504365806 05/12/2017

# **PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4412498

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT AND ASSUMPTION OF IP AGREEMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date		
COOK MEDICAL TECHNOLOGIES LLC	05/05/2017		

#### **RECEIVING PARTY DATA**

Name:	IZI MEDICAL PRODUCTS, LLC
Street Address:	1 EAST WACKER DRIVE
Internal Address:	SUITE 400
City:	CHICAGO
State/Country:	ILLINOIS
Postal Code:	60601

### **PROPERTY NUMBERS Total: 12**

Property Type	Number
Patent Number:	6749595
Patent Number:	6752791
Patent Number:	6488667
Patent Number:	6273916
Patent Number:	7563265
Patent Number:	6450973
Patent Number:	6916308
Patent Number:	7604618
Patent Number:	7722090
Patent Number:	8372030
Patent Number:	D517209
Patent Number:	D511211

#### **CORRESPONDENCE DATA**

**Fax Number:** (212)294-4700

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2122946635

**Email:** dkumar@winston.com

Correspondent Name: WINSTON & STRAWN LLP - BECKY L. TROUTMAN

Address Line 1: 101 CALIFORNIA STREET,

PATENT

504365806 REEL: 042455 FRAME: 0323

Address Line 2: Address Line 4:	35TH FLOOR SAN FRANCISCO,, CALIFORNIA 94111-5840				

ATTORNEY DOCKET NUMBER:	015914.2-ASSUMPTION-ASGN				
NAME OF SUBMITTER:	BECKY L. TROUTMAN				
SIGNATURE:	/Becky L. Troutman/				
DATE SIGNED:	05/12/2017				

**Total Attachments: 12** 

source=Assignment and Assumption of IP Agreement from CMT to IZI#page1.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page2.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page3.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page4.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page5.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page6.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page7.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page8.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page9.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page10.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page11.tif source=Assignment and Assumption of IP Agreement from CMT to IZI#page11.tif

## ASSIGNMENT AND ASSUMPTION OF INTELLECTUAL PROPERTY AGREEMENT

THIS ASSIGNMENT AND ASSUMPTION OF INTELLECTUAL PROPERTY AGREEMENT ("Agreement"), dated as of the 5<sup>th</sup> day of May, 2017 (the "Effective Date"), by and among IZI Medical Products, LLC, a Delaware limited liability company ("Assignee"), Cook Medical LLC, an Indiana limited liability company ("Medical"), Cook Incorporated, an Indiana corporation ("Incorporated"), and Cook Medical Technologies LLC, an Indiana limited liability company ("Technologies" and together with Medical and Incorporated, "Assignors", and each individually an "Assignor").

#### WITNESSETH:

WHEREAS, Assignee and Assignors have entered into that certain Asset Purchase Agreement, dated of even date herewith (the "Purchase Agreement");

WHEREAS, pursuant to the terms and subject to the conditions of the Purchase Agreement, each Assignor desires to assign and transfer to Assignee all worldwide right, title and interest, to the Products Intellectual Property and certain rights and obligations of such Assignor under certain Assumed Contracts related to the Products Intellectual Property; and

WHEREAS, capitalized terms used herein, but not otherwise defined, shall have the meanings specified in the Purchase Agreement;

**NOW, THEREFORE,** for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and pursuant to the terms and subject to the conditions of the Purchase Agreement, each Assignor hereby agrees as follows:

Assignment. As of the Effective Date, each Assignor hereby Section 1.01. irrevocably sells, assigns and transfers to Assignee, its successors and assigns any and all of such Assignor's worldwide right, title and interest in and to (i) the names "Murphy Needle", "Murphy", "Murphy M2", "Duro-Ject", "Osteo-Site", "Osteo-Force", Osteo-RX, "Vertefix" and any logos relating solely to any such name and other Intellectual Property which is exclusively connected to, or used exclusively in connection with, the Products, including the Products Intellectual Property identified on Schedule 1.1(d) to the Purchase Agreement, a copy of which is attached as Exhibit A, and all goodwill associated with any of the foregoing (collectively, the "Assigned IP"); and (ii) to and under certain Assumed Contracts set forth on Schedule 1.1(a) to the Purchase Agreement, and Assignee hereby accepts such assignment. Each Assignor further hereby sells, assigns, and transfers to Assignee, its successors and assigns, all of such Assignor's rights to file patent, copyright, trademark, domain name and social media applications in the United States and throughout the world for the Assigned IP in the name of Assignee, its successors and assigns. Each Assignor further hereby sells, transfers, assigns, sets over and conveys to Assignee, and Assignee's successors and assigns, all proceeds to infringement suits and suits for other violations of the Assigned IP, the right to sue for present and future infringements and other violations of, and all rights corresponding thereto throughout the world for, the Assigned IP.

Section 1.02. Acceptance. As of the Effective Date, Assignee hereby accepts and assumes the assignment and transfer of (i) the Products Intellectual Property identified on Schedule 1.1(d) to the Purchase Agreement and (ii) the Assumed Contracts set forth on Schedule 1.1(a) to the Purchase Agreement, and agrees to assume and pay or perform any Assumed Liabilities in connection therewith when such payment or performance is required, but only to the extent the obligation or liability initially occurs after the Effective Date; provided, however, if any of the Assumed Contracts is not assignable or transferable without the consent of a party which was not obtained by the Assignors prior to the Effective Date, only if and to the extent Assignee shall receive the benefits of such Assumed Contract after the Effective Date.

Section 1.03. No Assumption of Liabilities. Assignee expressly does not, and shall not, assume or agree to assume, pay, satisfy, discharge, perform or be responsible for in any manner and shall not, by virtue of the execution and delivery of this Agreement, be deemed to have assumed or to have agreed to pay, satisfy, discharge or perform or be responsible for in any manner, any liabilities, obligations or commitments of the Assignors of any nature whatsoever whether direct or indirect, known or unknown, choate or inchoate, absolute, fixed, contingent or otherwise and whether or not disclosed to Assignee, other than the Assumed Liabilities specifically assumed by Assignee under the Purchase Agreement.

Section 1.04. Further Assurances. Each Assignor shall provide the Assignee, its successors and assigns with all such assistance as they may reasonably request to confirm or for the full utilization of the rights granted in Section 1 above, including, without limitation, upon request by Assignee to execute any further assignments or other documents or instruments necessary or desirable to confirm, record or otherwise carry out the purposes or intent of this Agreement without cost to any Assignor. Each Assignor acknowledges and agrees that Assignee or any of its Affiliates may record and perfect this Agreement or such documentation in any jurisdiction throughout the world, and such Assignor shall reasonably cooperate therewith. Each Assignor shall not assert any right, title or interest in or to any of the Assigned IP and shall not use any of the Assigned IP except as may be expressly authorized by the Assignee in writing.

Section 1.05. Governing Agreement. This Agreement is expressly made subject to the terms and provisions of the Purchase Agreement. This Agreement shall not affect, alter, enlarge, diminish or otherwise impair any of the representations, warranties, covenants, conditions, indemnities, terms or provisions of the Purchase Agreement, and all of the representations, warranties, covenants, conditions, indemnities, terms and provisions contained in the Purchase Agreement shall survive the delivery of this Agreement to the extent, and in the manner, set forth in the Purchase Agreement. In the event of a conflict between the terms and provisions of this Agreement and the terms and provisions of the Purchase Agreement, the terms and provisions of the Purchase Agreement shall govern and control.

<u>Section 1.06.</u> <u>Successors and Assigns</u>. The provisions of this Agreement shall bind the Assignors and their respective successors and permitted assigns and inure to the benefit of Assignee and its successors and permitted assigns.

Section 1.07. Interpretation. Titles and headings to articles and sections herein are inserted for convenience of reference only and are not intended to be a part of or to affect the meaning or interpretation of this Agreement. This Agreement shall be construed without regard

to any presumption or rule requiring construction or interpretation against the party drafting or causing any instrument to be drafted.

<u>Section 1.08.</u> <u>Execution in Counterparts</u>. This Agreement may be executed in one or more counterparts (including by means of emailed or telecopied signature pages), all of which taken together shall constitute one and the same instrument.

Section 1.09. Governing Law. This Agreement shall be exclusively interpreted and governed by the Laws of the State of Delaware, without regard to its conflict of law provisions. The parties hereby irrevocably consent and voluntarily submit in any suit, action or proceeding arising out of or relating to this Agreement or any of the transactions contemplated hereby to personal jurisdiction in the State of Delaware in and by the federal, state and local courts located in the State of Delaware, and agree that they may be served with process in any such action by certified or registered mail, return receipt requested, as provided in Section 10.3 of the Purchase Agreement, or to their respective registered agents for service of process in the state of their incorporation or formation, as applicable.

**Section 1.10. Amendment**. This Agreement may be amended or modified only by a written instrument executed by the Assignors and Assignee.

[Signature Page Follows]

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed on the Effective Date.

"ASSIGNORS"
COOK MEDICAL LLC
By:
COOK INCORPORATED
By: Od Ceo
Name: Scott Eells Title: Chief Operating Officer and Executive Vice President
COOK MEDICAL TECHNOLOGIES LLC
Ву:
Name: John Kamstra Title: Secretary – Treasurer
"ASSIGNEE"
IZI MEDICAL PRODUCTS, LLC
Ву:
Name: Title:
A 10101

[Signature Page to Assignment and Assumption of Intellectual Property Agreement]

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed on the Effective Date.

"ASSIGNORS"
COOK MEDICAL LLC
Ву:
Name: Title:
COOK INCORPORATED
Ву:
Name: Title:
COOK MEDICAL TECHNOLOGIES LLC
By:
Name:
Title:
"ASSIGNEE"
ASSIGNEE
IZI MEDICAL PRODUCTS, LLC
By: John
By:
Title: Chairman

# Exhibit A

Assigned IP

See Attached.

# **Products Intellectual Property**

	vertebral bodies	Method and apparatus for etrenothening		Needle control device	Cement delivery needle	Description of Intellectual Property
CA2287112C 2/19/2008	US7563265B1 7/21/2009	US6273916B1 8/14/2001	US6488667B1 11/14/2002	US6752791B2 6/3/2004	US6749595B1 5/27/2004	Publication Number and Date
CA2287112 10/22/1999	09/594,685 6/16/2000	09/425,480 10/22/1999	09/594,151 6/15/2000	10/285,889 11/1/2002	09/594,167 6/15/2000	Application Number and Date
Patent	Patent	Patent	Patent	Patent	Patent	Type of Intellectual Property
Canada	United States	United States	United States	United States	United States	Jurisdiction
						Designation
Kieran Mwphy, MD <sup>6</sup>	Kieran Murphy, MD <sup>5</sup>	Kieran Murphy, MD <sup>4</sup>	Kieran Murphy, MD <sup>3</sup>	CMT LLC and Kieran Murphy, MD <sup>2</sup>	Kieran Murphy, MD <sup>1</sup>	Owner
			•		None.	Security Interest Assignments

Subject to January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.
 Subject to November 8, 2004 Assignment and Royalty Agreement between Dr. Murphy and Cook Incorporated.
 Subject to the January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.

<sup>&</sup>lt;sup>4</sup> Subject to the January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.
<sup>5</sup> Subject to the January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.

<sup>&</sup>lt;sup>6</sup> Subject to the January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.

Subject to the January
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2002 License Agreement between I
subject to the January 1, 2002 License Agreement between Dr. Murphy and Cook Incorporated.

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	High pressure injection syringe injection syringe  Method and apparatus for an improved luer fitting connection										
					EP1735045B1	CA2561187C 5/28/2013	US7722090B2 5/5/2010	US7604618B2 9/30/2009	US6916308B2 6/22/2005	US6450973B1 9/17/2002	
				1	5729928.1 3/24/2005	2561187 3/24/2005	10/594,181 11/27/2007	11/166,915 6/23/2005	09/875,532 6/6/2001	09/596,078	
					Patent	Patent	Patent	Patent	Patent	Patent	
					European	Canada	United States	United States	United States	United States	
United Kingdom	Netherlands	Italy	Ireland	Germany	Denmark						
CMT LLC CMT LLC CMT LLC CMT LLC					CMT LLC	CMT LLC	CMT LLC	CMT LLC	CMT LLC	Kieran Murphy, MD <sup>7</sup>	
	•										

					VERTEFIX	Description of Intellectual Property		High pressure medical dispenser	Luer fitting connector	medicinal dispenser	Apparatus for an improved high pressure	
901999 August 19, 2002	498128 April 23, 2002	557, 859 August 29, 2003	4606514 September 20, 2002	2557932 June 18, 2003	3,532,472 November 11, 2008	Registration Number and Issue Date		USD511211 10/12/2005	USD517209 2/22/2006	AU2005231716B2 8/5/2010	US8372030B2 1/23/2013	JP4968607B2 7/4/2012
Trademark						Type of Intellectual Property		29/202,488 3/31/2004	29/202,141 3/26/2004	2005231716 3/24/2005	10/593,949 10/12/2007	2007505233 3/24/2005
Australia	Switzerland	South Korea	Japan	European Union	United States	Jurisdictions		Patent	Patent	Patent	Patent	Patent
	S Cook Incorporated							United States	United States	Australia	United States	Japan
	Security Interest Assignments None.											
						S.		CMT LLC	CMT LLC	CMT LLC	CMTLLC	CMT LLC

					OSTEO-FORCE								DURO-JECT
1126728 July 28, 2006	550512 July 28, 2006	5013246 December 22, 2006	005230693 December 21, 2010	695,856 September 7, 2007	3,259,525 July 3, 2007	February 14, 2005	September 28, 2004	526202	633116 September 28 2005	4835583 January 28, 2005	3949765 September 22, 2005	694198 August 16, 2017	2,984,201 August 9, 2005
								Trademark					
Australia	Switzerland	Japan	European Union	Canada	United States	Australia	A	Switzerland	South Korea	Japan	European Union	Canada	United States
Cook Incorporated							<b>.</b>	•					Cook Incorporated
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# License and Royalty Agreements

License Agreement, dated May 1, 2006, by and between ArthroCare Corporation and Cook Incorporated, for a license grant to sell certain ArthroCare products for a quarterly royalty payment of four (4%) percent of Cook's net sales of the certain licensed products (the "ArthroCare Agreement").

License Agreement, effective January 1, 2002, by and between Kieran Murphy, M.D. and Cook Incorporated for a license grant for certain patent and technology rights in exchange for a five (5%) percent royalty payment of net sales of licensed products and combination products, plus a three (3%) percent royalty payment of net sales of bone cement as a component of a kit containing pieces of surgical equipment used to perform vertebroplasty, but does not include any licensed products.

Assignment and Royalty Agreement, dated November 8, 2004, by and between Cook Incorporated and Kieran Murphy, for the transfer of ownership of a certain bone cement injector product, Cook catalog # Duro 100 (200) in exchange for royalty payments of three (3%) percent of net sales of the products.

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