States Letters Patent, the application being the one identified in the Declaration set forth below; and

WHEREAS, (1) Sumitomo Electric Industries, Ltd. and (2) KAWASAKI JUKOGYO KABUSHIKI KAISHA, a corporation of Japan, whose post office address is (1) 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan and (2) 1-1, Higashikawasaki-cho 3-chome, Chuo-ku, Kobeshi, Hyogo 650-8670, Japan (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to issue upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisionals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and I/WE hereby authorize and request the United States Patent and Trademark Office to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, and all rights to claim priority on the basis of such applications, make all rightful oaths or the like, and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s) in the appropriate space below.

SOLE/JOINT INVENTION (U.S. Rights Only) Page 2 of 4

| 出願データシート (連邦規則法典第 37 編規則 1.76) を用いた 実用又は意匠出願のための、連邦規則法典第 37 編規則 1.63 の 宣言書として、下記に記名され、署名のある各発明者は、次 のように宣言する。 | AS A DECLARATION UNDER 37 C.F.R. § 1.63, for a utility or design application using an Application Data Sheet (37 C.F.R. § 1.76), each below-named inventor for which a signature has been provided hereby declares that: |
|---|--|
| この宣言書は次に向けられたものである (次の2つの ボックスのうち1つにチェックを入れてください) : | This Declaration is directed to (please check one of the following two boxes): |
| 添付された出願、又は 出願番号 である米国出願若しくは PCT 国際出願。 | The attached application, or United States application or PCT international application number PCT/JP2016/080698 filed on October 17, 2016 |
| 上記の出願は、私によってなされたか、又は私によって なされるよう権限を与えられたものである。 | The above-identified application was made or authorized to be made by me. |
| 私は、この出願で請求されている発明の最初の発明者又は 最初の共同発明者であると信じている。 | I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. |
| 私は、特許請求の範囲を含むこの出願の内容を検討し、且つ 理解した。 | I have reviewed and understand the contents of the application, including the claims. |
| 私は、連邦規則法典第37編規則1.56に規定されているよう に、特許性について私が知っているすべての重要な情報を 定に関する。 | I am aware of the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56. |
| 私は、この宣言書においてなされたいかなる故意による虚偽 | I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. |
| | |
| 注記:もし前もって提出されていないのであれば、発明主体全員の 名前を挙げた出願データシート(PTO/AIA/14 又はそれに相当する もの)をこの用紙に添付しなければならない。 | Note: An application data sheet (PTO/AIA/14 or equivalent) naming the entire inventive entity must accompany this form if not submitted previously. |
| STATEMENT OF ACCURATE TRANSLATION UNDER | 37 C.F.R. § 1.69(b): |
| To the best of my knowledge, the English-language translation | |
| | |
| Signature: Marchine Node | |
| Masahiro Noda | |

SOLE/JOINT INVENTION (U.S. Rights Only) Page 3 of 4

| 唯一または第一発明者の法律上の氏名 | LEGAL NAME OF SOLE/FIRST ASSIGNOR/INVENTOR |
|------------------------------|--|
| 氏名: | Print Name: <u>Takayuki SAWA</u> |
| 目付; | |
| 署名: | |
| 第二発明者の法律上の氏名 | LEGAL NAME OF SECOND ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Hideki KITADA |
| 日付: | Date: |
| 署名: | |
| 第三発明者の法律上の氏名 | LEGAL NAME OF THIRD ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Yasuhiko URA |
| 日付: | |
| 署名: | |
| 第四発明者の法律上の氏名 | LEGAL NAME OF FOURTH ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Takchiro NISHIMURA |
| 日付: | |
| 署名: | |
| 第五発明者の法律上の氏名 | LEGAL NAME OF FIFTH ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Keiichiro KAMURA |
| 日付: | Date: April 17 2017 |
| 署名: | |
| 第六発明者の法律上の氏名 | LEGAL NAME OF SIXTH ASSIGNOR/INVENTOR |
| 氏名: | Print Name: Vachi SATA |
| 日付: | Date: April . 17 . 2019 |
| 署名: | Signature: Yoshi Satie |
| 発明者のリストは添付のページに続く:□ はい □ いいえ | Name(s) of additional Assignor(s)/Inventor(s) attached: ☐ Yes ☒ No |

DC01/3306284.1

PATENT REEL: 042451 FRAME: 0021

RECORDED: 05/22/2017