504378780 05/22/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4425475

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
KARLING ALICE LEUNG	11/11/2014

RECEIVING PARTY DATA

Name:	INTARCIA THERAPEUTICS, INC.	
Street Address:	ONE MARINA PARK AVE., 13TH FLOOR	
City:	BOSTON	
State/Country:	MASSACHUSETTS	
Postal Code:	02210	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15597788

CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: COOLEY LLP

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Address Line 2: ATTN: PATENT DEPARTMENT Address Line 4: WASHINGTON, D.C. 20004

ATTORNEY DOCKET NUMBER:	ITCA-040C02US 023303-3290	
NAME OF SUBMITTER:	JENNIFER A. KARNAKIS	
SIGNATURE:	/Jennifer A. Karnakis/	
DATE SIGNED:	05/22/2017	

Total Attachments: 5

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> PATENT REEL: 042460 FRAME: 0266

504378780

ASSIGNMENT

<u>Karling Alice Leung</u>, having a mailing address of 1977 Manzanita Drive, Oakland, California 94611, (referred to as "Assignor") has made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled <u>COMPOSITIONS</u>, <u>DEVICES AND METHODS OF USE THEREOF FOR THE TREATMENT OF CANCERS</u>, and which is a:

(1)	provisional application (a) to be filed herewith; or (b) bearing Application No., and filed on;
(2)	 ∑ non-provisional application (a) ☐ to be filed herewith; or (b) ☒ bearing Application No. 14/525,201, and filed on October 27, 2014; and/or
(3)	PCT application (a) bearing Application No., and filed on

WHEREAS, Intarcia Therapeutics, Inc. having its principal place of business at 24650 Industrial Boulevard, Hayward, CA 94545 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified in paragraph (1), (2) and/or (3); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover damages, including royalties, for prior infringements of these application(s); and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application(s) for patent identified in paragraph (1) (2) and/or (3);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the

Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;

- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified in paragraph (1), (2) and/or (3) or any application(s) for patent claiming the Invention(s), including any substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignor, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

111458293 v1

PATENT REEL: 042460 FRAME: 0268 The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified in paragraph (1), (2) and/or (3) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

111458293 v1

PATENT REEL: 042460 FRAME: 0269

<u>ASSIGNOR</u>

Date: \\///\/\	Ву:	
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State of Colonia)		
County of Olameta)	ş.	is a second
}		LAMONEUSE WANY
Notary Public, bersonally appeare	d KHKUNI	CALLE LEANE YEARTS
who proved to me on the basis of	satisfactory ev	vidence, to be the version (s) whose
name(s) as are subscribed to the s	vithin instrume	ent and acknowledged to me that
he/cfiz/they executed the same in	his door /their aut	thorized capacity(ies), and that by
his/he2/their signamere(s) on the inst	rument the pers	som(s), or the entity upon behalf of
which the person (s) acted, executed the	ne instrument.	
WITNESS my hand and official seal.		C.J. BRANDMEYER C.C.MM. #1870508 COMM. #1870508 NOTARY PRICE CALL PORNIA SCHOOLA COUNTY My Comm. Explose March 16, 2018
Signature of Notary Public		Place Notary Seal Above
My Commission Expires:	16/16	

ASSIGNEE

Date: 11/11/14 By: <u>Lagraged 1. Keon</u>
Name: Agricano T. Kerne
Tide: CEALERM COUNTLL
Company: Intarcia Therapeutics, Inc.
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State of Massachuse 1/5) ss.
County of //////
On 11/11/2014 before me, 1877/19 19- Enden
Notary Public, personally appeared Zay 12/21/ T. Lea rul.
who proved to me on the basis of satisfactory evidence, to be the <u>person(s)</u> whose
name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the <u>person(s)</u> , or the entity upon behalf of
which the person(s) acted, executed the instrument.
WITNESS my hand and official seal. Wy Commission Expires June 30, 2017
1/15 De ra 17 1/ Admer

111458293 v1

PATENT REEL: 042460 FRAME: 0271

Place Notary Seal Above

RECORDED: 05/22/2017

Signature of Notary Public

My Commission Expires: June 30, 2012