#### 504395973 06/02/2017

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
TYLER M. FEARS	05/23/2017
SERGEI O. KUCHEYEV	05/29/2017

### **RECEIVING PARTY DATA**

Name:	LAWRENCE LIVERMORE NATIONAL SECURITY, LLC		
Street Address: 2300 FIRST STREET			
Internal Address: SUITE 204			
City:	LIVERMORE		
State/Country:	CALIFORNIA		
Postal Code:	94550		

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15612702

### CORRESPONDENCE DATA

Fax Number: (925)423-8259

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 925-422-7272 Email: disney2@llnl.gov

LAWRENCE LIVERMORE NATIONAL SECURITY, LLC **Correspondent Name:** 

Address Line 1: 7000 EAST AVENUE Address Line 2: PO BOX 808, L-703

Address Line 4: LIVERMORE, CALIFORNIA 94551-0808

ATTORNEY DOCKET NUMBER:	IL-13170
NAME OF SUBMITTER:	MARK H. LOUGH
SIGNATURE:	/MARK H. LOUGH/
DATE SIGNED:	06/02/2017

#### **Total Attachments: 4**

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**PATENT** 504395973

**REEL: 042578 FRAME: 0698** 

EPAS ID: PAT4442670

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PATENT REEL: 042578 FRAME: 0699

LLNL Case No.: IL-13170 (PATENT)

# DECLARATION AND ASSIGNMENT BY INVENTOR UNDER 37 CFR §1.63

Title of Invention: FABRICATION OF NANOPOROUS AEROGELS VIA FREEZE SUBSTITUTION OF NANOWIRE SUSPENSIONS

I, Tyler M.	Fears, hereby declare that:
the atta	aration is directed to: ached application identified as Attorney Docket No. <u>IL-13170</u> I States application or PCT international application number filed on and identified as Attorney Docket No. <u>IL-13170</u> As amended on (if applicable),
	I am the original inventor or an original joint inventor of a claimed invention in the patent identified in Section I ("Patent Application").
3. I made or	authorized to make the Patent Application.
4. I have re-	viewed and understand the contents of the Patent Application including the claims,
	re of the duty to disclose to the U.S. Patent and Trademark Office all information known to sterial to patentability as defined in 37 CFR §1.56.
	acknowledge that any willful false statement made in this declaration is punishable under 18 by fine or imprisonment of not more than five (5) years, or both.
assign, trans having its a successors,	MENT good and valuable consideration the receipt of which is hereby acknowledged, I hereby sell, sfer, and convey to Lawrence Livermore National Security, LLC., a Delaware corporation dministrative offices located at 2300 First Street, Suite 204, Livermore, CA 94550, and its legal representatives and assigns (collectively, "Assignee"), the entire right, title and interest the world in and to the following (collectively, "Rights"):
(a)	all inventions and improvements claimed and/or disclosed in the Patent Application and in any and all divisional, continuation, continuation-in-part, and substitute applications based on the Patent Application.
(b)	the Patent Application and any and all divisional, continuation, continuation-in-part, and substitute applications based on the Patent Application (collectively, "Related Applications").
(c)	any and all patent(s) which may be granted in the United States, its territories, dependencies and possessions, for the inventions and improvements claimed and/or disclosed in the Related Applications, for the full term or terms for which the same may be granted.

31-01vTl

LLNL Case No.: IL-13170 (PATENT)

(d) any and all patent(s) resulting from extension, renewal, reinstatement, reissue or reexamination, or any interference, opposition, or judicial proceeding, directed to any of the Related Applications or any patent described above, for the full term or terms for which the same may be granted.

- (e) any foreign equivalent or counterpart of any of the Related Applications or of any of the patent(s) described above, including without limitation, certificates of invention, utility models, and design registrations.
- (f) the right to claim priority based on the filing dates of the Related Applications or any of the patent(s) described above, under, but not limited to, the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes.
- (g) the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights.

In addition, I hereby confirm the sale, assignment, transfer and conveyance to the Assignee of the entire right, title and interest throughout the world in the Rights, including the inventions and improvements, that occurred by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, transfer and convey any right, title and interest I may have in said inventions and improvements anywhere in the world to the Assignee.

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with this assignment.

I further covenant to provide reasonable assistance to the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application, said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I hereby authorize and request the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances, and any reissue or extension thereof, that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

8. I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Tyler M. Fears

Date

LLNL Case No.: 1L-13170 (PATENT)

## DECLARATION AND ASSIGNMENT BY INVENTOR UNDER 37 CFR §1.63

Title of Invention: FABRICATION OF NANOPOROUS AEROGELS VIA FREEZE SUBSTITUTION OF NANOWIRE SUSPENSIONS

I, Sergei O.	. <u>Kucheyev,</u> hereby declare t	that:	
the att	d States application or PCT i	tomey Docket No. IL-13170	filed on
	I am the original inventor or identified in Section 1 ("Pat	r an original joint inventor of a claimed in tent Application").	vention in the patent
3. I made o	r authorized to make the Pat	ent Application.	
4. I have re	viewed and understand the c	contents of the Patent Application including	g the claims.
	re of the duty to disclose to aterial to patentability as def	the U.S. Patent and Trademark Office all i fined in 37 CFR §1.56.	nformation known to
		al false statement made in this declaration in fact more than five (5) years, or both.	is punishable under 18
assign, tran having its a successors,	r good and valuable consider isfer, and convey to Lawrenc idministrative offices located legal representatives and ass	ration the receipt of which is hereby ackno ce Livermore National Security, LLC., a D d at 2300 First Street, Suite 204, Livermore signs (collectively, "Assignee"), the entire owing (collectively, "Rights"):	elaware corporation ≥, CA 94550, and its
(a)		ments claimed and/or disclosed in the Pate inuation, continuation-in-part, and substitu	
(b)		any and all divisional, continuation, contired on the Patent Application (collectively,	
(c)	any and all patent(s) which	may be granted in the United States, its te	mitories, dependencies

and possessions, for the inventions and improvements claimed and/or disclosed in the Related

Applications, for the full term or terms for which the same may be granted.

JT+10-12

LLNL Case No.: IL-13170 (PATENT)

(d) any and all patent(s) resulting from extension, renewal, reinstatement, reissue or reexamination, or any interference, opposition, or judicial proceeding, directed to any of the Related Applications or any patent described above, for the full term or terms for which the same may be granted.

- (e) any foreign equivalent or counterpart of any of the Related Applications or of any of the patent(s) described above, including without limitation, certificates of invention, utility models, and design registrations.
- (f) the right to claim priority based on the filing dates of the Related Applications or any of the patent(s) described above, under, but not limited to, the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes.
- (g) the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights.

In addition, I hereby confirm the sale, assignment, transfer and conveyance to the Assignee of the entire right, title and interest throughout the world in the Rights, including the inventions and improvements, that occurred by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, transfer and convey any right, title and interest I may have in said inventions and improvements anywhere in the world to the Assignee.

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with this assignment.

I further covenant to provide reasonable assistance to the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application, said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I hereby authorize and request the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances, and any reissue or extension thereof, that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

8. I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Sergei O. Kucheyev

RECORDED: 06/02/2017

05 | 19 | 19-Date

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