

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4424891

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PETER J. DUGAN	03/10/2015
DIMITRI W. PONIRAKIS	03/10/2015
MICHAEL S. PITZRICK	01/30/2014
JOHN A. ZOLLWEG	05/16/2017
CHRISTOPHER W. CLARK	01/28/2015
AARON N. RICE	02/19/2015
RECEIVING PARTY DATA	
Name:	CORNELL UNIVERSITY
Street Address:	CENTER FOR TECHNOLOGY LICENSING ("CTL") AT CORNELL UNIVERSITY
Internal Address:	395 PINE TREE ROAD, SUITE 310
City:	ITHACA
State/Country:	NEW YORK
Postal Code:	14850
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14349459
CORRESPONDENCE DATA	
Fax Number:	(312)673-0361
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	312 673 0360
Email:	corder@vciplaw.com, lcipra@vciplaw.com
Correspondent Name:	VALAUSKAS CORDER LLC
Address Line 1:	150 SOUTH WACKER DRIVE
Address Line 2:	SUITE 1650
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	9664-129
NAME OF SUBMITTER:	ALLISON M. CORDER
SIGNATURE:	/amc/

DATE SIGNED:	05/22/2017
Total Attachments: 11 source=AssignmentAsFiled#page1.tif source=AssignmentAsFiled#page2.tif source=AssignmentAsFiled#page3.tif source=AssignmentAsFiled#page4.tif source=AssignmentAsFiled#page5.tif source=AssignmentAsFiled#page6.tif source=AssignmentAsFiled#page7.tif source=AssignmentAsFiled#page8.tif source=AssignmentAsFiled#page9.tif source=AssignmentAsFiled#page10.tif source=AssignmentAsFiled#page11.tif	

ASSIGNMENT

WHEREAS, We,

Peter J. Dugan, a U.S. citizen, residing at 4 Nottingham Drive, Ithaca, NY 14850;

Dimitri W. Ponirakis, a U.S. citizen, residing at 15 Hilton Road, Dryden, NY 13053;

Michael S. Pitzrick, a U.S. citizen, residing at 1706 Hanshaw Road, Ithaca, NY 14850;

John A. Zollweg, a U.S. citizen, residing at 980 Steam Mill Road, Ithaca, NY 14850;

Christopher W. Clark, a U.S. citizen, residing at 41 Hartwood Road, Ithaca, NY 14850;

and

Aaron N. Rice, a U.S. citizen, residing at 13 Hudson Place, Ithaca, NY 14850;

have invented certain new and useful improvements in **SYSTEM AND METHODS OF ACOUSTIC MONITORING** for which an application for Letters Patent in the United States was filed as U.S. Serial No. **14/349,459** on **April 3, 2014**; claiming priority to International Application No. **PCT/US2012/058565** on **October 3, 2012**; claiming priority to U.S. Provisional Application No. **61/542,636** on **October 3, 2011**.

AND WHEREAS, **Cornell University**, with its principal place of business at the **Center for Technology Licensing ("CTL") at Cornell University, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA**, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

representatives, and assigns, to obtain and enforce proper patent protection for said contributions in all countries.

Witness Signature Option

Peter J. Dugan

Date

First Witness Signature

Date

First Witness Printed Name

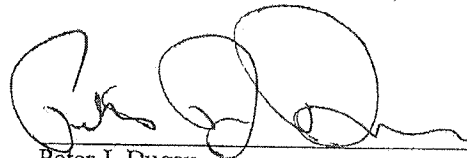
Second Witness Signature

Date

Second Witness Printed Name

Notary Signature Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day
of March, 2015.

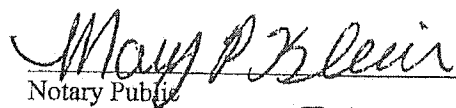


Peter J. Dugan

STATE OF New York
COUNTY OF Tompkins

MARY P. KLEIN
Notary Public, State of New York
No. 01KLE130121
Qualified in Cortland County
Commission Expires July 11, 2017

This 10 day of March, 2015, before me personally came the
above-named Peter J. Dugan, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.



Notary Public

Commission expires on July 11 2017

ASSIGNMENT

WHEREAS, We,

Peter J. Dugan, a U.S. citizen, residing at 4 Nottingham Drive, Ithaca, NY 14850;

Dimitri W. Ponirakis, a U.S. citizen, residing at 15 Hilton Road, Dryden, NY 13053;

Michael S. Pitzrick, a U.S. citizen, residing at 1706 Hanshaw Road, Ithaca, NY 14850;

John A. Zollweg, a U.S. citizen, residing at 980 Steam Mill Road, Ithaca, NY 14850;

Christopher W. Clark, a U.S. citizen, residing at 41 Hartwood Road, Ithaca, NY 14850;

and

Aaron N. Rice, a U.S. citizen, residing at 13 Hudson Place, Ithaca, NY 14850;


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Witness Signature Option



Dimitri W. Ponirakis
Ponirakis

03/10/2015

Date

First Witness Signature

Date

First Witness Printed Name


Second Witness Signature

Date

Second Witness Printed Name

Notary Signature Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day
of March, 2015.



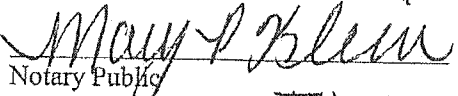
Dimitri W. Ponirakis
Ponirakis

STATE OF New York
COUNTY OF Tompkins:

MARY P. KLEIN
Notary Public, State of New York
No. 01616120121
Qualified in Cortland County
Commission Expires July 11, 2017

MARY P. KLEIN
Notary Public, State of New York
No. 01616120121
Qualified in Cortland County
Commission Expires July 11, 2017

This 10 day of March, 2015, before me personally came the
above-named Dimitri W. Ponirakis, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.



Notary Public
Commission expires on July 11, 2017

CORNELL UNIVERSITY
Office of the Vice President for Technology Transfer, Intellectual Property and Research Policy
Inventions and Related Property Rights Assignment Form
Last updated June 4, 2013

Instructions for ALL CORNELL UNITS. This form must be signed and submitted by anyone receiving a University appointment to an academic position, including those with modified titles (visiting, courtesy, adjunct, etc.), clinical and affiliation appointments, regardless of pay, percent time, or duration, and assistantship and fellowship appointments (e.g., research and teaching assistant, graduate research assistant, fellowship recipient, and training grant recipient). See University Policy 1.5 Inventions and Related Property Rights: <http://www.policy.cornell.edu/PolicyHome.cfm>.

Signing and submitting this form is a condition placed on receiving a Cornell University appointment or being granted access to university resources. Modifications to this form are not permitted.

Individuals having a principal employer other than Cornell University and whose pre-existing invention and property rights agreement conflicts with Cornell's policy may be eligible to sign an alternate form. Questions should be directed to Patricia A. McClary, Associate University Counsel, at paun4@cornell.edu or 607-255-5124.

Questions about the use of this form should be directed to the Office of the Vice President for Technology Transfer, Intellectual Property and Research Policy at vp_research@cornell.edu or 607-255-7200.

INVENTIONS AND RELATED PROPERTY RIGHTS ASSIGNMENT

1. I agree to assign and do hereby assign to Cornell University all right, title, and interest in any Cornell Invention as defined in Policy 1.5 made in furtherance of my University Responsibilities and/or with the use of University Resources (including but not limited to the performance of a grant, contract, award or gift made to the University by any external agency), and to comply with the provisions of Policy 1.5 Inventions and Related Property Rights as amended from time to time.
2. I agree to promptly disclose in writing to the University through an enabling Invention Disclosure submitted to the Cornell Center for Technology, Enterprise and Commercialization (CCTEC) any invention, or any discovery, creation, idea, including encoded works, that may be protected by patent or similar intellectual property right that I make during the term of my University Appointment or with the use of University Resources.
3. I understand that license revenue is shared between the University and inventors in accordance with the revenue distribution section of the Policy 1.5.
4. I am now under no consulting or other obligations to any third person, organization or corporation in respect to rights to inventions that are, or could reasonably be construed to be, in conflict with this agreement
5. I will not enter into any agreement creating patent obligations in conflict with this agreement
6. Electronic signatures and those transmitted by facsimile or portable document format (PDF) shall be deemed valid as original signatures. This Inventions and Related Property Rights Assignment shall not be denied legal effect, validity, or enforceability solely because an electronic record and electronic signature may have been used in its execution. Similarly, any photocopy or facsimile of this fully executed Assignment shall have the same legal force as any copy bearing original signatures.

Signed: _____

Printed Name: _____

Date: _____

Position Title: _____

Department: _____

PATENT

REEL: 042456 FRAME: 0359

ASSIGNMENT

WHEREAS, We,

Peter J. Dugan, a U.S. citizen, residing at 4 Nottingham Drive, Ithaca, NY 14850;

Dimitri W. Ponirakis, a U.S. citizen, residing at 15 Hilton Road, Dryden, NY 13053;

Michael S. Pitzrick, a U.S. citizen, residing at 1706 Hanshaw Road, Ithaca, NY 14850;

John A. Zollweg, a U.S. citizen, residing at 980 Steam Mill Road, Ithaca, NY 14850;

Christopher W. Clark, a U.S. citizen, residing at 41 Hartwood Road, Ithaca, NY 14850;

and

Aaron N. Rice, a U.S. citizen, residing at 13 Hudson Place, Ithaca, NY 14850;

have invented certain new and useful improvements in **SYSTEM AND METHODS OF ACOUSTIC MONITORING** for which an application for Letters Patent in the United States was filed as U.S. Serial No. **14/349,459** on **April 3, 2014**; claiming priority to International Application No. **PCT/US2012/058565** on **October 3, 2012**; claiming priority to U.S. Provisional Application No. **61/542,636** on **October 3, 2011**.

AND WHEREAS, **Cornell University**, with its principal place of business at the **Center for Technology Licensing ("CTL") at Cornell University, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA**, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

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Witness Signature Option

John A. Zollweg

Date

First Witness Signature

Date

First Witness Printed Name

Second Witness Signature

Date

Second Witness Printed Name

Notary Signature Option

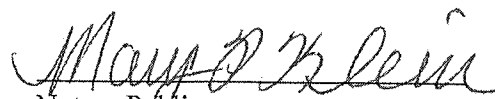
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16th day
of May, 2017.


John A. Zollweg

STATE OF New York
COUNTY OF Tompkins

MARY P. KLEIN
Notary Public, State of New York
No. 01KL8130121
Qualified in Cortland County
Commission Expires July 11, 2017

This 16 day of may, 2017, before me personally came the
abovenamed John A. Zollweg, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.


Notary Public

ASSIGNMENT

WHEREAS, We,

Peter J. Dugan, a U.S. citizen, residing at 4 Nottingham Drive, Ithaca, NY 14850;

Dimitri W. Ponirakis, a U.S. citizen, residing at 15 Hilton Road, Dryden, NY 13053;

Michael S. Pitzrick, a U.S. citizen, residing at 1706 Hanshaw Road, Ithaca, NY 14850;

John A. Zollweg, a U.S. citizen, residing at 980 Steam Mill Road, Ithaca, NY 14850;

Christopher W. Clark, a U.S. citizen, residing at 41 Hartwood Road, Ithaca, NY 14850;

and

Aaron N. Rice, a U.S. citizen, residing at 13 Hudson Place, Ithaca, NY 14850;

have invented certain new and useful improvements in **SYSTEM AND METHODS OF ACOUSTIC MONITORING** for which an application for Letters Patent in the United States was filed as U.S. Serial No. **14/349,459** on **April 3, 2014**; claiming priority to International Application No. **PCT/US2012/058565** on **October 3, 2012**; claiming priority to U.S. Provisional Application No. **61/542,636** on **October 3, 2011**.

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Witness Signature Option

Christopher W. Clark
Christopher W. Clark

20 Jan 2015
Date

Janet S. Moon
First Witness Signature

28 Jan. 2015
Date

Janet S. Moon
First Witness Printed Name

Jane Moon Clark
Second Witness Signature

28 Jan. 2015
Date

Jane Moon Clark
Second Witness Printed Name

Notary Signature Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day
of _____, 20____.

Christopher W. Clark

STATE OF _____

COUNTY OF _____ :

This _____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Notary Public
Commission expires on _____

ASSIGNMENT

WHEREAS, We,

Peter J. Dugan, a U.S. citizen, residing at 4 Nottingham Drive, Ithaca, NY 14850;

Dimitri W. Ponirakis, a U.S. citizen, residing at 15 Hilton Road, Dryden, NY 13053;

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Witness Signature Option

Aaron N. Rice 2.19.15
Aaron N. Rice Date

First Witness Signature Date

First Witness Printed Name

Second Witness Signature Date

Second Witness Printed Name

Notary Signature Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19 day of
Feb 19, 2015.

Aaron N. Rice
Aaron N. Rice

STATE OF New York
COUNTY OF Tompkins

This 19 day of February, 2015, before me personally came the
above-named Aaron N. Rice, to me personally known as the individual who
executed the same of his/her own free will for the purposes therein set forth.

MARY P. KLEIN
Notary Public, State of New York
No. 01KL6130121
Qualified in Cortland County
Commission Expires July 11, 2017

Mary P Klein
Notary Public
Commission expires on July 11 2017