

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4427906

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
CERÊVE, INC.	04/13/2017
RECEIVING PARTY DATA	
Name:	EBB THERAPEUTICS, INC.
Street Address:	THE OFFICES AT 3 CROSSINGS
Internal Address:	2555 SMALLMAN STREET, SUITE 100
City:	PITTSBURGH
State/Country:	CALIFORNIA
Postal Code:	15222
PROPERTY NUMBERS Total: 4	
Property Type	Number
Application Number:	14938705
Application Number:	14341642
Application Number:	14435515
Application Number:	14758438
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-212-1700
Email:	kle@shayglenn.com, info@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2755 CAMPUS DRIVE
Address Line 2:	SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	11259
NAME OF SUBMITTER:	RICHARD D. SHOOP
SIGNATURE:	/Richard D. Shoop, Reg. No. 45,763/
DATE SIGNED:	05/23/2017
Total Attachments: 4	

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RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) Cereve, Inc. (04/13/2017)

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

Ebb Therapeutics, Inc.**The Offices at 3 Crossings****2555 Smallman Street****Suite 100****Pittsburgh, PA 15222**

3. Nature of Conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other

Name and address of receiving party(ies):

Name:

Street Address:

City: State:

Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **See Attached**

Title:

Attorney No.

B. Patent No.(s)

Additional numbers attached?

☒

Yes

☐

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop**Shay Glenn LLP****2755 Campus Drive, Suite 210****San Mateo, CA 94403**

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 3.41): \$__00

☐

A check is enclosed that includes the total fee.

☒Charge the \$40 fee to **Deposit Account 50-4050**.

DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Richard D. Shoop, Reg. No. 45,763**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **04**

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

4. Application number(s) or patent number(s):

	ATTY NO.	TITLE	APP. NO.	APP. DATE	PAT. NO.	ISSUE DATE
1	11259-701.301	APPARATUS AND METHOD FOR MODULATING SLEEP	14/938,705	11/11/2015		
2	11259-701.501	APPARATUS AND METHOD FOR MODULATING SLEEP	14/341,642	7/25/2014	9,211,212	12/15/2015
3	11259-702.US0	NON-INVASIVE BRAIN TEMPERATURE REGULATING DEVICES FOR ENHANCING SLEEP	14/435,515	4/14/2015		
4	11259-703.US0	SYSTEMS FOR ENHANCING SLEEP	14/758,438	6/29/2015		

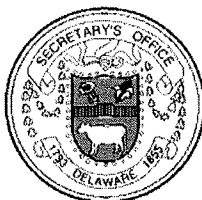
Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CEREVE, INC.", CHANGING ITS NAME FROM "CEREVE, INC." TO "EBB THERAPEUTICS, INC.", FILED IN THIS OFFICE ON THE THIRTEENTH DAY OF APRIL, A.D. 2017, AT 1:32 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



4952412 8100
SR# 20172493379

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

Authentication: 202374734
Date: 04-13-17

PATENT
REEL: 042484 FRAME: 0254

**CERTIFICATE OF AMENDMENT
OF
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF
CEREVE, INC.**

State of Delaware
Secretary of State
Division of Corporations
Delivered 01:32 PM 04/13/2017
FILED 01:32 PM 04/13/2017
SR 20172493379 - File Number 4952412

The undersigned, Damian Rippole, hereby certifies that:

1. He is the Chief Financial Officer of Cereve, Inc., a Delaware corporation (the "Corporation").
2. The Certificate of Incorporation of this Corporation was originally filed with the Secretary of State of Delaware on April 4, 2011.
3. This Certificate of Amendment of Amended and Restated Certificate of Incorporation amends Article I of this Corporation's Certificate of Incorporation to read in its entirety as follows:

"The name of the corporation is Ebb Therapeutics, Inc. (the "Corporation")."
4. This Certificate of Amendment of the Corporation's Amended and Restated Certificate of Incorporation has been duly adopted by this Corporation's Board of Directors and stockholders in accordance with the provisions of the Corporation's Amended and Restated Certificate of Incorporation and with Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of Amended and Restated Certificate of Incorporation on this 13th day of April, 2017.



Damian Rippole, Chief Financial Officer