504390343 05/31/2017

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT4437040

SUBMISSION TYPE:				
NATURE OF CONVEYANCE:		ASSIGNMENT		
CONVEYING PARTY D	АТА			
Name		Name	Execution Date	
WENYI FENG			05/03/2012	
CAROLYN TREGIDGO			05/01/2012	
MIN-JUI RICHARD SHEN			05/09/2012	
KAY KLAUSING			04/27/2012	
RECEIVING PARTY DA	ТА			
Name:	ILLUMIN	JA, INC.		
Street Address:	5200 ILI			
City:	SAN DIE	EGO		
State/Country:	CALIFO	RNIA		
Postal Code:	92122			
Property Type		Number	7	
Property Type Application Number:	1	Number		
Application Number:		Number 5207892		
Application Number:	ΑΤΑ	5207892		
Application Number: CORRESPONDENCE D Fax Number:	ATA (858)255-5104		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA (e sent to	5207892		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be using a fax number, if p</i> Phone:	ATA (e sent to provided; 8	5207892 858)255-5104 <i>the e-mail address first; if that is ur</i> <i>if that is unsuccessful, it will be se</i> 8582555104		
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ASSIGNMENT OF IP RIGHTS TO ILLUMINA, INC.

WHEREAS, each one of the undersigned:

Name: Address:	Xiaohai Liu Little Chesterford Nr Saffron Walden Essex CB10 1XL United Kingdom
Name: Address:	Geoffrey Paul Smith Little Chesterford Nr Saffron Walden Essex CB10 1XL United Kingdom
Name: Address:	Jonathan Mark Boutell Little Chesterford Nr Saffron Walden Essex CB10 1XL United Kingdom
Name: Address:	Thomas Joseph 25861 Industrial Blvd. Hayward , CA 94545
Name: Address:	Xiaolin Wu Little Chesterford Nr Saffron Walden Essex CB10 1XL United Kingdom
Name: Address:	Randall Smith 5200 Illumina Way San Diego, CA 92122
Name: Address:	Helmy A. Eltoukhy 25861 Industrial Blvd. Hayward , CA 94545
Name: Address:	Robert C. Kain 5200 Illumina Way San Diego, CA 92122

Name: Address:	Bernard Hirschbein 25861 Industrial Blvd. Hayward , CA 94545
Name:	Wenyi Feng
Address:	5200 Illumina Way
	San Diego, CA 92122
Name:	Carolyn Tregidgo
Address:	Little Chesterford
	Nr Saffron Walden
	Essex CB10 1XL
	United Kingdom
Name:	Min-Jui Richard Shen
Address:	5200 Illumina Way
	San Diego, CA 92122
Name:	Kay Klausing
Address:	5200 Illumina Way
	San Diego, CA 92122

(collectively "the Assignor/s") have made certain new and useful inventions, discoveries, and improvements (the "Inventions"), for which the Assignor/s filed the following application/s:

File No.:	IP-0629-PRV		
Title:	METHODS AND	COMPOSITIONS F	OR NUCLEIC ACID
	SEQUENCING		i ist al interview is the second s
Ser. No.:	61/619,878	Filed:	April 3, 2012

and now desire/s to convey all right, title, and interest in:

- the Inventions,
- the application/s listed above, and any other applications for patent, design, utility model, certificate of invention, trademark, or trade dress, in any country or under any international or regional authority (e.g. WIPO/PCT, EPO, ARIPO, EAPO, OAPI), to the extent some or all of its subject matter is disclosed and entitled to the benefit of priority in the application/s listed above, including provisional, continuation, divisional, continuation-in-part, reissue, and reexamination applications (collectively "the Application/s"),

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PATENT REEL: 042541 FRAME: 0820

- the benefit of earlier filing date and right to claim priority to the Inventions and Application/s under applicable law and treaties, including the Paris Convention for the Protection of Industrial Property as revised and amended and 35 U.S.C. §§ 119, 120,
- any rights accrued by the publication of the Application/s, such as 35 U.S.C. § 154(d), Art. 67(1) EPC,
- any Letters Patent or Certificates of Invention that may be issued or granted from the Application/s, including reissued patents, reexamination certificates, renewals, and any rights resulting from an extension or adjustment of patent term, and
- any rights arising from the Invention under laws and conventions protecting copyright, trademark, trade dress, or other industrial property,

(collectively, "the IP Rights"), and

WHEREAS, ILLUMINA, INC., a Delaware corporation having a place of business at 5200 Illumina Way, San Diego, CA 92122, United States of America is desirous of acquiring the entire right, title, and interest for itself, its successors, and its assigns (collectively "the Assignee") in the IP Rights by an instrument in writing, 35 U.S.C. § 261;

NOW, THEREFORE, to all whom it may concern, be it known that, for good and valuable consideration, the receipt and sufficiency of which I/we hereby acknowledge and hereby waive the right to any additional compensation concerning the IP Rights:

I/we warrant and represent to the Assignee that I/we have the full right to convey my/our right, title, and interest in the IP Rights without encumbrance, and that I/we have not made any earlier assignment, license, grant, or mortgage to another, or made any agreement with another, that would affect my/our right, title, and interest in the IP Rights;

By this Assignment and by virtue of any earlier agreements to assign, I/we hereby assign, transfer, convey, and sell my/our entire right, title, and interest in the IP Rights to the Assignee, including any claims or causes of action for damages or other remedies arising out of any earlier violation of the IP Rights, for and to inure to the Assignee's full benefit of the IP Rights as fully as they would have been held by me/us if this Assignment had not been made;

I/we authorize the Assignee and its legal representatives to:

 (a) insert into this Assignment any supplemental information to make the identification more complete for any of the Application/s or Letters Patent already listed above,

- (b) prosecute the Application/s, file new applications for the Invention/s, and do all acts permitted by law to protect and enforce the IP Rights,
- (c) request that Letters Patent and Certificates of Invention be issued and granted to and in the name of the Assignee by the issuing or granting authority, such as the U.S. Commissioner for Patents, 37 C.F.R. § 3.81; and

I/we agree with the Assignee that I/we and my/our legal representatives, heirs, and executors will perform all acts deemed necessary or desirable by the Assignee to permit and assist it to secure the entire right, title, and interest in the IP Rights so that the Assignee may benefit from this Assignment, including:

- (a) communicating to the Assignee all facts known to me/us that relate to the history and extent of my individual contribution to the IP Rights, including the circumstances of the conception of the invention and its development and reduction to practice, and furnishing supporting documents or items in my control,
- (b) assisting in the preparation of the Application/s and their prosecution by the Assignee, 37 C.F.R. § 3.71, and in the enforcement of the IP Rights, including making, executing, and delivering to the Assignee any other necessary documents, such as further application papers, declarations, affidavits, disclaimers, petitions, or assignments, and
- (c) assisting and cooperating in administrative and legal proceedings, including giving written and oral testimony,

so that these will be performed without further consideration, except for reasonable actual expenses to be reimbursed by the Assignee.

I/we further agree with the Assignee that I/we will not perform any future act, execute any subsequent document, or make any subsequent agreement with another person or party that conflicts with this Assignment, that purports to transfer any right, title, or interest in the IP Rights that are the subject of this Assignment, unless agreed to in writing by the Assignee or that prevents the Assignee from receiving the full benefit of the bargain under this Assignment.

If any provision of this Assignment is held invalid or unenforceable, that provision shall be enforced to the maximum extent permissible in order to put into effect the intent of the Assignor/s and the Assignee, and the remainder of this Assignment shall not be affected, but continue in full force and effect.

This document shall be executable by each Assignor in parts, and the Assignment will be effective and recordable for each Assignor as of the date of that Assignor's signature.

Page 4 of 17

Name:	Xiaphai Liu
Signature	
Date:	30/07/2012
Witness Name:	YADJALE HOMITSY
Signature:	- Attanchy
Date:	30/04/15

In testimony of this Assignment, I am signing and dating this document below:

Name:

Geoffrey Paul Smith

Date:

Signature

2 ~	AD	87 8	, ing.
303	$T \nabla T$	R. (Lun-

Witness Name:

<u>YVONNE HANDS</u>

Date:

Signature:

CPAD"	andu	
was in	Come 1 .	*******
<u></u>	41.3	

In testimony of this Assignment, I am signing and dating this document below:

Name:

Jonathan Mark Boutell

Signature

Date:

/ Burt

V	·····
30/oulo	

Witness	Name:

Norine Hompy Mittendy Zeleulia

Date:

Signature:

Name:	Thomas Joseph
Signature Date:	1 hones 05/03/2012
	ACKNOWLEDGMENT
personally appea who proved to m subscribed to the his/her/their auth person(s), or the	before me, <u>Recally M. Espero</u> <u>Notary</u> <u>Abir</u> (insert name and title of the officer) ared <u>Thoma(s Joseph</u> e on the basis of satisfactory evidence to be the person(s) whose name(s(<u>istare</u>) within instrument and acknowledged to me that he she they executed the same in orized capacity(les), and that by(histher/their signature(s) on the instrument the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my ha	nd and official seal.

In testimony of this Assignment, I am signing and dating this document below:

Name:	Xiaolin Wu 🎊
Signature	Jabarh
Date:	1/05/2012
Witness Name:	YVONNE HENNEL
Signature:	Chthrein
Date:	<u>olostia</u>

Name:	Randall Smith
Signature	$\beta \alpha \beta \beta$
Date:	May 3, 2012
	ACKNOWLEDGMENT
On <u>MQU</u> personally a who proved subscribed (hi9theathear person(s), o I certify und	S. 200 before me, Resolyn M.Espece, Notary Public insert name and title of the officer) insert name and acknowledged to me that neisheathey executed the same in authorized capacity(ies), and that by (his) acreter signature(s) on the instrument. in the entity upon behalf of which the person(s) acted, executed the
WITNESS n Signature	ny hand and official seal

In testimony of this Assignment, I am signing and dating this document below:

Name:

Helmy A. Eltoukhy

May 9, 2012

Signature

1/~____

Date:

	ACKNOWLEDGMENT		
	of California ly of <u>Alameda</u>		
On	May 9, 2012	before me,	Patricia Nunez-Rabelo
			(insert name and title of the officer)
noren	nalio annaarad	Helmy A.	Eltoukhy
who p	roved to me on the ba	sis of satisfactory evide	ance to be the person(s) whose name(s) is/are
who p subso his/he perso	roved to me on the ba ribed to the within insti r/their authorized caps n(s), or the entity upon	sis of satisfactory evide rument and acknowled icity(les), and that by hi behalf of which the pe PERJURY under the f	ance to be the person(s) whose name(s) is/are ged to me that he/she/they executed the same is-her/their signature(s) on the instrument the rson(s) acted, executed the instrument, laws of the State of California that the foregoing
who p subsc his/he perso I certil parag	roved to me on the ba ribed to the within inst ritheir authorized cape n(s), or the entity upon by under PENALTY OF	sis of satisfactory evide rument and acknowledg icity(ies), and that by hi behalf of which the pe PERJURY under the t	ance to be the person(s) whose name(s) is/are ged to me that he/she/they executed the same is/her/their signature(s) on the instrument the rson(s) acted, executed the instrument.

Name:	Robert C, Kain
Signature Date:	M.C.L. May 12, 2012 May 8, 2012 * 19
	ACKNOWLEDGMENT
On <u>MQU</u> personally a who proved subscribed t (hi s heatheir person(s), o I certify unde	<u>Experies</u> <u>C, 2012</u> before me, <u>Resolution M.Esperio</u> <u>Notary</u> <u>Aublic</u> (insert name and title of the officer) <u>peared</u> <u>Robert C. Kain</u> o me on the basis of satisfactory evidence to be the person(s) whose name(s (jerre, the within instrument and acknowledged to me that he statistic executed the same in authorized capacity(ies), and that by histochor signature(s) on the instrument the the entity upon behalf of which the person(s) acted, executed the instrument. PENALTY OF PERJURY under the laws of the State of California that the foregoing true and correct.
WITNESS m Signature	hand and official seal. ROSALYN M. ESPEJO COMM. # 1828886 NOTARY PUBLIC-CALIFORMA SAN DECO CONNY MY COMM. EXP. JAN. 28, 2013 (Seal)

In testimony of this Assignment, I am signing and dating this document below:

Name:

Bernard Hirschbein

Signature

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Bernard Hrsthles	×.
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Date:

May 10, 2012

	ACKNOWLEDGMENT	
State of California County of <u>Alameda</u>	)	
OnMay 10, 2012	before me,	Patricia Nunez-Rabelo
		(insert name and title of the officer)
personally appeared	Bernard Hirsc	hbein
his/her/their authorized capa	city(les), and that by h	Iged to me that he she they executed the same in its/ben/heir signature(s) on the instrument the inson(s) acted, executed the instrument
I certify under PENALTY OF	PERJURY under the	laws of the State of California that the foregoing
paragraph is true and correct	<u>.</u>	PATRICIA NUNEZ-RABELO Commission # 1897673
WITNESS my hand and offic	ial seal	Commission # 1897673 Notary Public - California Alamada County My Comm. Expires Jul 30, 2014
Signature Patricia NI	ing Rabeks	- (Seal)
	X	

In testimony of this Assignment, I am signing and dating this document below:

Name:

Wenyi Feng

Signature

05/03/2012

Date:

	ACKNOWLEDGMENT	
State of Califo County of $\underline{S}$	na Diego	
on May	dula before me, Resalyn M. Espejo Notary Rublic	
	(insert name and title of the officer)	
nereonalia anr	ared Worldi Feog	
hts herrineir au person(s), or ti	ne on the basis of satisfactory evidence to be the person(s) whose name(s) is are- e within instrument and acknowledged to me that he she they executed the same in horized capacity(ies), and that by his berdheir signature(s) on the instrument the e entity upon behalf of which the person(s) acted, executed the instrument. ENALTY OF PERJURY under the laws of the State of California that the foregoing a and correct.	
WITNESS my	and and official seal	
Signature	asily U. J. (seal)	

In testimony of this Assignment, I am signing and dating this document below:

Name:

Carolyn Tregidgo

Signature

2ca2

<u>BALLISAT</u>

12012

Date:

Witness Name:

alacem

 $C \subseteq \alpha : Q \subseteq C$ 

Date:

Signature:

Rev. Feb. 27, 2011

Page 15 of 17

PATENT REEL: 042541 FRAME: 0833

Name:	Min-Jui Richard Shen	
Signature	<u>292</u>	
Date:	May 9, 2012	
	ACKNOWLEDGMENT	
personally appea	<u>ared Minn Jul Richard Show</u>	of the officer)
his/ber/heir outhe person(s), or the	e on the basis of satisfactory evidence to be the person(s e within instrument and acknowledged to me that he shall orized capacity(ies), and that by his her their signature(s) entity upon behalf of which the person(s) acted, executed	bey.executed the same in on the instrument the I the instrument.
I certify under PE paragraph is true	ENALTY OF PERJURY under the laws of the State of Cali and correct.	fomia that the foregoing
WITNESS my hai	nd and official seal	ROSALYN M. ESPEJO COMM. # 1828896
Signature	3abyol 4. Cyf J(Seal)	MY COMM. EXP. LAR. 28, 2013

In testimony of this Assignment, I am signing and dating this document below:

Name:

**Kay Klausing** 

Signature

<u> 10- 1- -</u> <u>4/2**4**/2012</u>

Date:

	ACKNOWLEDGMENT	
State of C	California r <u>SCAD Diecan</u>	
<del> </del>	(insert name and title of the officer)	
personaliy	rappeared Kay Kloucing	
his her the	ed to me on the basis of satisfactory/evidence to be the person(s) whose name(s)(s)are d to the within instrument and acknowledged to me that(he/e <del>herthey</del> executed the same i ar authorized capacity(ies), and that by his/her <del>/their s</del> ignature(s) on the instrument the , or the entity upon behalf of which the person(s) acted, executed the instrument.	
I certify ur paragraph	ider PENALTY OF PERJURY under the laws of the State of California that the foregoing is true and correct.	
	ROSALYN M FSPF.ID	
WITNESS	my hand and official seal.	

Rev. Feb. 27, 2011

RECORDED: 05/31/2017